

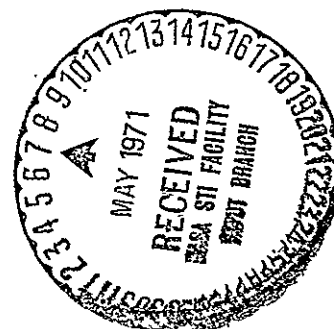
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STUDY OF APPLICATIONS OF BIO-SPACE TECHNOLOGY TO PATIENT MONITORING SYSTEMS

FINAL REPORT

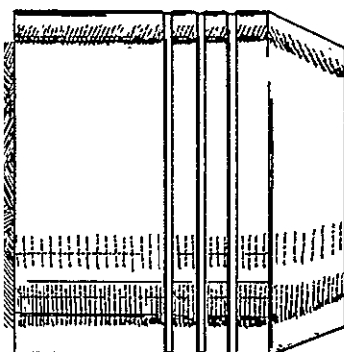
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GENERAL  ELECTRIC

**Re-entry & Environmental
Systems Division**

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STUDY OF APPLICATIONS
OF BIO-SPACE
TECHNOLOGY TO
PATIENT MONITORING SYSTEMS
FINAL REPORT

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BIOSCIENCE PROGRAMS

DATE: _____

BIOSCIENCE PROGRAMS

GENERAL ELECTRIC
RE-ENTRY & ENVIRONMENTAL SYSTEMS DIVISION

FINAL REPORT

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SECTION I

INTRODUCTION

***OBJECTIVE,
&
SCOPE***

OBJECTIVE

Establish the application of NASA developed technology to cardiovascular and pulmonary patient monitoring to improve the availability, reliability, and utility of data for medical diagnosis.

SCOPE

Six (6) month study, to provide:

- A survey of health centers to determine the systems and methodology used and the accuracy and quality of data resulting from their cardiovascular and respiratory monitoring systems.
- An evaluation of the survey information to determining existing system performance, identify specific areas of required improvement, the availability of existing bio-space technology to satisfy those requirements, and the extent of research and development required to fill the remaining system needs.
- A system definition, based upon the survey and evaluation, to define: the performance requirements, the preferred system configurations, and the generation of a systems specification.
- A program plan outlining program tasks to implement the preferred system, and delineate recommended R&D tasks with appropriate schedules.

SECTION II

PROGRAM SUMMARY

PROGRAM SUMMARY

The schedule for the study of the application of bio-space technology to patient monitoring systems reflects a three phase effort executed by the contractor. All of these phases have been completed as indicated by the publishing of this the Final Report and the accompanying supplement.

The manner by which the three documents (Program Reporter I, II, and III, and the Final Report) were produced is represented in the second chart.

Application of Aerospace Technologies to the Patient Monitoring Study is illustrated in the third chart. NASA developed aerospace techniques were employed by the team of scientists and engineers who participated in the performance of this study (see the third chart). The four areas of System Evaluation, System Requirements, System Specification, and the Program Plan were approached and executed using standard methods developed for handling such programs as Biosatellite, OAO, Gravity Gradient Test Satellite, Advanced Technology Satellite, Advent Communication Satellite, Nimbus Weather Satellite, Discoverer Re-entry Vehicle, Mark 2 Space Lab, Viking Program, Ranger, and Apollo Lunar Orbiter.

In order to effect the evaluation of the patient monitoring system being developed and employed by four leading medical institutions, the NASA developed aerospace technique of system engineering approach was brought to bear. This enabled the team to assemble the essential field information and to put this mass of information into a meaningful and representative order. A systems trade-off analysis was used by the team to sift this information providing the fundamental data necessary to conduct the system requirement phase of work.

The identification of the system requirements was effected through the employment of the techniques developed and commonly employed by NASA's contractors in the areas of:

Systems Requirements Analysis

Human Factors (Man-Machine Interface) Technology

Data Processing

Communications

Biological and Physiological Monitoring Systems

Following the system requirements, the system specification was developed. Again, NASA developed aerospace techniques adopted by industry were employed. Broad specification categories such as specification synthesis, safety, standards, reliability, etc., which classically exist in NASA aerospace specifications were categorically considered and, as applicable, specified for the patient monitoring systems.

In order to implement the systems as specified, a program plan was created. NASA has developed certain successful methods and practices in generating program plans which were adopted in this effort. These include such elements as:

- Program Task Analysis

- Program Integration and Management

- Build Plans

- Integrated Test Plans

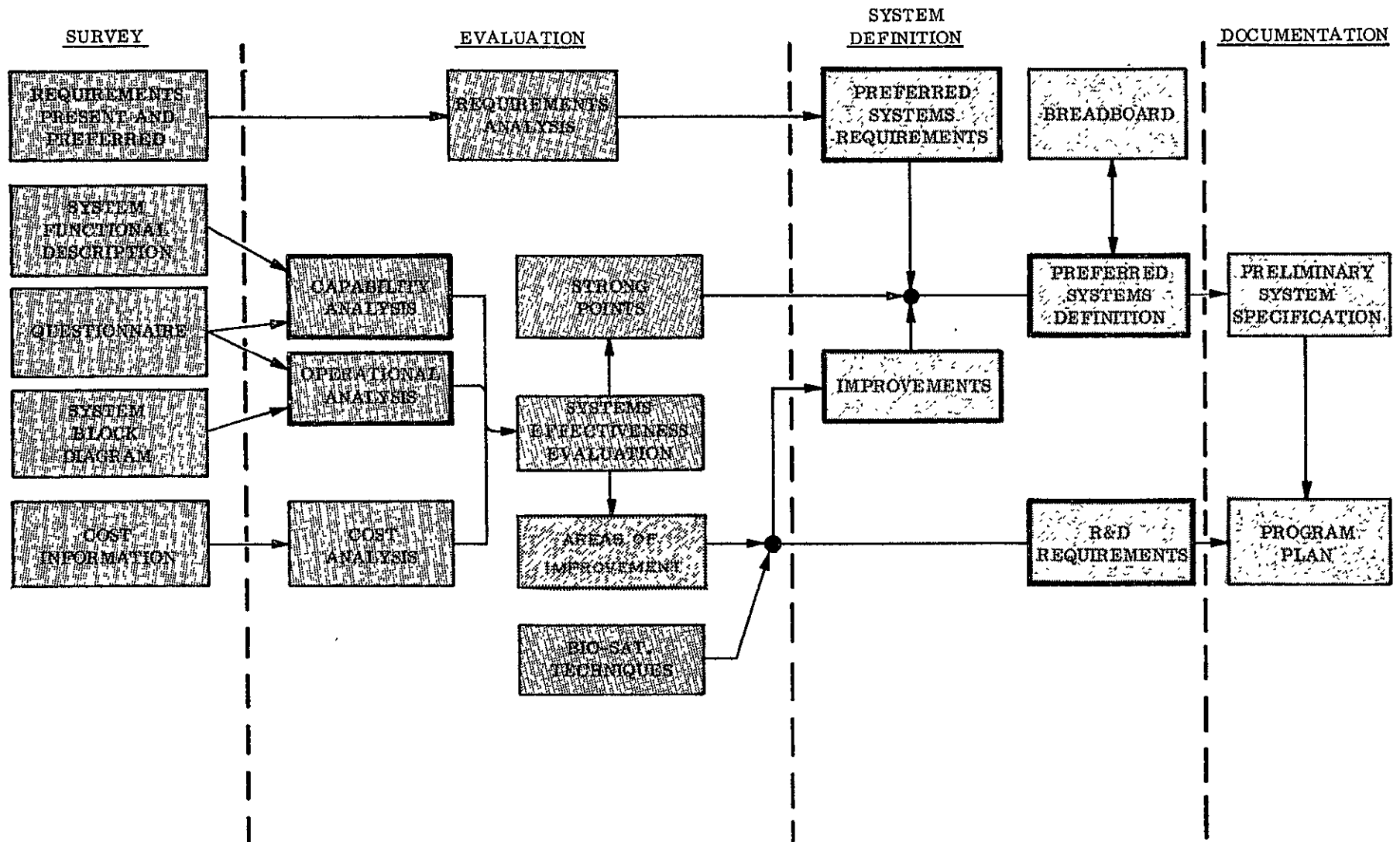
- Quality Plans

- Operations and Maintenance Plans

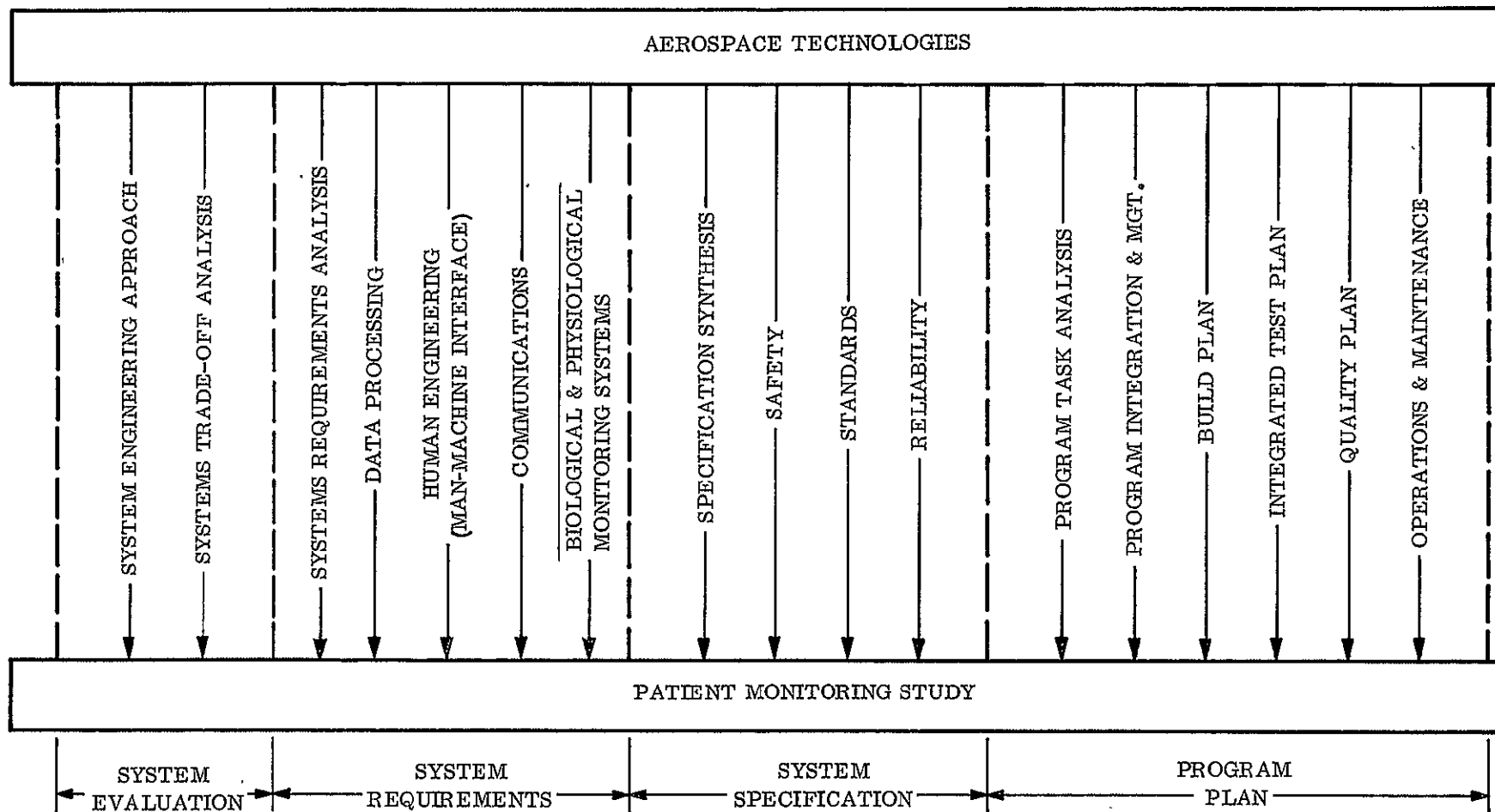
Within the study of patient monitoring systems, the potential applications of NASA and aerospace hardware and equipment were identified. The application of equipments common in the space programs such as mini computers, CRT's, data acquisition subsystems, etc., have been specified alike to the patient monitoring system. Within the program plan are delineated direct extensions of applications to the hospital environment of equipments from Biosatellite and related programs. Identified hardware/technologies have been discussed in Section VII for possible use within the patient monitoring system.

SCHEDULE

BLOCK DIAGRAM



PATIENT MONITORING STUDY



APPLICATION OF AEROSPACE TECHNOLOGIES

SECTION III

SURVEY

OBJECTIVE

SURVEY OBJECTIVE

Four (4) health centers were surveyed to determine the systems and methodology used and the accuracy and quality of data resulting from their cardiovascular and respiratory monitoring systems.

MEDICAL CENTER SURVEYS

<u>Medical Center</u>	<u>Date of Survey</u>	<u>Personnel Contacted</u>	<u>Trip Report</u>	<u>Selection Criteria</u>
1. University of Alabama Medical Center, Birmingham, Alabama	25 June	Mr. L. Sheppard J. Action Dr. N. Cachntalun	Complete	<ul style="list-style-type: none"> ● Computer Controlled Administration of Blood and Drugs
2. Methodist Hospital Texas Medical Center Houston, Texas	30 June and 1 July	Dr. C. Flynn Dr. D. Glaeser W. Fox	Complete	<ul style="list-style-type: none"> ● Only Hospital with a Monitoring Dept. ● Large ICU ● Large No. of Open Heart Operations
3. Pacific Medical Center San Francisco, California	14 July	Dr. J. Osborne Dr. Radke (IBM)	Complete	<ul style="list-style-type: none"> ● Respiratory Patient Monitoring
4. Latter-Day Saints Hospital Salt Lake City, Utah	15, 16 July	Dr. Homer Warner Dr. R. Gardner A. Pryor	Complete	<ul style="list-style-type: none"> ● Medlab System

Medical Center Survey Status

SURVEY TEAM

SURVEY TEAM

NASA - OSSA

B. B. HALL

DR. J. F. SAUNDERS

DR. D. FOX

HEW - NIH

DR. R. DUBOIS

GE-RESO

R. WELSCH

DR. N. BIRKHEAD

W. BUCK

B. BURGESS

J. GANNON

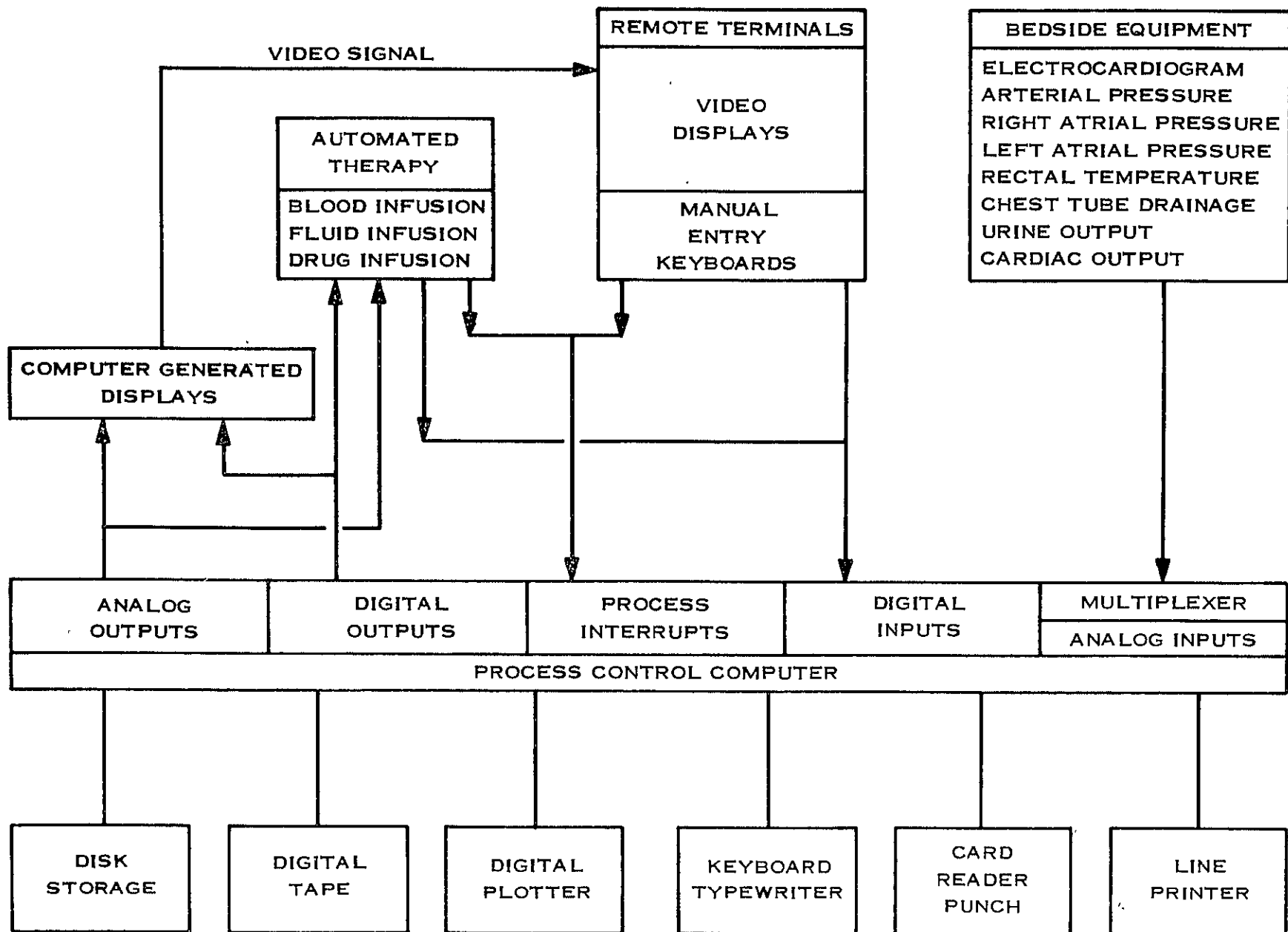
S. KRITZSTEIN

J. BARNEY

SURVEY SUMMARY

SUMMARY OF OBSERVATIONS
UNIVERSITY OF ALABAMA

- A singular accomplishment is successful administration of intravenous fluids under computer control.
- The system is essentially a development or pilot version but is in use for real patient care to its full capacity. User (in the ICU) acceptance is high.
- Use of the system has produced a significant improvement in mortality rate and a great reduction in occupancy time within the ICU.
- The central computer is extremely flexible and has extensive staff programming capabilities. It is time shared between the monitored beds and even so is only lightly loaded. The number of derived parameters (produced by computer processing of patient sensor data) is considered to be moderate.
- Signal conditioning and some preprocessing occur at bedside, facilitating relatively long distance wire transmission of data to the computer.
- System grounding was carefully considered and implemented. Electro-magnetic interference does not seem to be any problem.
- Availability of highly skilled and motivated personnel facilitates emergency workaround in event of failure of any system component.
- Implementation of the concept of interactive Bedside Display and manual Data Request and Data entry.

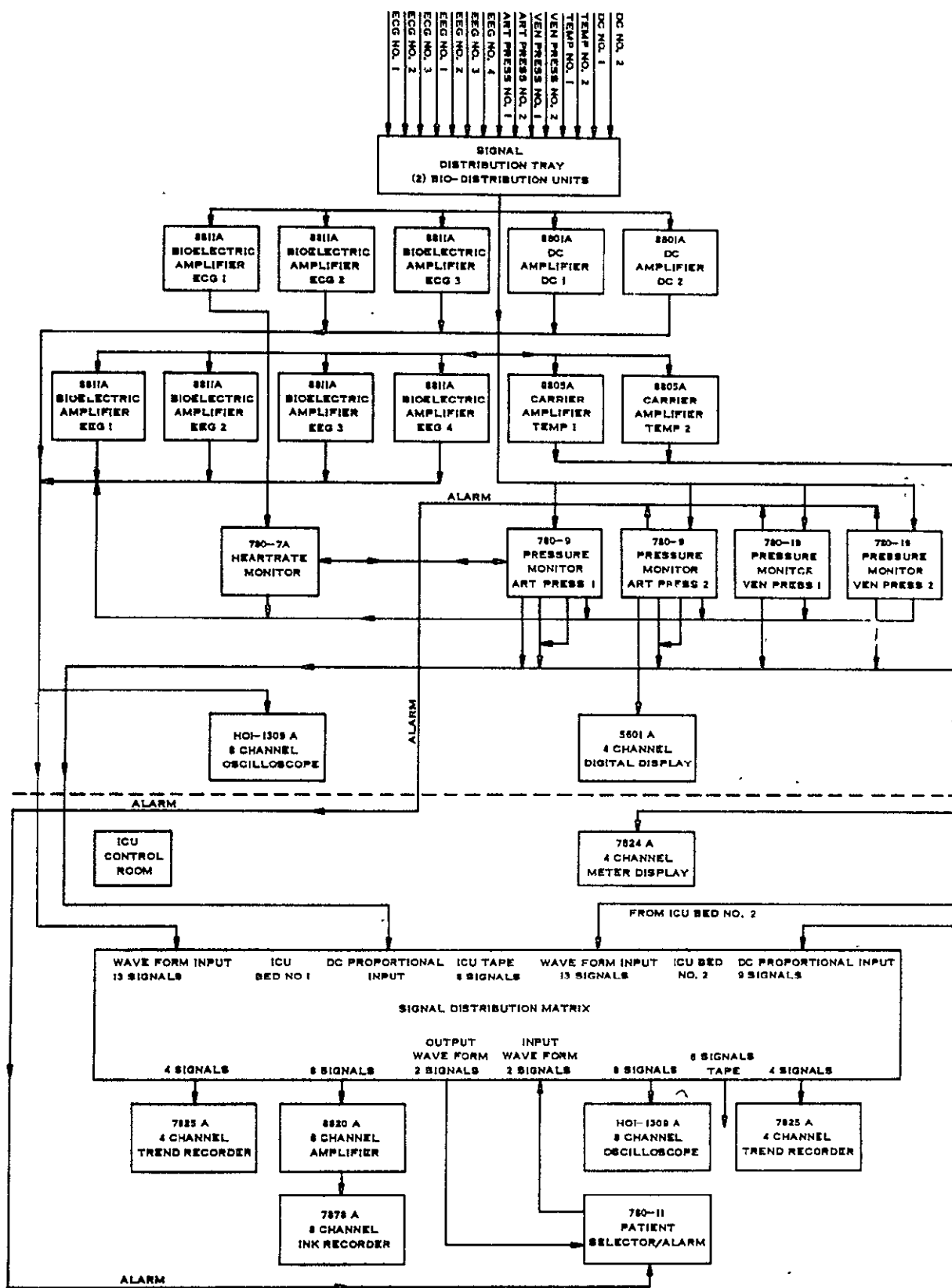


PATIENT MONITORING SYSTEM BLOCK DIAGRAM - UNIVERSITY OF ALABAMA

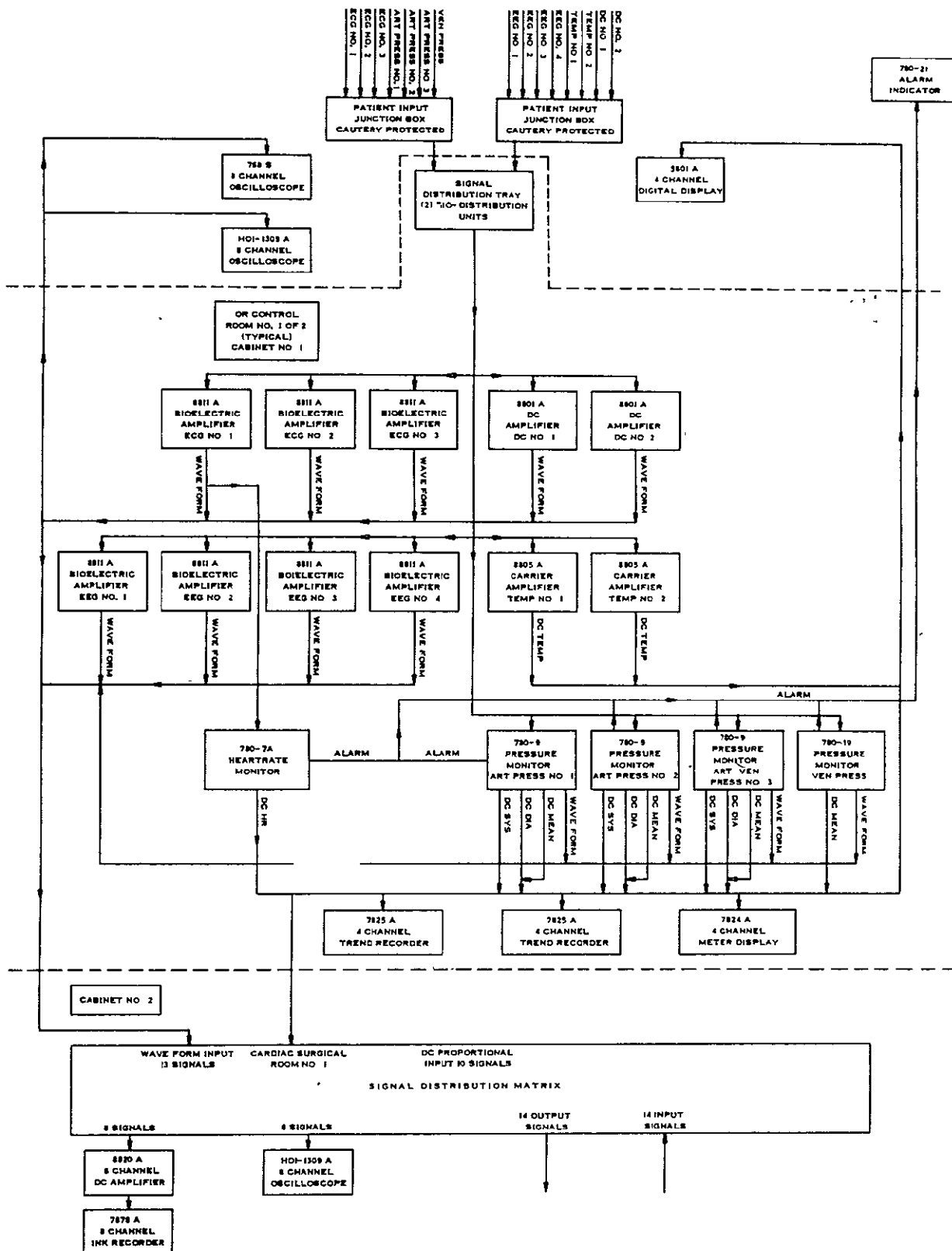
SUMMARY OF OBSERVATIONS

METHODIST HOSPITAL

- There is an established Department of Monitoring, giving Administration and Operational recognition of the importance of the function. Monitoring Technicians are specially trained. There is a skilled electronics/engineering staff for equipment design, construction and maintenance (including maintenance of vendor equipment).
- There is no computer controlled patient monitoring system. The ICU uses self-contained bedside monitors for ECG and Blood Pressure. Four operating rooms have (each) dedicated monitoring and display systems with analog and digital displays. Some "monitoring" data is in the form of written reports of off line analysis such as blood gases.
- Stress on continuity of monitoring is demonstrated by use of portable ECG monitors from Operating Room to ICU.
- In two OR's, data is magnetically recorded for history, teaching and research use.
- In place computer systems are all for research use, Off-Line. Concept for future monitoring system is one of dedicated bedside computers under supervisory control of a central computer.
- ECG analysis programs were being broken down into major elements for possible local pre-processing.
- Electromagnetic interference was observed but did not pose any operational difficulty.
- Monitoring Department was systematically evaluating the applicable monitoring equipment of a large number of vendors.



ICU Bedside No. 1 of 2

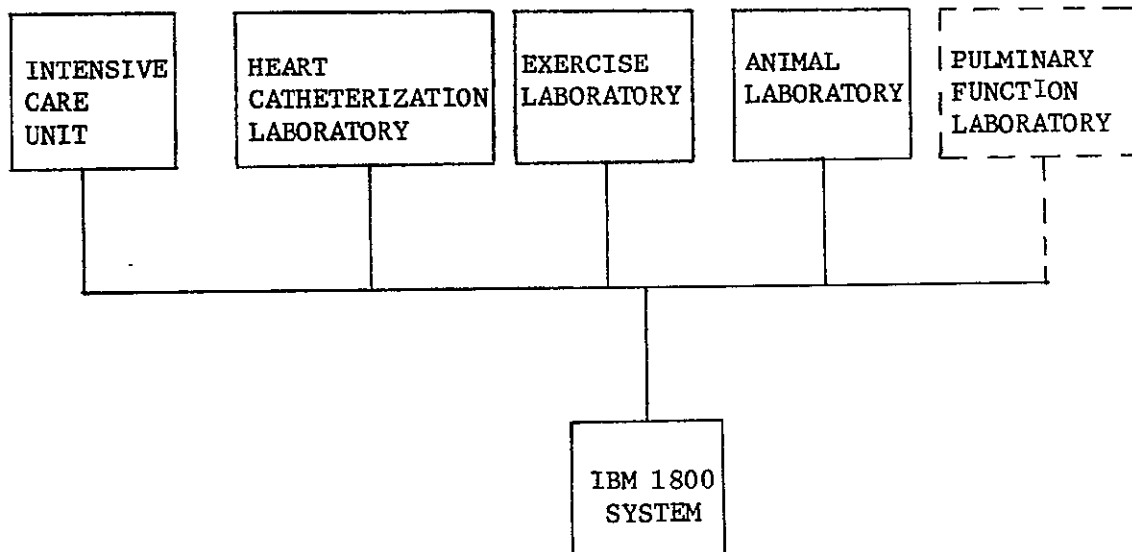


Cardiac Surgical Room No. 1 of 2 (Typical)

SUMMARY OF OBSERVATIONS

PACIFIC MEDICAL INSTITUTE

- In addition to cardiovascular monitoring, an additional emphasis was placed on monitoring of pulmonary parameters.
- The system is comparatively extensive, reaching into ICU's, laboratories and operating rooms, with near future planned extension into another local hospital.
- Quantitative measurement of the system's effectivity is difficult because a change was made in Medical Procedures during the period of system use.
- The central computer is extremely flexible and has extensive staff programming capabilities. It is time shared between the various monitoring station and has additional processing power. The number of derived parameters is considered to be larger than moderate.
- The system 24 hour report (hard copy) for each monitored patient is considered notable.
- System maintenance and development is enhanced by the resident IBM staff.
- Implementation of the bedside data acquisition, the interactive display and Manual Data request and data entry, while effective is considerably different from that of the University of Alabama, and that of Latter-Day Saints. The routine production of local hard copy data via electric typewriter was being minimized due to typewriter noise.

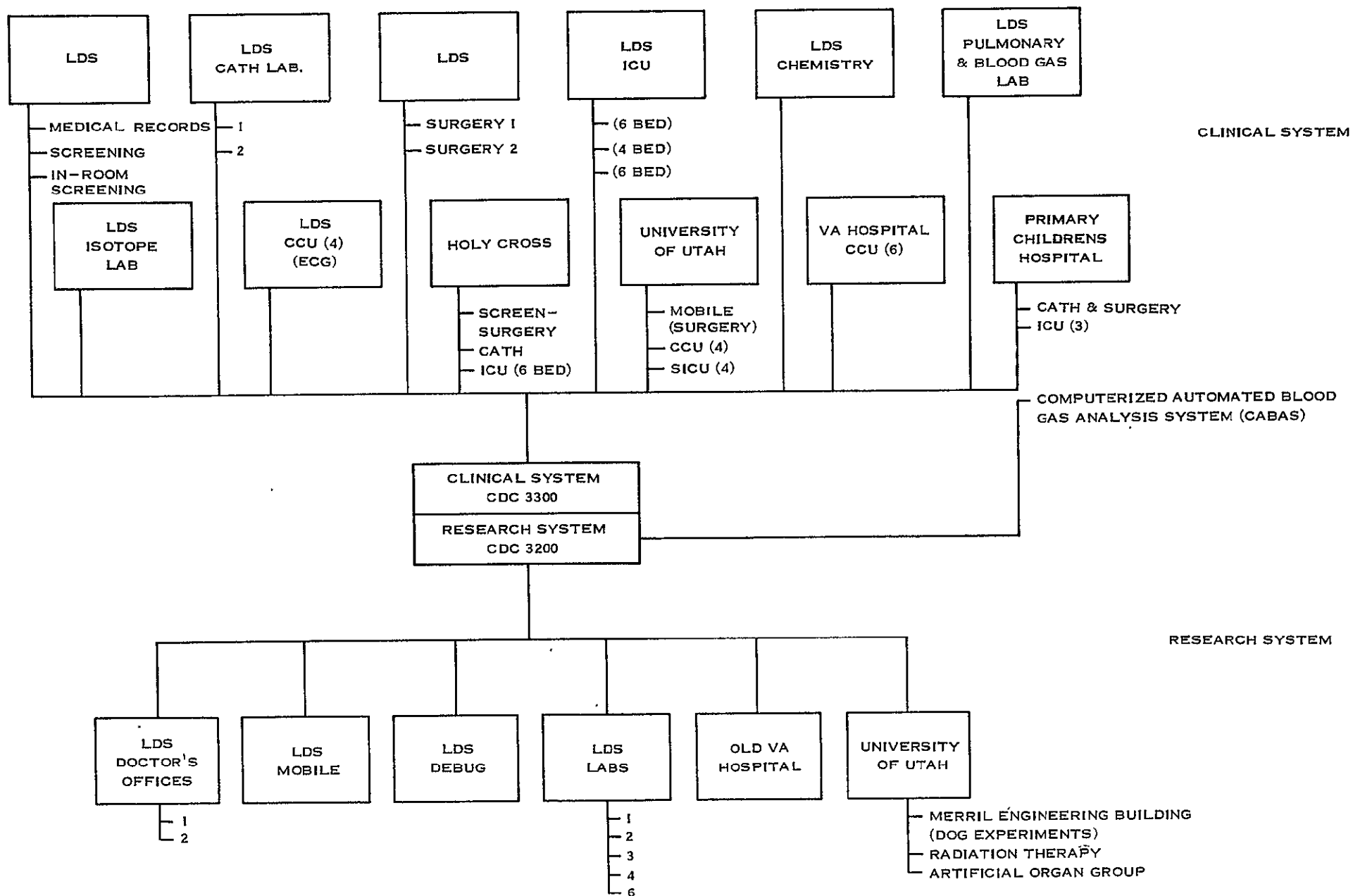


PATIENT MONITORING
SYSTEM BLOCK DIAGRAM
PACIFIC MEDICAL CENTER

SUMMARY OF OBSERVATIONS

LATTER DAY SAINTS

- There is very close tie in between the University engineering department and the hospital, on an operational basis.
- This system is the most extensive, as seen in actual in-place use throughout the hospital, the existing extensions to other hospitals and planned further extension throughout the area. Overall cost effectiveness is enhanced by this extensive utilization.
- A careful and detailed analysis of maintenance and operating costs has been performed and continues to be maintained.
- Degree of acceptance is indicated by dependence of surgery schedule on computer availability.
- The central computer is very powerful and its utility is highly compounded by the redundancy availability from a second computer system in place. Time sharing and staff programming are key features.
- Implementation of bedside data conditioning has minimized the requirement for calibration, adjustment, etc. by the operator.
- Implementation of the bedside (terminal) interactive display and manual data request and data entry is compact and attractive (from an operational viewpoint).



For additional and more detailed information on this subject refer to the 1st Progress Report, 18 August 1970, Study of Applications of Bio-space Technology to Patient Monitoring Systems.

SECTION IV
EVALUATION SUMMARY

OBJECTIVE

EVALUATION OBJECTIVE

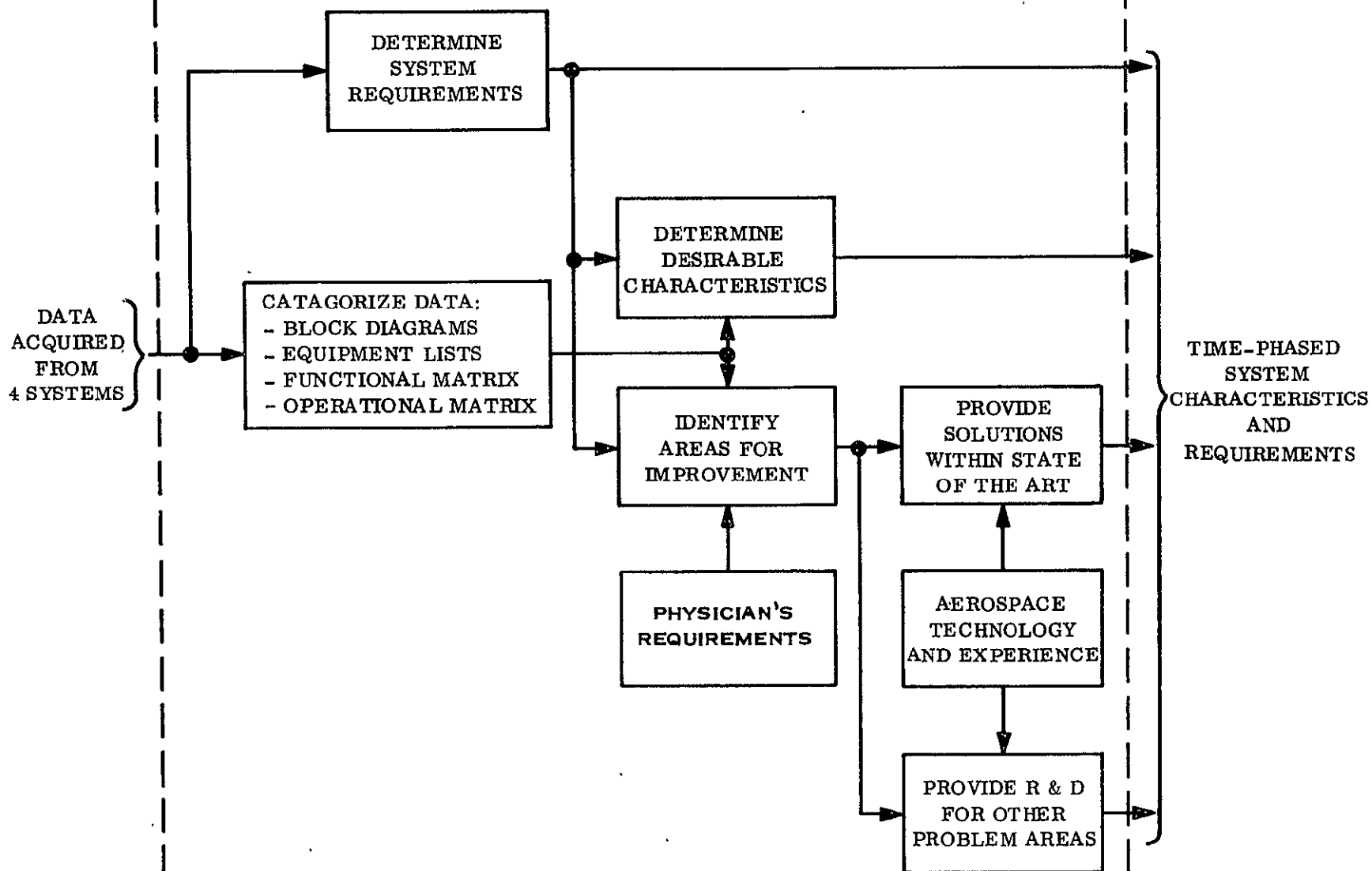
Utilize the survey data and evaluate the existing systems performance, identify areas of recommended improvement, determine the applicability of existing bio-space technology or expertise to satisfy those requirements, and point up the extent of research and development required to fill the remaining system's needs.

APPROACH

SURVEY

EVALUATION

DEFINITION



FLOW DIAGRAM: EVALUATION APPROACH

RESULTS

EVALUATION RESULTS

The evaluation of the surveys of the four health institutes resulted in:

- An evaluation matrix which listed the outstanding features of each system surveyed
- Areas of improvement
- Research and development required
- Areas of standardization

Also, a summary of the parameters monitored in the four hospitals, in which areas they were taken, and the number of times they were taken by the hospitals.

A cost analysis was compiled identifying the problems affecting the cost structure with solution recommendations. Example cost information from Latter-Day Saints Hospital on maintenance and operations was also obtained.

PRELIMINARY REQUIREMENTS

PRELIMINARY REQUIREMENTS

The system is required to perform the following major functions:

- acquire data
- pre-process portions of it
- locally transmit this data
- execute a processing function on the data
- distribute this processed data
- display and perform control functions with the processed data
- remotely service terminals

This system will be oriented toward the servicing of a hospital user. This is in contrast to a hospital whose requirements are also those of research and development.

The system should be capable of incorporating multiple additional applications along with patient monitoring. These additional applications could include combinations of business, process control, library, programmed instruction, and storage/retrieval services.

The patient monitoring application of the system should have a minimum capability of delivering services in all or in various combinations to the following physical locations within a hospital:

- patient screening
- catheterization laboratory
- X-ray laboratory
- operating room
- intensive care room
- cardiac care unit
- exercise laboratory
- rehabilitation facility

The patient services rendered by the patient monitoring system should be applicable to cardiovascular, pulmonary, temperature, blood chemistry, and urinary systems. The required measurements, direct and derived, are in the following Parameter Matrix.

PARAMETER MATRIX

(key: X-required measurements)
O=optional measurements)

1. CARDIO VASCULAR

heart rate
stroke volume
cardiac output
duration of systole ejection
peripheral resistance
systolic pressure
diastolic pressure
mean venous pressure
electrocardiogram signals
vector cardiogram signals
mitral insufficiency index
appearance time
buildup time
mean circulation time
central blood volume
cardiac index
average arterial pressure
pulse deficit
premature beat rate
arterial pressure first derivative
premature ventricular contraction
arrhythmic (premature & widened beat)
left atrial pressure
densitometer calibration
superior vena cava % saturation
mid right atrium % saturation
pulmonary artery trunk % saturation
pulmonary artery wedge % saturation
radial artery % saturation

2. PULMONARY

respiration amplitude
respiratory rate
forced vital capacity
max. expiratory flow rate
one second expiratory volume
maximal mid expiratory flow rate
maximum instantaneous respiratory pressure

screening	catheterization lab	X-ray lab	operating room	intensive care unit	cardiac care unit	exercise lab	rehabilitation	remote
X	X	X	X	X	X	X	X	X
	X		O	X	O	O		X
	X		X	X	O	O	O	X
	X			O				X
	X		O	X				X
X	X	X	X	X	X	X	X	X
X	X	X	X	X	X	X	X	X
	X		X	X	O			X
X	X	X	X	X	X	X	X	X
O	O	O		O	O	O	O	O
	X							X
	X			O				X
	X			O				X
	X			O				X
	X		O	X				X
	X		X	X	O	O	O	X
	X			O				X
			O	X	X	X	X	X
X			X	X	X	X	X	X
	O			O	O			O
X			X	X	X	X	X	X
X	X		X	X	X	X	X	X
	X			X	O			X
	X		X	X	O	O	O	X
	X			O				X
	X			O				X
	X			X				X
	X							X
	X			O				X
				X				X
X	X	X	X	X	X	X	X	X
X								X
X								X
X								X
X								X
				O				O

PARAMETER MATRIX (Continued)

(key: X-required measurements)
O-optional measurements)

	screening	catheterization lab	X-ray lab	operating room	intensive care unit	cardiac care unit	exercise lab	rehabilitation	remote
respiratory minute volume				X	X	O	O	O	X
tidal volume				X	X	O	O	O	X
respiratory work, inspiration					O				O
respiratory work, expiration					O				O
lung compliance				O	O				O
oxygen uptake		X			O	O	X	X	X
respiratory quotient		X			O		X	X	X
respiratory resistance		X			O		X	X	X
3. TEMPERATURE									
central temperature		X		X	X	X			X
extremity temperature				X					
4. BLOOD CHEMISTRY									
arterial blood bicarbonate				O	O				O
venous blood bicarbonate				O	O				O
blood analysis	X			X	X	X	X	O	X
blood oxygen concentration		X		X	X	O	O	O	X
5. URINE									
urine output volume				X	X	X			X
urine output volume per hour				X	X	X			X
6. OTHER									
electroencephalograph				X	O				X
measurement of empty blood unit				X					X
chest drainage fluid volume					X				X
chest drainage fluid volume per hour					X				X

For additional and more detailed information on this subject refer to the 2nd Program Report, 8 and 9 October 1970, Study of Applications of Bio-space Technology to Patient Monitoring Systems.

SECTION V
SYSTEM DEFINITION

OBJECTIVE

OBJECTIVE

As a result of the survey and evaluation; define the performance requirements, the preferred system configuration, the critical areas of research and development, and as required, to breadboard hardware/software to validate promising approaches.

SCOPE

Scope

The Patient Monitoring System defined in this document was formulated by a team of engineers and physicians. It was based on a survey of existing state-of-the-art systems followed by an evaluation of these systems. It represents an attempt to improve the capabilities of existing systems by appropriate applications of aerospace technology. The most significant application of this type has been the application of the systems engineering approach of defining the system; from which the real needs for a comprehensive system were determined, and the best available techniques were selected to fill the needs. The system which was evolved is a modular one, tailored to fulfill a variety of hospital requirements. It monitors primarily cardiac, cardiovascular, pulmonary, and body chemistry (blood and urine) parameters, with growth capability for other functions at a later date. The following paragraphs describe the approach followed in developing the system and the rationale involved. The system is more fully defined in the System Specification Section.

APPROACH

GENERAL APPROACH

Definition of the Patient Monitoring System was accomplished by considering the actual needs of a large number of hospitals for monitoring equipment and by then configuring a system which would best meet those needs. During the course of the survey, it became apparent that the state-of-the-art in monitoring systems is quite impressive from a technological standpoint. The systems reviewed, however, all were designed for the particular needs of the people involved, and represent a less than optimum approach to fulfilling the needs of a great number of hospitals. For example, a very small, remote hospital would probably have staff and financial constraints which would prohibit a large-scale system, yet would welcome a system which provides sophistication over and above that available on a component basis. In addition, specialized interests of hospitals, such as cardiac surveillance or pulmonary monitoring, were not easily separable from the overall surgery-oriented large scale systems. These points led to a suggestion that the new system be defined by several separable sets of functions, rather than in terms of location within a hospital or in terms of a single set of large system equipment.

MODULAR CONCEPT

An analysis of potential applications for a Patient Monitoring System resulted in the identification of eleven different patient types and four broad categories of measurable patient parameters, which are described in detail in the section on System Specification. By separating the system into four functional categories allied to the four measurement groups, a definition emerged which was easily handled, and which seemed to cover the needs of the eleven patient types. Furthermore, it became apparent that a hospital could utilize only those functional elements which it needed at the time, if the four broad functional areas could be made to be self-sustaining. This led to the concept of a modular system, consisting of four separable and autonomous modules covering the measurement parameters. These modules consist of a Cardiac Surveillance Module (Module I) to monitor EKG and to detect arrhythmias and produce an alarm; A Cardiovascular Module (Module II) containing a large general purpose digital computer to monitor and analyze EKG and pressures, and to compute significant cardiovascular system parameters; a Pulmonary Module (Module III) to monitor primary pulmonary parameters and to display them in real-time; a Body Chemistry Module (Module IV) to supplement any of the other modules by acquiring blood and urine data for display. Each of the first three modules can be used alone, and all modules can interface with each other. The intent behind this approach is to enable a hospital to configure a Patient Monitoring System out of the four modules offered, by selecting any number of the appropriate modules and interconnecting them. By careful design, the modules can be made to complement each other in the display and computational areas, thereby, eliminating duplication of hardware. With the capability to intercommunicate data, the modules can be connected to form a system network of limited or extensive capability in terms of number of functions as well as number of patients served. For example, a hospital with a need for extensive cardiac monitoring of chest pain patients could be satisfied with the appropriate number of Cardiac Surveillance Modules (Module I) and nothing else. Another hospital, with a history of cardiac surgery might require a Cardiovascular Module (Module II) tied to a Body Chemistry Module (Module IV). Another hospital doing cardiac

surgery could add a Pulmonary Module (Module III) to the combination above if they emphasize the role of pulmonary monitoring. In other words, each hospital need could be satisfied, with some freedom of choice provided for the hospital's particular desires.

ADAPTABILITY

Another feature of the system is its adaptability. This refers to the ability to extend the functional performance of the system generally by adding new types of modules at a later date. In addition, a conversion capability has been built into the Cardiac Surveillance Module (Module I) which enables it to act as a patient station for the Cardiovascular Module (Module Two). This was purposely done because it was felt that the cardiac Surveillance Module will be most extensively used, and that some patients who are normally monitored by this module may require more extensive monitoring on short notice. By providing this conversion feature, an existing Cardiovascular Module could be extended beyond its autonomous patient stations as required.

Thus, a modular system was evolved to cover the significant list of monitoring parameters. In detailing the system definition, major subsystem areas were identified:

- Sensors
- Signal
- Data Processing
- Display
- Control
- Software
- Data Transmission

These areas were investigated to determine functional requirements of the modules as pertaining to the subsystems. Then, any major trade-off areas were investigated as to the proper approach for implementing the functions. Of these, the most significant was the question of a central computer capability versus distributed local processors in the modules. The factors considered included cost, accessibility, sampling frequency, and the resulting preferred configuration consisted of a central computer in the Cardiovascular Module (where it is required) operating in conjunction with minicomputers in the individual modules. The functional requirements and design implementation recommendations for the subsystems appear in the System Specification section.

SERVICING OF REMOTE LOCATIONS

The final point to be made in describing the system approach is related to system coverage, and concerns remote location tie-ins. To extend the system capability in the general case, the concept of remote hospitals must be considered (remote refers to hospitals which must depend on a larger hospital for support.) To accommodate this situation, a data transmission subsystem was included to communicate data from the remote to the main hospital and vice-versa. It is anticipated that this type of link would be mostly used to take advantage of Cardiovascular Module's computational capability in the main hospital, but it could also be used for other functions, such as visual monitoring of EKG's from the remote hospital. Regardless of the use, the most significant factor in the remote/main communication is the link utilized. The most desirable, by far, is a telephone line for economic reasons, but this imposes a bandwidth restriction which must be met. Higher bandwidth links, including

microwave relay, are generally prohibitively expensive on a rental basis, and may be economically infeasible on a buy basis. Therefore, the Patient Monitoring System is designed to accept data from and provide data to modules in remote hospital stations over telephone lines. This is accomplished by digitizing and serial buffering all signals at the interfaces, and reading them out at a rate compatible with the telephone line bandwidth.

The system has been designed to make use of state-of-the-art techniques, married to available low-cost high performance computational equipment, to provide a means for extending patient monitoring capability to a wide variety and large number of medical centers. Sufficient flexibility has been designed into the system so as to enable the later incorporation of newly-developed techniques and equipment without major impact on the equipment in place. This flexibility is concentrated in the areas of the software programs, which are easily expandable by subprogram addition, and in the hardware implementation, where spare amplifiers and connectors are provided.

GROWTH

One of the goals of designing the Patient Monitoring System in functional modules was to enable its logical, orderly and efficient growth according to the growing needs of any given hospital. This goal has been fulfilled by creating a modular breakdown which is most likely to fit a pattern of growing needs, but which will satisfy a set of requirements within each module. In addition, the modular interfaces have been conceived to eliminate duplication and to alleviate the need for significant interfacing equipment. As an example, consider the situation where a hospital installs a Module I (Cardiac Surveillance) capability only, selected because the hospital admits a large number of possible cardiac cases and does little surgery or pulmonary work. If the hospital should, in the future, add a more extensive pulmonary capability, then the Patient Monitoring System can be extended to include Module III (Pulmonary) functions. Specifically, this expansion would be accomplished by providing each appropriate existing Module I patient station with pulmonary sensors and using the existing spare signal conditioners to handle the added sensors. In addition, the minicomputer's software program in the existing Module I station would be replaced by a new program which includes both the Cardiac Surveillance and Pulmonary functions. The same minicomputer hardware would be used for the new patient station. The nurse's station would not change, other than setting the appropriate data display labels and data entry quantities for the new combined Cardiac Surveillance and Pulmonary module.

Similarly, the acquisition of cardiovascular surgery capability by the hospital could be accommodated by the expansion of the Patient Monitoring System to include Cardiovascular Monitoring (Module II) capability. (This set of functions require a large CPU, and it will be assumed in this discussion that such a machine is available.) The changes necessary to convert the appropriate Module I or combined Module I and Module III patient station to handle the Cardiovascular functions include the addition of blood pressure catheters and transducers, the use of spare signal conditioning circuits to handle the new sensors, the replacement of the software program in the minicomputer by a new program which performs the additional Module II functions as well as the other functions, and the connection

of the CPU into the system. Once again, the original minicomputer can be utilized, with an expanded program and with additional sensors, to handle the expanded functional capability. Module IV (Body Chemistry) functions can also be added in a similar manner to increase the functional capability of the system.

In summary, the system is expanded by the addition of sensors at the patient station, utilization of spare signal conditioning circuits to handle the sensors, replacement of the software program in the minicomputer with an expanded program, and, in some cases, the connection to a CPU.

COMPATIBILITY WITH EXISTING MONITORING EQUIPMENT

One of the criteria in the development of the proposed system concept is to provide capability within the system of existing hospital equipment. It is recognized that many hospitals presently have autonomous monitoring equipment, e.g., ECG monitors, which rather than being replaced could, through proper interfacing, become an integral part of an updated and computerized monitoring system.

The ability to accomplish the various interfaces for utilization of existing equipment can be achieved by appropriate modifications or additions to the minicomputer programs. The minicomputer and its software provide an inherent flexibility in the conversion of interface data.

A similar area for effecting interface compatibility is in the hardware pre-processor or data acquisition area. Both approaches, used either singularly or in combination, can result in the utilization of existing monitoring equipment, therefore providing in some cases a significant cost savings to the hospital.

It should be recognized that the hardware versus software (computer) approach to the implementation of existing equipment is one of the considerations to be made in the generation of the system design specification as oriented to a specific hospital application.

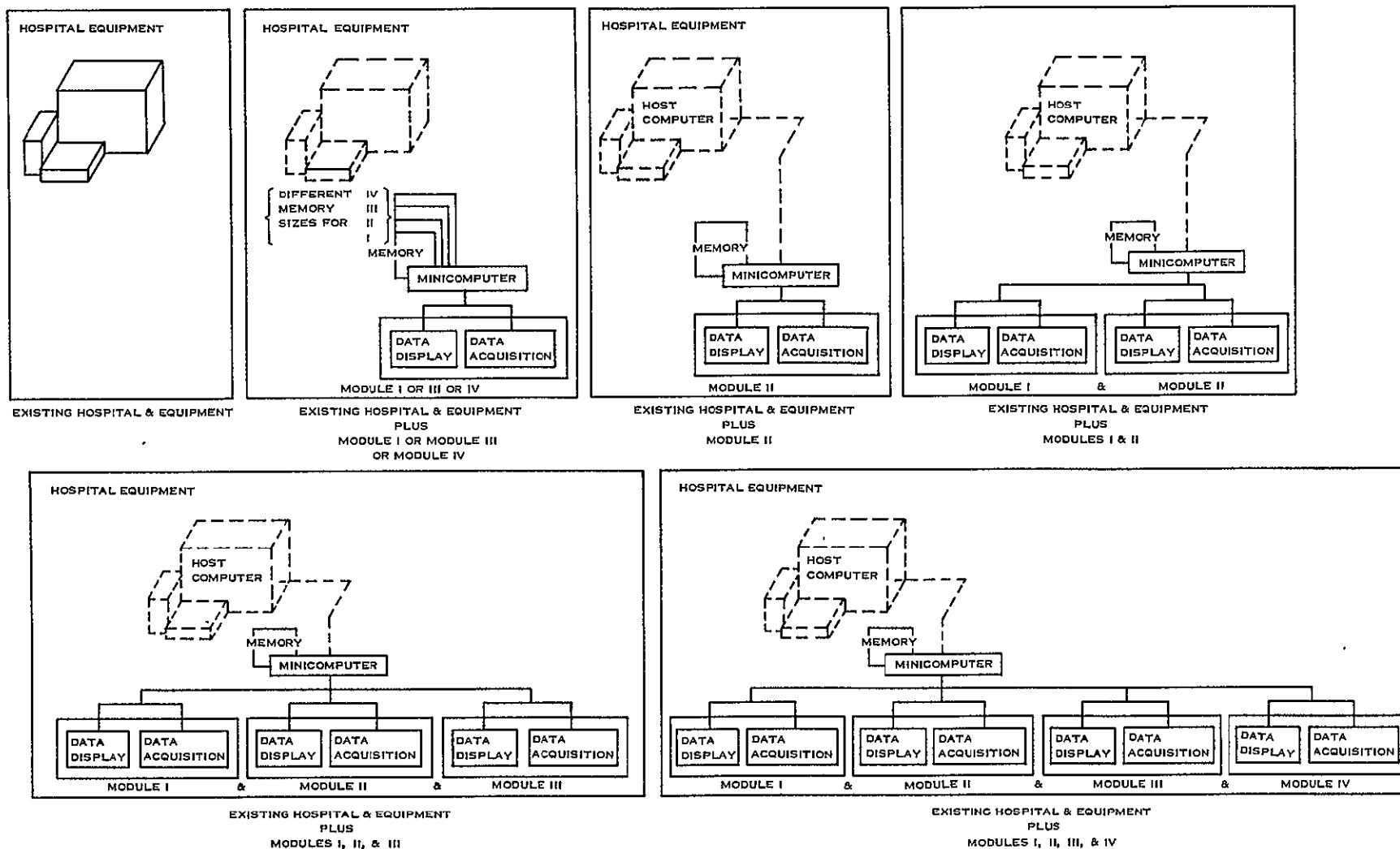
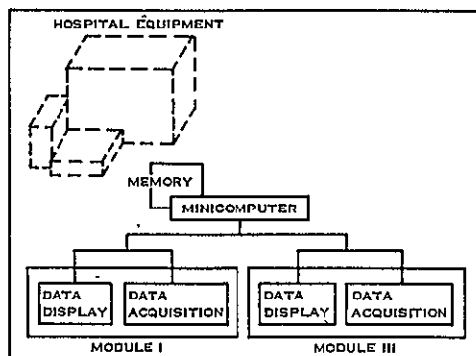
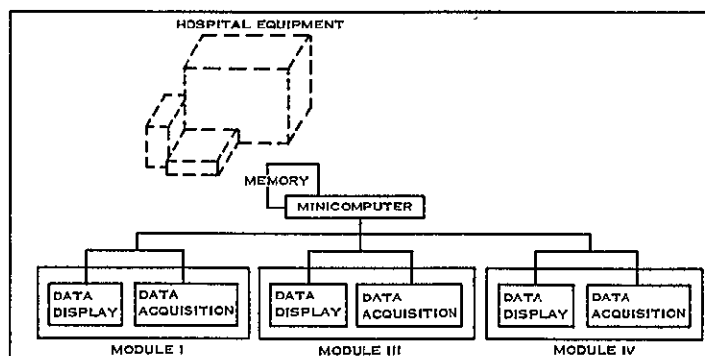


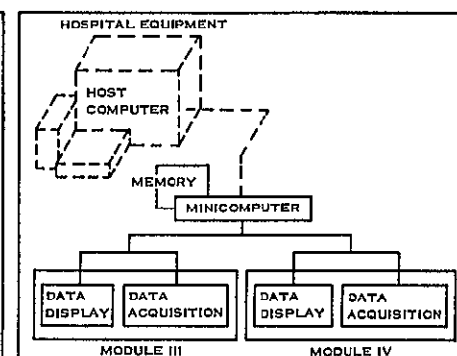
Figure V-1. Examples of Various Configurations of Modules I, II, III and IV and Their Minicomputer Host Computer (Sheet 1 of 2)



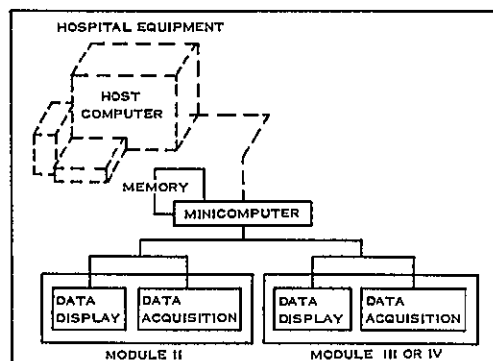
EXISTING HOSPITAL & EQUIPMENT
PLUS
MODULES I & III



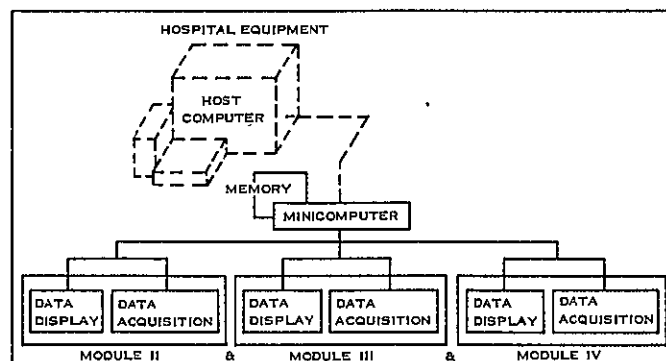
EXISTING HOSPITAL & EQUIPMENT
PLUS
MODULES I, III, IV



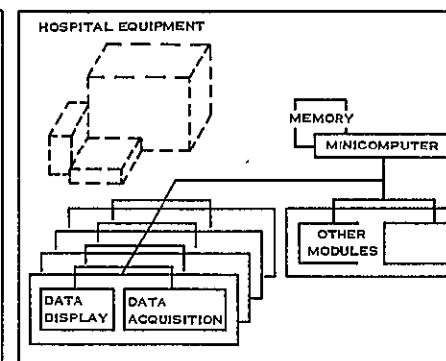
EXISTING HOSPITAL & EQUIPMENT
PLUS
MODULES II, III, & IV



EXISTING HOSPITAL & EQUIPMENT
PLUS
MODULES II & MODULE III
OR
MODULE IV



EXISTING HOSPITAL & EQUIPMENT
PLUS
MODULES II, III & IV



EXISTING HOSPITAL & EQUIPMENT
PLUS
MULTIPLE MODULES OF ONE TYPE IN
COMBINATION WITH OTHER MODULES

Figure V-1. Examples of Various Configurations of Modules I, II, III and IV and Their Minicomputer Host Computer (Sheet 2 of 2)

CONCEPT

SYSTEM CONCEPT

The Patient Monitoring System (PMS) is a functionally modular system which monitors significant body parameters of patients in various locations throughout a medical center. The measurements include Cardiac Surveillance, Cardiovascular, Pulmonary, and Body Chemistry, with further parameter breakdowns listed elsewhere in this report. The system can be expanded to handle virtually any number of patients simultaneously. Physically the equipment comprising the system consists of three major groups: the patient station, the nurses' station and the computational facility (refer to the system block diagram and conceptual illustrations (Figures V-1 thru V-6).

PATIENT STATION (BEDSIDE)

The patient station (which may be portable or fixed) typically includes sensors to attach to the patient, and a console containing signal conditioning and local processing electronics (hidden from view) and a control keyboard with auxiliary switches as well as multi-channel constant-intensity, non-fading, CRT and digital display panel. Strip or chart recorders and printers for hard copy record may also be included in the console. The functional measurement capability of the station is modularly selectable, and is determined by the physical blocks of equipment and by the software program in the local processor. To operate the patient station, the equipment is first connected to power and signal plugs which are provided at the bedside to tie the station into the overall system. Then the patient connections are made, consisting of surface electrodes, thermistors, catheter transducer attachments, etc. The station is then initialized and calibrated by the operator and data pertaining to the patient is entered via the keyboard control. The data includes physical patient parameters, computational modes desired, alarm limits and special medical instructions. The patient is then monitored by the system, which displays the patient data at the bedside station, as well as at the nurses' station. Control and display are interactive, using the "tree" technique. At any time (and from any station), data from any patient (current or historical) can be displayed by properly sequencing through the tree via the keyboard.

NURSES' STATION

The nurses' station typically consists of a console with displays for each patient in the area who is connected to the system. The patient displays are grouped into 4-patient sets, with the nurses' station expandable, in groups of four patients, by the addition of one or more 4-patient sets. Each set includes a four channel constant-intensity, non-fading CRT, four groups of four digital displays (one group of four for each patient), one to four chart recorders for hard copy and four alarm lights and buzzers (one for each patient). In addition to the four-patient display set(s), the nurses' station also includes a keyboard for data entry into the system, a multi-channel CRT for displaying alpha-numeric and graphical data (parameter trends, histories, and selection trees, for example), a printer for hard copy patient data, and an intercom/telephone connection and control which enables voice communications with patient stations, other nurses' stations and the computational facility. Functional performance of the nurses' station is selectable in a modular manner, by means of the patient stations chosen for use. The data acquired by each patient station is made available to the nurses' station by means of cable interconnections, which also serve to connect the controls of the nurses' station to the appropriate patient station. A single nurses' station can be used to monitor a number of different configurations of patient stations, with the different patient parameters displayed and correctly labeled by the nurses' station. Each patient station requires one quarter of a four-patient display set in the nurses' station.

Operation of the nurses' station involves activating the four-patient sets corresponding to the patient stations in use, followed by selection of parameter labels for each display. Then, alarm limits are set by means of the keyboard at the nurses' station (or at the patient station), and monitoring at the nurses' station commences. The keyboard and multi-channel display enables the operator to select any patient on the system for data display, just as at a patient station.

COMPUTATIONAL FACILITY

The computational facility consists of a large capacity central processing unit, used for the cardiovascular monitoring functions, plus a station for data display and control. The station placed with the computational equipment is similar to the nurses' station, but includes only one four-patient display set, with additional logic provided to enable switching of data from any patient connected to the computational facility onto the display. This station is intended for trouble-shooting and maintenance use, and could be integrated with the computational equipment itself. Neither the large CPU nor the station is necessary unless the cardiovascular measurements module is included in the system.

OTHER INPUT TERMINALS

In addition to equipment groups at the bedside, the nurses' desk and the computational facility, there are other locations where groups of equipment could be located. These include (as examples) the operating room, and the cardiac catheterization laboratory. It is anticipated that these equipment groups would essentially be patient stations with slight modifications to tailor them for special use. For example, an operating room station will put great emphasis on easily read displays (large, wall-mounted CRTs, color-coded traces, if possible) and less emphasis on full control capability.

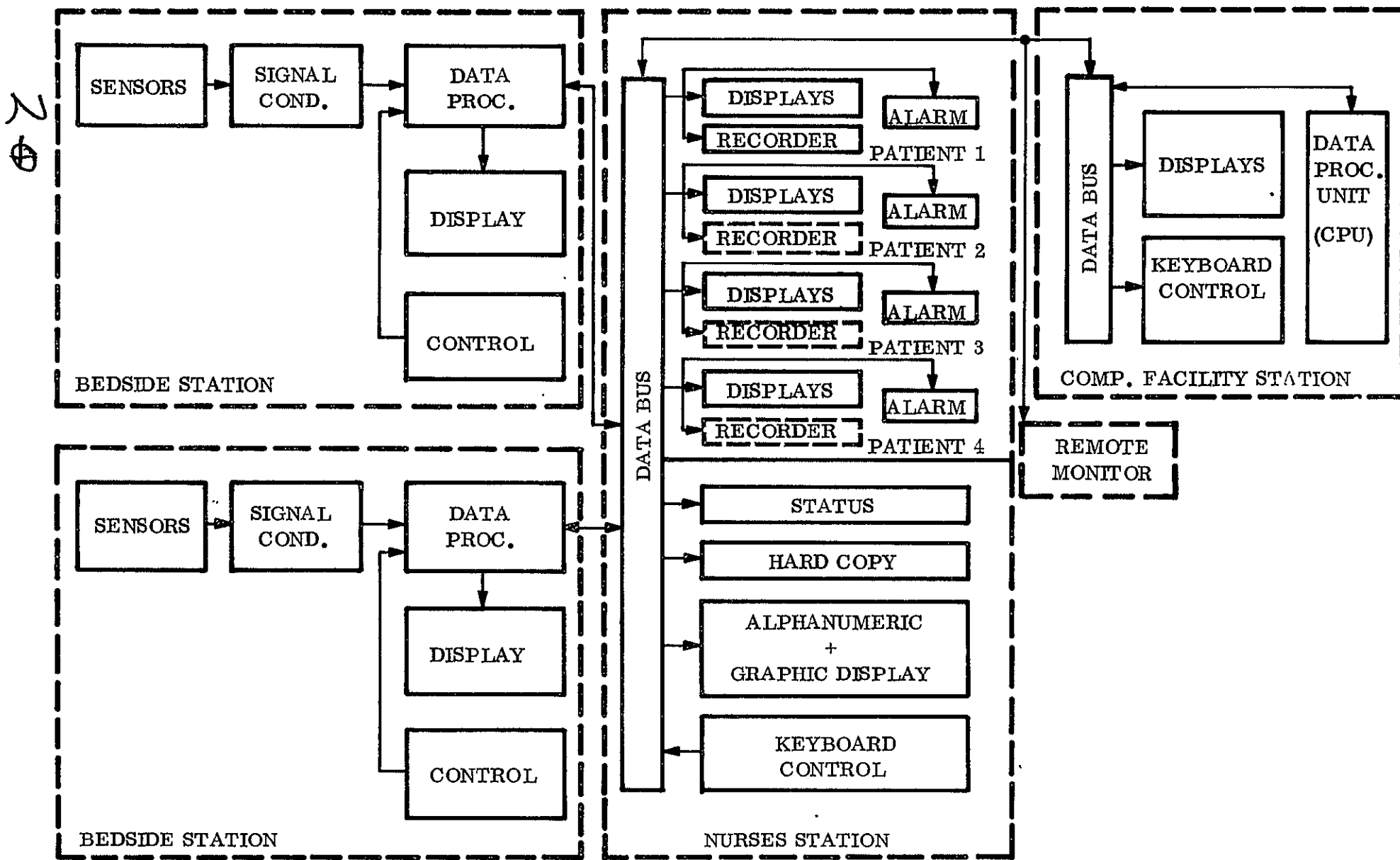


Figure V-1. Typical System Block Diagram

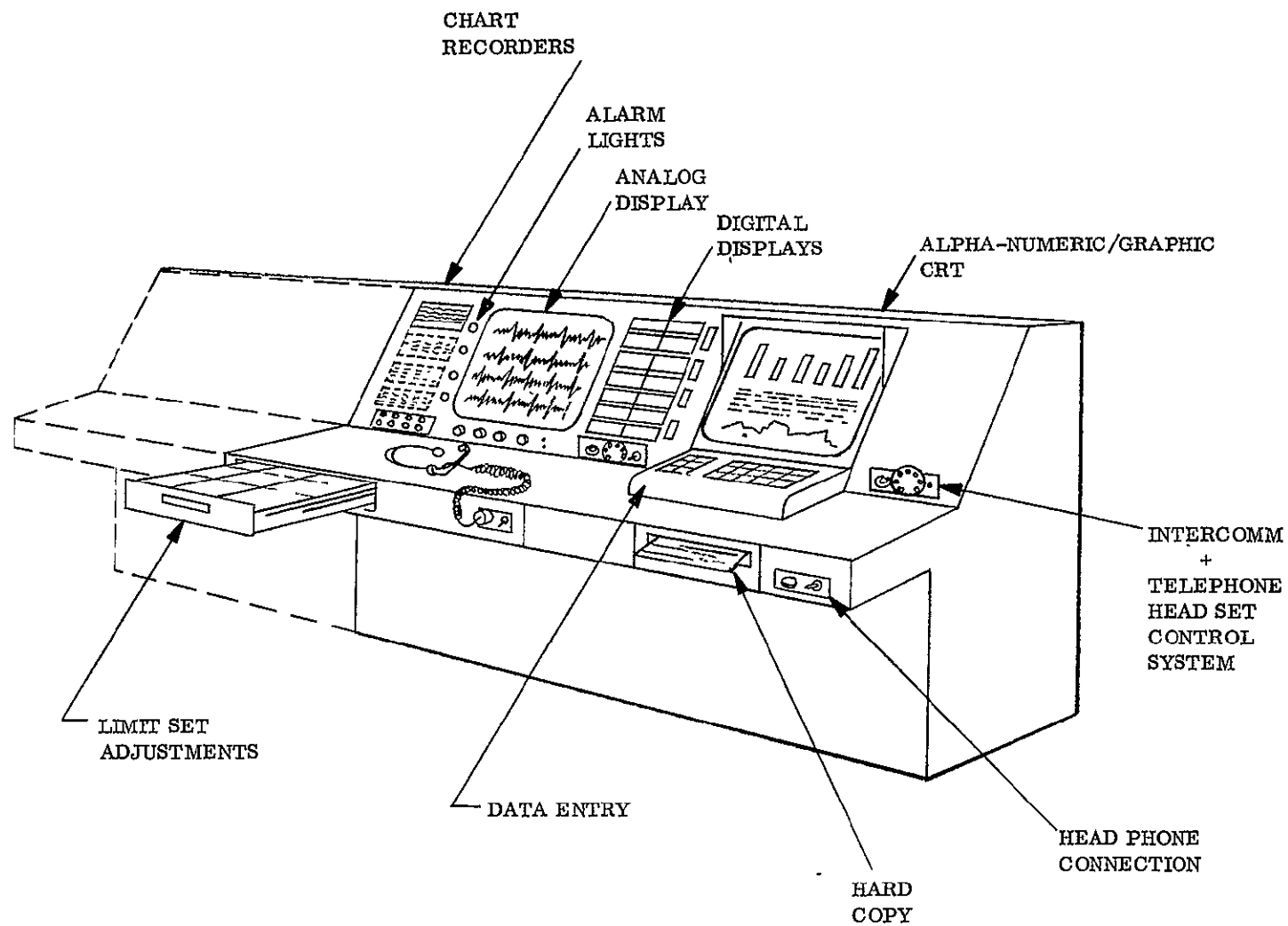
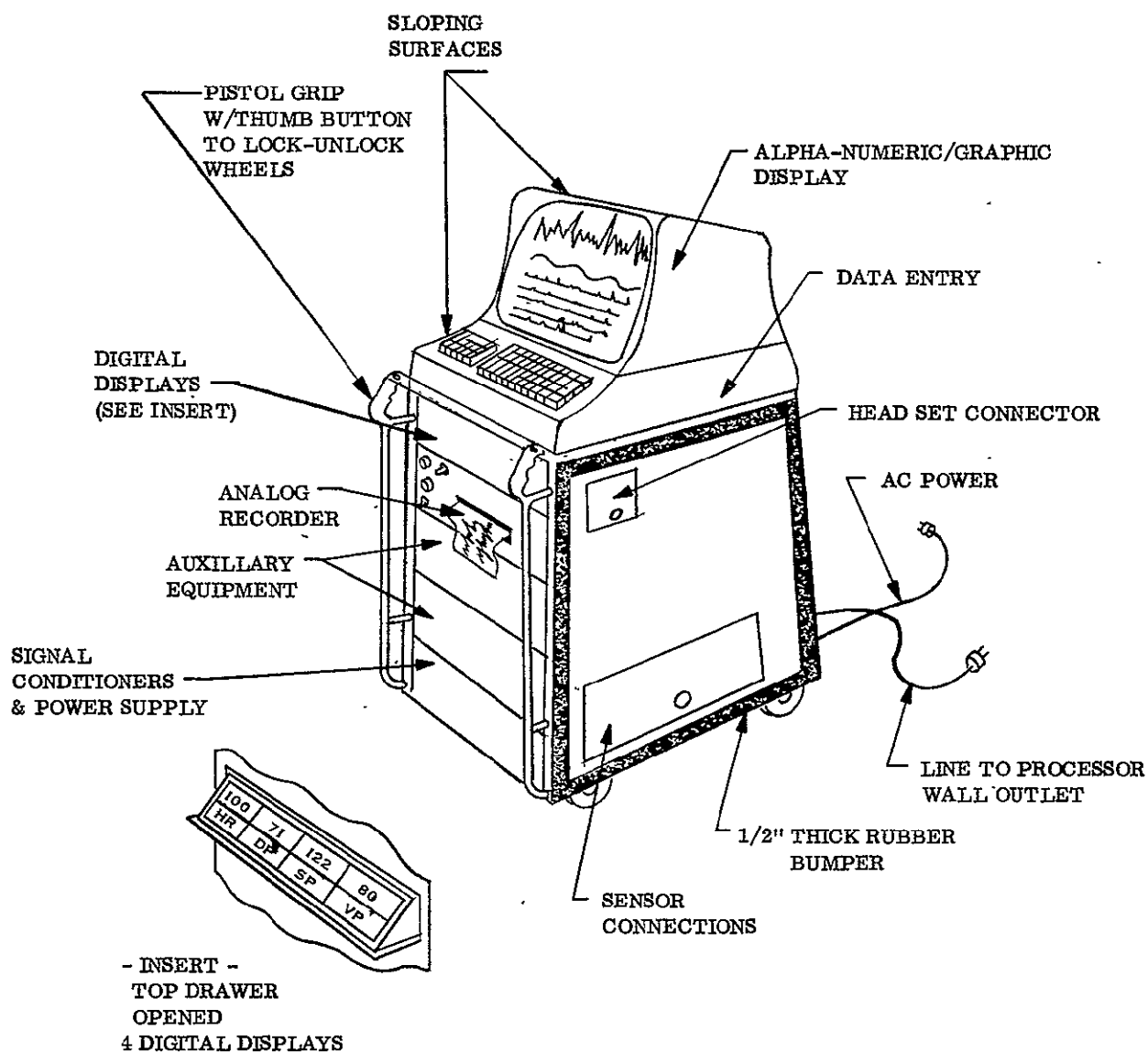


Figure V-2. Nurses Station (Conceptual)
(Typical)



- 4 THREE DIGIT DIGITAL DISPLAY
- ALPHA-NUMERIC/GRAPHIC DISPLAY
- DATA ENTRY
- VOICE COMMUNICATIONS (HEAD SET W/MIC)
- SIGNAL CONDITIONING
- SELF-CONTAINED POWER SUPPLY
- COMMUNICATION LINK TO COMPUTER
- DUAL CHANNEL CHART RECORDER
- OPTIONAL AUXILIARY EQUIPMENT
- DEFIBRILLATOR

Figure V-3. Remote Portable Unit (Conceptual)

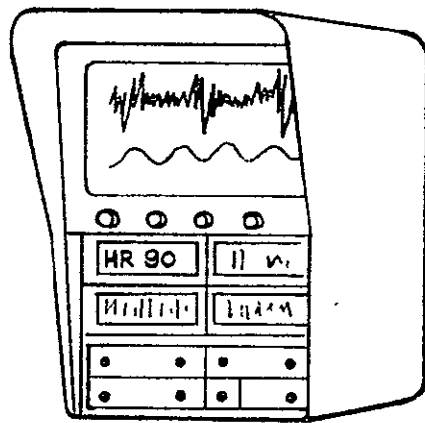


Figure V-4. Bedside (Conceptual)

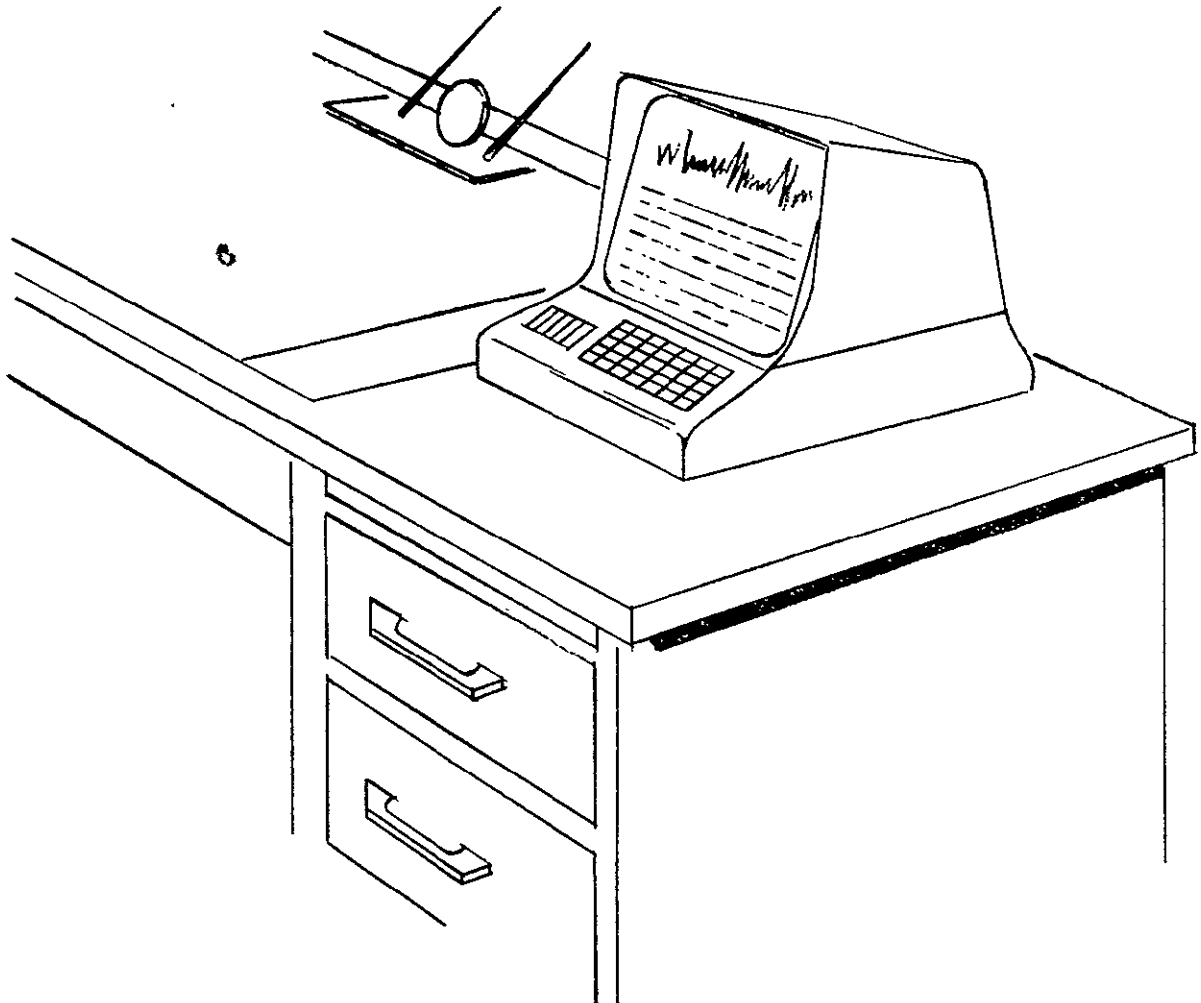
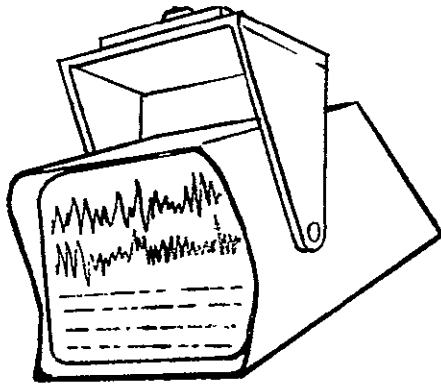
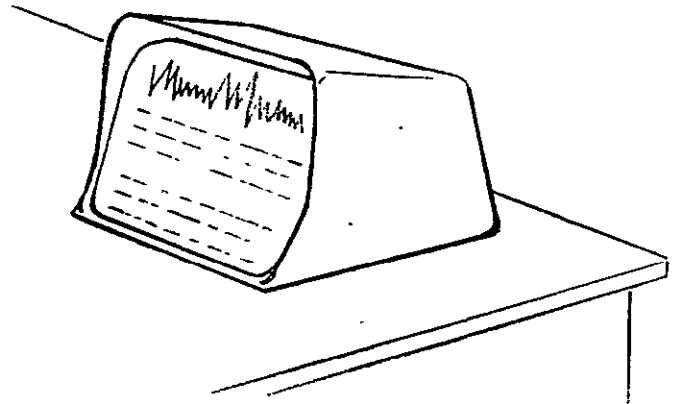


Figure V-5. Remote Desk-Top Unit (Conceptual)

Display & Data Entry



OVERHEAD



DESK

Figure V-6. Remote Monitor Conceptual

RATIONALE - TRADE OFFS

RATIONALE-TRADEOFFS

The intent of this section is to report on the activities which centered around developing the rationale and tradeoffs for the patient monitoring system specified in this document.

This section provided a mechanism by which technical discussions, selectivity in systems concepts, and components would be effected. This was necessary in order to provide a system that would operate in accordance to the demands of a modern patient monitoring system. The tradeoff would provide such things as the proper configurations, flexibility, and modularity. Also, to take advantage of recent technological developments, (both medical and engineering), types of processing methods, speeds, capacities, and modes as well as many other technical, operational, and cost effective considerations. The rationale-tradeoffs provided a means of separating and selecting the meaningful system determinates from multiple alternatives. The mechanisms by which these tradeoffs were made involved both rating systems and technical discussion. The topics reported upon in this document are only those of primary importance and of highest complexity to a patient monitoring system namely: the processing and the communications systems.

DATA PROCESSING TRADE-OFFS

An automated Patient Monitoring System definition is challenged initially on the basis of the optimum approach to data processing. Three (3) primary options are defined and evaluated (other options are possible):

- A. Relatively autonomous data processor within a modular subsystem structure designed for use within a patient room or cubicle. Primary data processing, control and display are accomplished in-situ; limited remote display may be provided. Data processing capability of this configuration is limited.
- B. A master controller, processor and central display system (Central Processing Unit - CPU) designed for interface with all modules of one or more units (for instance of four (4) patients per unit). This system provides for central control, monitor and display of parameters, extensive data processing, remote (other than CPU) control, monitor and/or display of patient status and the potential for growth. The system additionally provides the capability for processing, storing and displaying records, lab data, medical texts, patient billing, care schedules, etc. Extremely limited local processing exists within the modules.
- C. A combination of, or the capability for combination of (A) and (B).

Providing a system (rather than several) will reduce overall cost of automated care, simplify professional adaptation, accelerate further development from well defined baselines, etc. The accompanying charts (trade matrices) Figure V-7 are intended to provide a logical verification of the intuitive selection of a system capable of satisfying the majority of the requirements.

Systems (B) and (C) may not be economically feasible for single hospitals, hospitals not associated with research institutes, hospitals in the 200 or less bed range, and institutions not dedicated in part or entirely to specialty care such as heart-lung patients. System (A) however, may be implemented on a modular basis by nearly all institutes for a minimum of one (1) or more patient capability or in combinations of modules dependent upon the hospitals particular needs and budgets. The system could be interfaced with a regional computer and may eventually be upgraded to provide the equivalent of system (C). System (A), with its limited capabilities, is less than optimum for the institutions not included above and would not provide the degree of extended capability necessary for the size and scope of activities and the budget constraint profiles. System (B) satisfies this category of institutions but entails a system different from (A) and not necessarily compatible. System (C), however, is a growth version of system (A). It satisfies the basic modular concept, and allows for

growth while not requiring it. The basic CPU may be added at anytime with a selected range of peripherals that provide for either physiological monitor only, or patient monitoring plus additional peripherals for billing, or patient monitoring plus billing and etiologies, and so forth. System (C) also has the inherent capability for reversion in operational mode to System (A) in varying degrees dependent upon the need. Selected patients would be monitored via the central display and/or room displays with local processing rather than CPU processing. Situations which may require reversion, for example, are: significantly increased patient load (temporary peaks), CPU downtime required, etc. Thus, system (C) has both an equipment emplacement and an operational flexibility and is considered to be the optimum system of which incremental portions will satisfy the majority of health center needs in concert with their particular constraints.

The following chart, Figure V-7, represents a quantitative evaluation of the trade-offs concerned with the data processing subsystem.

A. CHART CONTENT NOTES

Areas that are equivalent or nearly so are excluded; some areas are or would be designed to an equivalent level (safety, reliability, etc.); however, certain system characteristics provide mitigating circumstances resulting in listing of that factor.

B. WEIGHTING FACTORS ASSIGNMENTS

1. Two equivalent salient goals of the Patient Monitoring System are:

- Provide improved medical care
- Provide a system on as expandable basis as possible (make available to the community hospitals as well as the large, research supported hospitals)

Each of these factors is assigned a weighting factor of 10 as the highest possible rating.

2. Patient safety and equipment reliability are rated next at 9 each.
3. Maintainability, flexibility and growth, autonomous operation capability, simplicity, patient/attendant loading are rated 8 each.
4. Data handling capability, dedicated monitor capability, integral cal and fault isolation and initial cost are rated 7 each.
5. Capability for specialized physiologic data processing, and capability for application to maintenance of patient records, billing, etc. are rated 6 each.
6. Each configuration's ability to satisfy the criteria is assigned a range for application of the weighting criteria. The figure of merit is the range (percent) times the weighting factor.

C. SYSTEM TRADEOFF RESULTS

As a result of the characteristics evaluated in the charts of the following pages, system C (LPU plus CPU) was identified as optimum. Specifying this one integrated, modular system provides both standardization and a modular build-up approach such that all levels of procurement capability may be satisfied.

Problem: Determine Preferred Patient Monitor Data Processing System									
Weighting Factor	Problem Definition and Selection Criteria	Range of Weighting Factor Applied	Alternate A - Small Local Processor* Only (Patients Room)	Alternate B - Large Central Processor (Minimal Local Processing, if any)	Alternate C - Large CPU Couplable to small Local Processors & Related Equip.	Selection Comments	Figure of Merit		
							A	B	C
10	Equipment "available" to "all" hospitals large and small	100% 20% 80%	Yes (assume 80% of institutions may apply this equipment only)	No (assume 20% may procure this equipment)	No for total system . Yes for portions (assume 100% of users served)		10.0	2.0	8.0
8	Flexibility and growth capability	20% 40% 80%	Growth in number of parameters monitored and degree of processing is limited by LPU size and by cost of extending each LPU employed.	Growth capability reasonable by adding peripherals, modifying software, etc., may be also applied for non-patient monitor tasks.	Offers optimum as combination of A and B where growth attained primarily via CPU; additionally, a CPU may be shared by several small regional hospitals.		1.6	3.2	6.4
8	Patient/Attendant Loading	20% 40% 40%	Requires personnel for control and monitor of trends and diagnosis outside the scope of LPU.	Provides extensive data analysis, trend indicating etc. and therefore may provide for reducing monitor & control manpower.	Same as B		1.6	3.2	3.2
8	Autonomous Operation	50% 20% 80%	Modules operate independently; when one goes down, others not affected; defective module replaceable; difficult to accomplish meaningful data interface between modules.	If CPU goes down, system goes down; room equipment modules are still replaceable, CPU correlates all patient module data; nearly impossible to schedule downtime.	CPU breakdown and scheduled downtime has minimal impact on patient since modules (including LPU's) function independently with a decrease in system sophistication.		4.0	1.6	6.4
7	Data Handling Capabilities	20% 20% 50%	Limited by capability of LPU; increase of data handling sophistication results in increased cost for each independent unit.	CPU must handle large quantities of patient data reducing effectiveness and limiting application of CPU for billing, research, etc. requires complex I/O.	Pre-processing reduces data flow and central processor load; allows for scaling data handling in accordance with patient requirements.		1.4	1.4	3.5
			*All three solutions assume a central remote display is provided.			Selection Result: Subtotal	18.6	11.4	27.5

Figure V-7. System Configuration Trade Matrix

Weighting Factor	Problem Definition and Selection Criteria	Range of Weighting Factor Applied	Problem: Determine Preferred Patient Monitoring Data Processing System			Selection Comments	Figure of Merit		
			Alternate A - LPU Only	Alternate B - CPU Only	Alternate C - LPU Plus CPU		A	B	C
7	Dedicated Monitor and Processing	50% 20% 70%	Continuous monitor and processing of a limited quantity of critical parameters is possible. Extensive processing for such things as full range arrhythmia detection and <u>classification</u> is not probable.	A many patient oriented CPU cannot perform continuous monitor of EKG and other assigned critical items; interrupts for other operational tasks, system downtime, etc. and number of patients monitored prevent continuous monitor.	LPU may perform limited continuous monitor in conjunction with high sample rates of other parameters; e.g. LPU may perform arrhythmia detection while the CPU would prefer the arrhythmia classification including correlation of "associated physiologic parameters".		3.5	1.4	4.9
7	Initial Cost Hardware	80% 40% 20%	Minimum (LPU 10-15K)	High (CPU in six to seven figures)	Selectable - cost vs capability. To provide system A which is expandable to system C, a small increase (over A) is probable		5.6	2.8	1.4
	Software	80% 40% 20%	Minimum due to limited analytical capability required.	High - total detection, classification and control.			5.6	2.8	1.4
8	Operations & Maintenance Costs	70% 60% 30%	LPU's may not significantly reduce number of personnel required on floor; in comparison to B and total C maintenance cost small; no auxiliary functions may be accomplished to improve operational cost picture; equipment operation & maintenance accomplishable by relatively unskilled personnel.	Personnel required for maintenance programming functions are additional skilled individuals; system would relieve medical personnel from routine duties; system may be applied to billing, research and other areas to improve cost effectiveness of operation. Equipment servicing repair and calibration cost higher than "A".	LPU - Same as "A"; Combination - Operation and maintenance cost is approximately "A" plus "B" since the skilled personnel required for the CPU computer will be the same personnel servicing the LPU's and associated equipment but there are several LPU's plus the CPU.		5.6	4.8	2.4
6	Capability for Specialized Data Correlation, Plots, etc.	10% 80% 80%	Practically None	High	High		0.6	4.8	4.8
Selection Result: Subtotal							20.9	16.6	14.9

Figure V-7. System Configuration Trade Matrix (Continued)

Weighting Factor	Problem Definition and Selection Criteria	Range of Weighting Factor Applied	Problem: Determine Preferred Patient Monitoring Data Processing System			Selection Comments	Figure of Merit		
			<u>Alternate A</u> - LPU Only	<u>Alternate B</u> - CPU Only	<u>Alternate C</u> - LPU Plus CPU		A	B	C
6	Capability for maintaining and/or accepting patient records, schedules, etc.	10% 80% 80%	Practically None	High	High		0.6	4.8	4.8
9	Reliability Aspects (See Notes)	70% 40% 80%	System lacks extensive failure monitor and diagnostic routines but has component monitors which would indicate that replacement of a particular monitor is required.	Least reliable system wise since system is ineffective when CPU goes out (See notes); sophisticated failure monitor and diagnostic routine is available however.	Most reliable system performance is adequate via LPU's when CPU is down, room modules replaceable; sophisticated failure monitor and diagnostic routine is available (For both CPU & LPU equipment).		6.3	3.6	7.2
9	Safety Aspects (See Notes)	70% 40% 80%					6.3	3.6	7.2
10	Medical Efficacy	50% 60% 80%	Provides limited automation but presents basic capability; significant improvement over non-automated system but not the optimum.	Significant extension of capabilities and automation - similar to "C", however, system "B" lacks expandability by reverting specific patients to LPU only mode.	Provides a sophisticated system with capability for extensive data correlation, plotting, etc. with a back-up operating mode; adjustable for patient load.		5.0	6.0	8.0
8	Simplicity	80% 60% 70%	Relatively simple equipment with line level adjustments and controls minimized.	Complex machine and programs; prodigious data handling and storage - this is essentially a combination of LPU and CPU functions in one machine.	Complex but in workable entities of both hardware and software.		6.4	4.8	5.6
7	Integral Cal & Fault Isolation	40% 80% 80%	Integral cal available; fault isolation not extensive if it exists at all.	Integral cal and/or cal via CPU available; extensive fault isolation available.	Same as "B"		2.8	5.6	5.6
Selection Result: Subtotal							27.4	28.4	38.4

Figure V-7. System Configuration Trade Matrix (Continued)

Weighting Factor	Problem Definition and Selection Criteria	Range of Weighting Factor Applied	Problem: Determine Preferred Patient Monitoring Data Processing System			Selection Comments	Figure of Merit		
			<u>Alternate A</u> - LPU Only	<u>Alternate B</u> - CPU Only	<u>Alternate C</u> - LPU Plus CPU		A	B	C
5	Facilities Cost (Room, Sub- Floors, Air Conditioning, etc.)	80% 20% 60%	Minimal Cost - Generally com- patible with patient room; cen- tral display area and cabling is the only significant burden.	Computer facility require- ments are extensive - sign- ificant cost. Machine I/O and data storage require- ments requires facilities more extensive than any other configurations.	Computer facility require- ments are moderately less than "B" since CPU complex is less exten- sive.		4.0	1.0	3.0
5	Compatibility With Existing Equipment and Software	30% 60% 20%	Extensive mini-computer pro- grams do not exist - new soft- ware packages required for LPU.	Existing software packages developed for IBM 1800, CDC 3200/3300 probably compatible - (However, few are compatible with each other).	New software packages for both CPU and LPU.		1.5	3.0	1.0
5	Back-up and spares inven- tory required.	80% 40% 20%	Lowest	Median	Highest		4.0	2.0	1.0
Selection Result: Subtotal							9.5	6.0	5.0
Grand Total							76.5	62.4	85.8

Figure V-7. System Configuration Trade Matrix (Continued)

DATA PROCESSING SUBSYSTEM ARCHITECTURE

Data Processing can be accomplished with a variety of machines, ranging from simple programmer/sequencers to sophisticated large scale general computers, depending on functions to be performed. The complete Patient Monitoring System will be implemented with a combination of these machines, including a Central Processing Unit (CPU) operating in conjunction with local module processors. The CPU will be included in the cardiovascular module, and the local processors will be minicomputers. The minicomputers will have easily expandable memories, so that different data storage requirements in each module can be accommodated with the same basic hardware. In addition, the software programs of the minicomputers will be modular, so that the differing requirements of each functional system module can be programmed into the same hardware.

Emphasis has been placed on the use of "minicomputers", and each of the 4 PMS modules could utilize a minicomputer as a preprocessor or total processor. The term "minicomputer" is catchy but misleading; while "mini" is appropriate when referring to physical size and cost, it is not when one is considering computer power. Today's small computers outperform many of yesterday's large computers. There are available commercially small digital computers, low in cost, that can do a variety of jobs extremely well.

One can view the computer as an element in the hierarchy of information transfer devices as indicated in Figure V-8. The central processing unit (or mainframe) does all of the logical and arithmetic processing associated with the computer. Figure V-9 presents the block diagram of a basic minicomputer while Figure V-10 presents a generalized minicomputer system. The host computer represents a larger computer to which the minicomputer can be tied for configuration which require larger capability. Peripheral subsystems are connected to the I/O bus utilizing standard interface characteristics. Through this I/O bus flow commands or output data from the minicomputer to its attached display subsystem and control subsystems, while measurement information, buffered by the signal conditioning subsystem, flows in the opposite direction.

The low cost availability of minicomputers permits their assignment for specialized monitoring and control tasks that remain cost effective while possessing adequate flexibility and computational strength. The architecture of minicomputers will be described later, but two significant formulations are the "general purpose" and the "special purpose" configurations.

The general purpose configuration offers a powerful computer having a "normal" instruction capability of mathematical operations, and input/output control, etc.; this configuration can be used for any general purpose by changing its stored program. This general flexibility would permit the minicomputer to perform varied functions, such as EKG monitoring, temperature monitoring, or blood pressure monitoring, etc. by changes of its program memory.

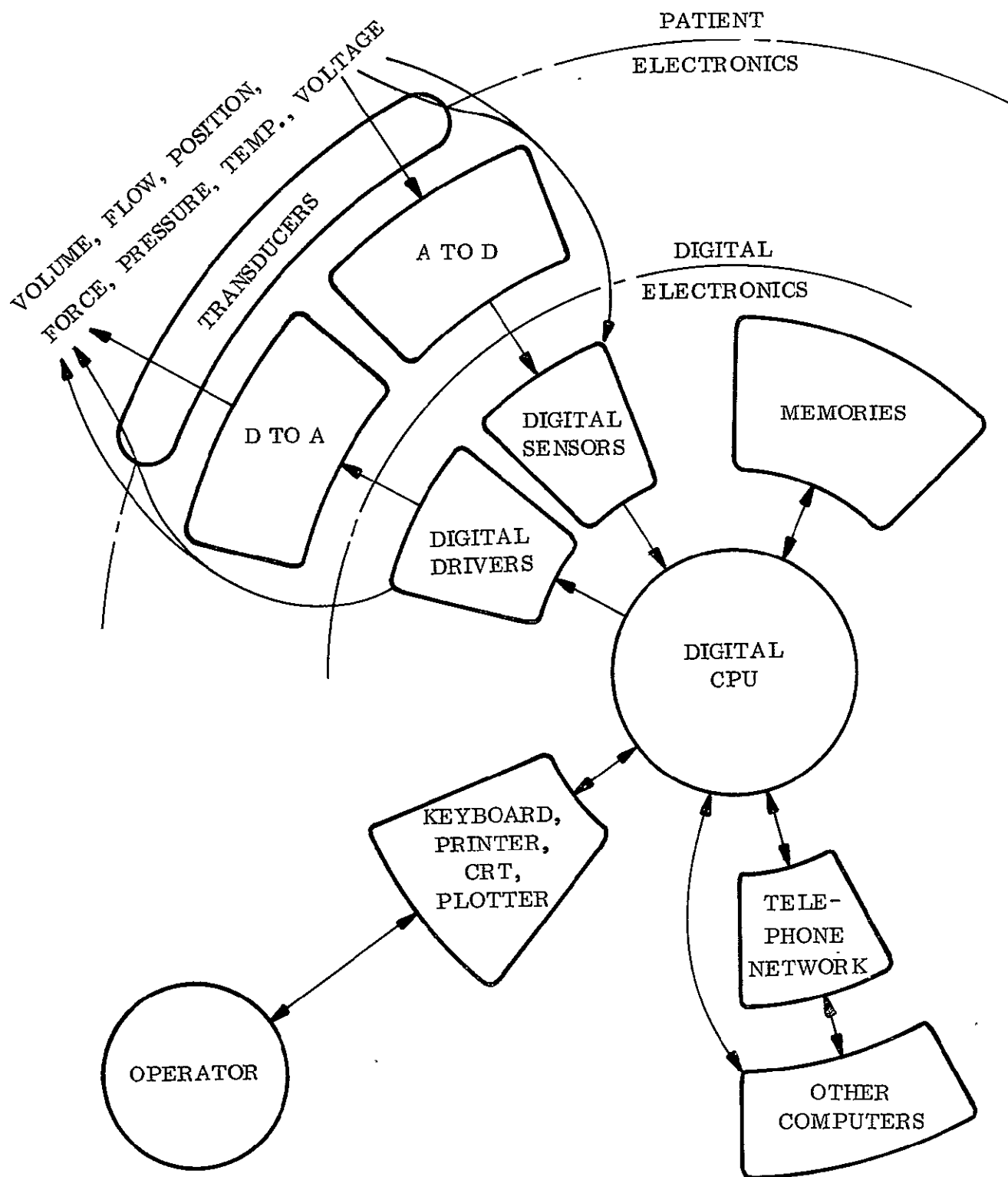


Figure V-8. Computer's Role in an Information Network

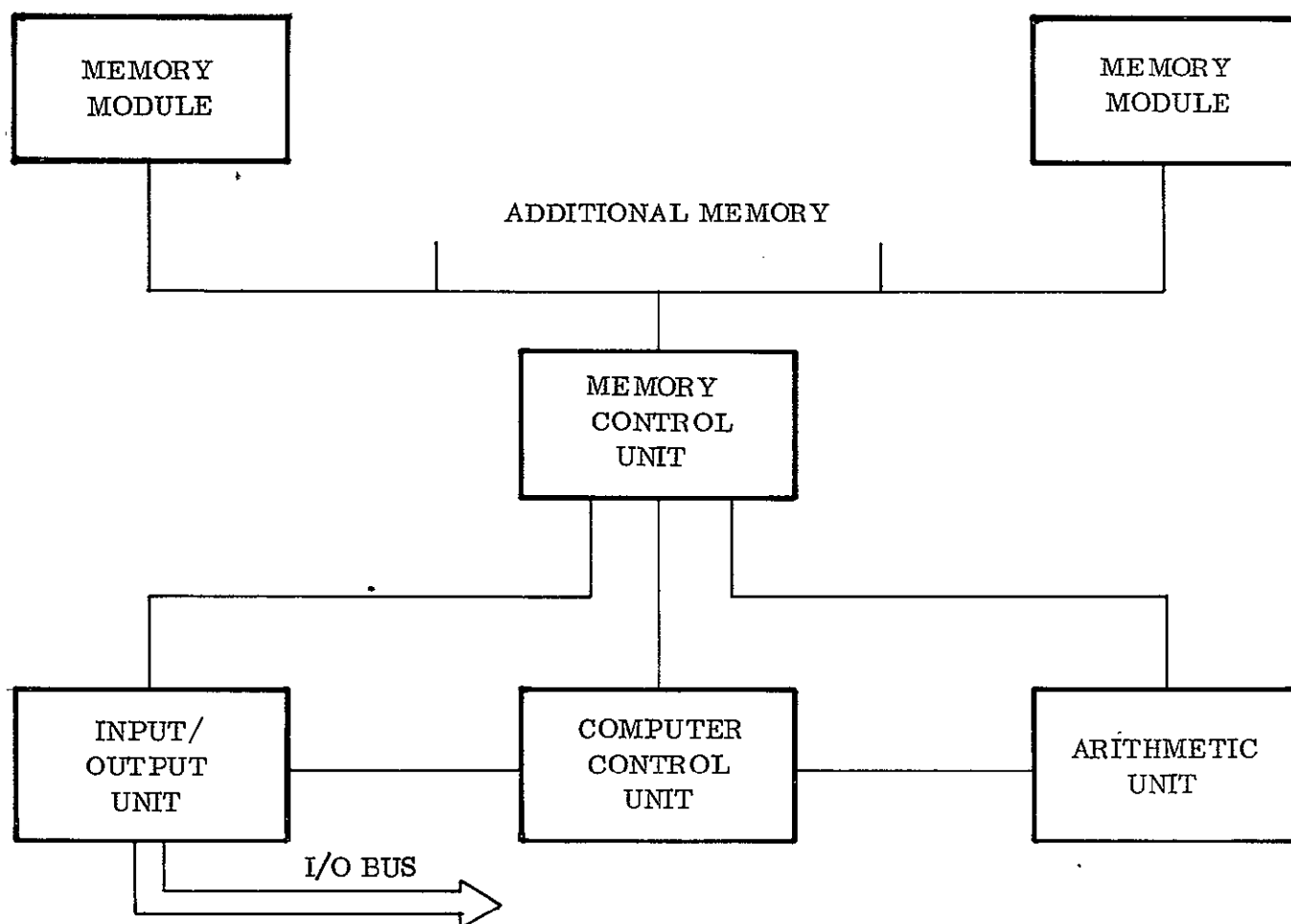


Figure V-9. Block Diagram of Basic Minicomputer

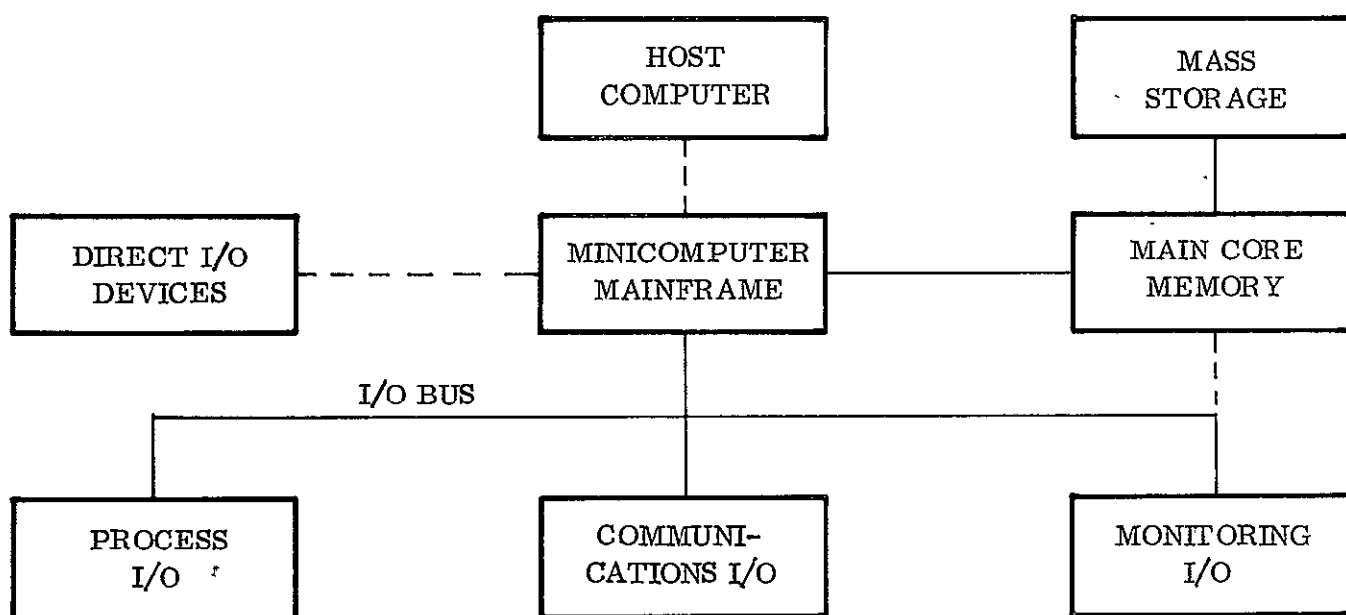


Figure V-10. Generalized Minicomputer System

The special purpose configuration permits the arithmetic operations to be changed beyond "normal" operations to specialized, more efficient algorithms. Special algorithms, such as fast fourier transforms or frequency spectrum analysis, may be desired to classify arrhythmia patterns, and to detect and alert the staff in the event of a serious change of the pattern. Incorporating these special algorithms with normal instruction repertoire is inefficient in both computer size and speed; the special arithmetic capability permits these computational algorithms to be performed in less time and using less of the computers capability.

The minicomputer, using its I/O bus, can store and retrieve information from varied peripheral data repositories. Thus monitored data could be accumulated on tape units or disc storage units for either off-line processing by other computer systems or for later retrieval by the minicomputer. The standard interface of the I/O bus also allows the minicomputer to communicate with a larger "host" computer receiving information from a large data base, receiving instructions from this off-line computational facility, and sending data to the host computer, as in the case of the cardiovascular module.

The host computer (used only if cardiovascular monitoring is required) is a large data processing system which can serve multiple functions in addition to supporting the PMS computational modules. The transfer of information between the host computer and many minicomputers can be accomplished by data phone modems or by hardwire connections and sequential servicing of the host computer to each of the minicomputers. The large storage, computational, and control capacity of the host computer enable it to serve as a central data repository, a central controller of the remote PMS modules, and to perform complex computational tasks such as EKG arrhythmia analysis, and determining cardiac output. In addition to these roles, the host computer may be available to perform background functions, such as accounting/billing, to ensure its complete utilization.

The low cost of the minicomputer is obtained through the design of a basic computer which will have broad application. The use of minicomputers in a larger system then is not an activity of designing a computer to satisfy the system requirements, but utilizing an existing computer configuration in a method which can best satisfy the system requirements. From this apparent component inflexibility arises the system strengths:

- low cost -- mass production
- interchangeability -- standardized computer
- standardized interface
- computational strength

In addition to minicomputers which are organized along the structure of normal general purpose data processing machines, some models are available which utilize micro-programming architecture. A micro-programmed machine separates the arithmetic segment of the computer into small functional pieces, which can be interconnected by memory control words to perform non-standard arithmetic activity. This additional capability enables the minicomputer to perform special algorithms in less time than possible with standard instructions; this feature is desirable whenever "real-time" computing is required as it raises the bandwidth of the computational system, resulting in better performance.

The drawback to such a feature is that when the machine has been changed at the micro level then maintenance, troubleshooting, documentation, and operations (especially at the programming level) become non-standard with the difficulties that accompany non-standard situations.

The minicomputer thus offers a distinct, cost effective method to provide local monitoring of the patient's status, display of pertinent parameters, and local data reduction/data storage. When interconnected with larger systems the minicomputer provides an effective data transfer path between the patient and the central computer, and can preprocess measurement data to achieve desirable data compression.

The following paragraphs discuss the data processing functions to be performed in each module, and show how the computational subsystem architecture selected for the system can fulfill all of the requirements.

MODULE ONE - CARDIAC SURVEILLANCE

The data processing requirements of the cardiac surveillance module include the acquisition and conversion of EKG data and the subsequent analysis of the converted data. Existing techniques for cardiac surveillance (in the form of available hardware) are rather simple and suffer from susceptibility to artifacts (noise), yielding false alarms. Attempts to overcome this susceptibility often involve decreasing the sensitivity of the basic technique, thereby increasing the probability of missing a true ectopic beat. One example of simple available arrhythmia surveillance is the R-R interval technique, based on detecting the large amplitude R waves and measuring their space in time. Even with a large amplitude signal, noise presents a problem, and artifacts appearing as R waves must be discriminated. Amplitude and pulse width discrimination can be employed, as well as setting a requirement for detecting several abnormalities, in effect, before classifying an arrhythmia. These steps, although somewhat effective, effectively lower the probability that any single, true ectopic beat will be detected as an arrhythmia. This is undesirable, since even a single ectopic beat can be extremely important to a patient and his doctor. On the other hand, an overly sensitive instrument will quickly become a nuisance, and, as the survey showed, the medical staff will tend to turn it off.

What is needed, therefore, is a more sophisticated technique to analyze acquired data, especially candidate ectopic beats. Analysis of rise and fall times, pulse widths and amplitudes and zero crossover times, all related to previous waveshapes and other parts of the same waveform, are possible approaches, and are currently being pursued. It is anticipated that the next few years will see the development of improved cardiac surveillance techniques which overcome the problems of those available today. It is further anticipated that the implementation of these techniques will require the capabilities of a digital computer. Preliminary results of work being performed today on these advanced techniques indicate that incoming EKG analog data will generally be acquired in the standard fashion on (six) leads and will be digitized continuously by A-D converters. The waveshapes will then be analyzed by measuring times of zero crossovers, amplitudes, pulse widths, rise and fall times, etc. Conversion of these parameters to a convenient set of digital code values will enable storage and subsequent analysis of complete waveshape in a fixed number of bits. This first step of translation of analog waveshapes to a set of digital bits is expected to be implemented by means of a special-purpose algorithm. It forms the basis for providing data to the second step of cardiac surveillance, namely the arrhythmia detection routine, as well as providing translated EKG data to a CPU in Module Two for further categorization and further analysis. The arrhythmia detection routine is also expected to be an algorithm which compares the waveshape parameters with stored and updated limits as well as previous values from the same patient.

The data processing equipment, therefore, must be capable of storing and executing the two algorithms mentioned above. Preliminary estimates of memory required for this function range around 4K bits. In addition, at least 4 continuously available A-D input channels must be provided to accept EKG signals and a temperature signal. An output register with associated control discretes must be provided to enable communication of translated EKG data to a higher order CPU (if required) or to an associated bulk memory (if required). Appropriate

I/O for accepting control inputs (approximately six discretes and a parallel input register for inserting limits) is required, as well as output discretes (6) for controlling displays. Additionally, channelization must be provided to handle program loading peripherals and readout devices. A relatively inexpensive and standard minicomputer with standard I/O can fulfill all of these requirements.

MODULE TWO - CARDIOVASCULAR

The Computational Subsystem for Cardiovascular Module is the most sophisticated part of the PMS. The complex functions to be performed require a large-capacity Central Processing Unit (CPU). The acquisition and pre-processing of cardiac data is performed by means of a minicomputer and software for each patient, identical to that described in Module One. In addition, however, cardiovascular pressures and dye dilution data are acquired by the minicomputer, and the translated cardiac data, the pressure data, the dye dilution data and the results of the cardiac surveillance analysis are all presented at the output of the minicomputer for transfer to the CPU. The CPU can be used with a large number of minicomputers, and it may be located anywhere in the facility. It obtains data from its minicomputer(s) by sequential closed-loop data transfers between its I/O and the output of the minicomputer(s). In detail, the CPU obtains a batch of patient data from a minicomputer by sampling an output discrete flag in the minicomputer, and, when the flag is set indicating new data available, the CPU provides high-frequency shift pulses to serially read the data from an output register in the minicomputer to an input register in the CPU. This operation is performed sequentially for each patient minicomputer.

CPU sampling of each patient will be at least one sample per five minutes. The CPU will analyze the translated cardiac data to categorize and diagnose arrhythmias by comparing wave-shape characteristics to those in a stored library. It will also update the library based on measured arrhythmias. In addition, the CPU will compute significant cardiovascular parameters based on the cardiac and pressure data, as well as the dye dilution data. It will also store patient data for at least 24 hours, and will compute trends for selected parameters. It will generate digital and analog display data at its output, and it will provide this data to the local processor for local display. It will select modes of operation, branches in software, and will accept input limit data, all from control subsystem input data, provided to the CPU by the local minicomputer in formatted form.

For sizing purposes, a capability of four patients for the cardiovascular module has been assumed. Additional patients can be accommodated by software modifications and by additional bulk memory. Also included in the estimate of sizing is the capacity to accept data from four other types of modules and to utilize this data in the cardiovascular module displays.

MODULE THREE - PULMONARY MODULE

The computational subsystem for the Pulmonary Module is a minicomputer, programmed to acquire data from the thermistor, pneumotachygraph, pressure sensors, and blood gas (or breath gas) analyzer. Data is inputted by analog-to-digital converters in the minicomputer's I/O on a continuous basis. In the case of the blood gas analyzer, its digital output will automatically be presented to an input register at the minicomputer, and can be read in by the software. If a breath gas analyzer is used, its output may be analog, and would be inputted via an A/D converter. Control signals, in the form of discretes and thumbwheel - generated limit data, is read in by discretes and inputting register. Displays are driven directly by a minimum of six D/A converters and a minimum of twelve digital output discretes in the minicomputer I/O. Data is transferred to other modules on demand, by the minicomputer I/O, using the "closed-loop" method described above.

Computation includes the derivation of pulmonary resistance, tidal volume, respiration rate and amplitude. Preliminary estimates indicate that 4K words of memory are sufficient, as well as a 1 usec add time.

MODULE FOUR - BODY CHEMISTRY MODULE

A minicomputer forms the computational subsystem for the Body Chemistry Module. Its primary function is data acquisition and formatting, since body chemistry computations are performed in special purpose analysis equipment. It also transmits data to another module (probably a CPU in Module Two), since Module Four is not intended for autonomous use. It is anticipated that a urine analyzer and a blood analyzer will be used to compute various parameters as inputs to the system. Since these analyzers are rather costly, they will probably be centrally located in a hospital laboratory, and will be used for a large number of patients, with hand-drawn samples provided for analysis. If this is the case, then the analyzers will input digital data directly into the system network (probably into a CPU in Module Two) for incorporation into the patient's overall display. In cases where the need for frequent body chemistry measurements justifies dedicating analysis equipment to one or a few patients, then the minicomputer will accept the outputs of the analyzers acting as a buffer, and will format the data into words directly useable by the CPU. It will also control the sampling of blood and urine by indicating sampling times and, in the case of catheter use, automatically providing samples to the analyzers. It will also accept inputs from urine volume measuring devices, and will compute urine volume and urine rate, for transfer to the CPU. Although the functional requirements for data processing in this module are very simple and might not in themselves justify the use of a minicomputer, it is recommended for the sake of conformity and standardized data interfaces within the overall system. A 4K word machine with at least six A/D converters, serial input and output registers and at least twelve input and twelve output discretes, with a 1 usec add time, will certainly be sufficient.

DATA TRANSMISSION SUBSYSTEM TRADE-OFFS

The utilization of the patient monitoring facilities, either in part or whole, by outlying hospitals, clinics, health aid centers, etc. who for any number of reasons do not take the option of having the central equipment within their facility may rationally use communications to provide them with the selected functions. The trend is toward this type configuration. There are many advantages to this type system in that advances made with the central system are immediately available to those subscribing to that system. The operability of the system is forced to be maintained at a lower technician level. Economically there are advantages in non-duplication of central equipment which is not fully off-set by judicious selection of the communications subsystem's equipment. The same is true for the duplication of operating personnel including technicians, programmers, and systems engineers. A difficulty is generated in that now organizations have an interface through the system. The disagreements that arise naturally whenever this occurs are easily avoided by the selective functional modularity of the system. If a user wishes to use only selected functions within the system, he may do so without disrupting the integrity of the overall system.

In selecting the correct communications media, trade-offs were categorically made across the spectrum of those communications media practical for use by patient monitoring as it will exist within the foreseeable future. These trade-offs are reflected in the following paragraphs.

GENERAL

Commercially available communications circuits that have been considered from slow speed 150 baud telegraph circuits up to video 6 megahertz television circuits. A list of these available services is given in Table V-1.

To evaluate the cost-effectiveness of these circuits for meeting specific requirements, the following aspects will be considered:

- a. Inputs to the transmission signal -
 1. analog
 2. digital
- b. Multiplex systems - combining input channels within the capacity of the transmission media:
 1. Frequency Division Multiplex - to combine analog data channels into a single composite analog channel.
 2. Time Division Multiplex - to combine digital data channels into a single composite data channel.
- c. Conversion of signal form to meet transmission facility requirements:
 1. MODEMS (Modulators/Demodulators) to convert a digital signal for transmission over analog communication media and

TABLE V-1. COMMERCIAL CIRCUIT CLASSIFICATIONS

<u>Category</u>	<u>Series</u>	<u>Bandwidth/Signalling Speed</u>
Sub-Voice	1000	Up to 150 baud telegraphy
Voice Band	2000	3 KHz voice services
	3000	3 KHz data services
	4000	4 KHz Western Union Allocation
Wide Band	5000	Analog facility services:
		19.2 Kbs
		40.8 Kbs
		50.0 Kbs
		200.0 Kbs
		230.4 Kbs
		Digital Facility Services:
		50 Kbs
		250 Kbs
		500 Kbs
		13400 Kbs - (future)
Audio Services for Video	6000	15 K Hz
Video	7000	8 M Kz
Wideband Intrastate	8000	40.8 Kbs
		50 Kbs

2. CODECS (Coders/Decoders) to convert an analog signal for transmission over digital communication media.

The capacity of a data channel is given by the relationship

$$\text{Capacity (bits/second)} = \text{Bandwidth} \times \log_2 \left(1 + \frac{\text{carrier power}}{\text{noise power}} \right)$$

According to Nyquist's theorem a channel of B Hz bandwidth can be used to transmit a 2 level (binary) signal at a rate of 2 B bits/second. With other coding schemes, according to Shannon, this rate can be exceeded whereby, theoretically, a C2-conditioned voice band line having a bandwidth of 2400 Hz can have a signalling capacity of 25 Kbs at 30 db signal-to-noise ratio.

A list of the commercial Modem/Codec offerings with signalling speeds is given by way of example in Table V-2. The following sections describe these services and the transmission media available.

TABLE V-2. COMMERCIAL MODEMS/CODECS

<u>Series</u>	<u>Service</u>
100	Low speed digital, less than 300 bps
200	Medium speed digital, 1200-2400 bps
300	High speed digital, 19.2-230.4 Kbs
400	Low speed multifrequency, digital, 20-75 char/sec.
500	Reserved for high speed wideband, digital
600	Specialized analog for facsimile, ECG
700	Reserved for high speed wideband, analog
800	Data Set Auxiliary Equipment
900	Test Equipment

SERVICES

SUBVOICE SERVICE

The subvoice circuits provide communications of up to 150 baud speed; this speed is suitable for telegraph systems such as:

Type 32 teletype	7.5 level	45-75 baud
33 teletype	11 level (ASCII)	110 baud

For budgetary purposes, these circuits normally cost less than \$1.00/mile/month.

VOICEBAND- VOICE SERVICES

These 3 KHz voiceband circuits are used for normal voice services. In the patient-monitoring area they are used for transmitting ECG and EEG 100 Hz analog data, the 603 data set transmitting 1 channel and the 604 data set 3 channels simultaneously.

Other frequency division multiplex systems are available for ECG/EEG transmissions, one Frequency Modulated Subcarrier System using 6 channel oscillators between 645-2795 Hz, with a ± 125 Hz max deviation/channel and a 305 Hz guard between channels.

Work has been done using phase-lock loop channel units for ECG/EEG transmission; these units comprise a phase comparator, low pass filter and amplifier to vary the frequency of a voltage controlled oscillator in sympathy with the incoming signal. Up to 8 channels have been obtained by this technique which will provide a greater FM improvement factor when the subcarrier system is below 10 db signal-to-noise input.

These circuits may be used for slow speed facsimile transmissions which operate at a rate of 6 minutes per 8-1/2" X 11" page; in one model, a dataphone/adaptor combination recognizes a telephone ring signal, answers, and hangs up electronically when the call has been completed.

Transmission characteristics for these circuits are as follows:

Typical loss	16 ± 1 db
Message Circuit SNR	24 db
Impulse Noise	15 counts in 15 minutes over 60 db SNR

Associated services available include:

DDD	Direct dial - subscriber dials distant subscriber's extension
FX	Foreign exchange - subscriber dials local number assigned to distant subscriber
PL	Private line

The DDD and FX services are paid according to the number of message units used. The PL service for budgetary purposes costs approximately \$3.00/mile/month for the first 25 miles, with sliding scale reductions for longer distances.

VOICEBAND DATA SERVICES

These circuits are private line services carrying up to 9.6 Kbs data over conditioned lines using the 203 MODEMS. Numerous time division multiplex and codec equipments are available for these circuits; particularly for teletypes, time division multiplex units can be obtained accepting up to 128 channels at speeds of 37.5, 45, 50, 74.2, 75, 110, 150 and 300 bauds, the total speed not, however, exceeding 2400 bauds. Data sets are available using frequency shift keying, and differentially coherent, or AM suppressed carrier vestigial sideband modulation techniques.

Normally, the error count of these services is kept to 1 in 10^5 . At the higher speeds, the Modem/Codecs also incorporate error control techniques such as:

1. Error detection and retransmission or
2. Error detection and forward correction.

The error detection and retransmission technique can be of two kinds:

- a. Stop and wait - in which the data is transmitted in blocks, at the end of which the transmitter waits for an acknowledgement (ACK) or non-acknowledgement (NACK). In the latter case the block is retransmitted.
- b. : Buffered retransmission - in which the first block is transmitted and at the same time stored in a buffer; the second block is then sent and also stored in a second buffer. If an ACK is received the first buffer can be discarded and the third block transmitted and stored therein.

The forward error control technique employs code words based on exact statistical properties of the channel and the error correction capabilities of the code, the code acting as an algorithm to add redundant bits, process the received message and perform the correction.

For budgetary purposes, the cost of these circuits are approximately \$4-7/mile/month for the first 25 miles, with sliding scale reductions for longer distances.

WIDEBAND SERVICES

These circuits can be considered an extension of the series 3000 circuits:

<u>Speed</u>	<u>Transmission Bandwidth</u>	<u>Est. Price \$/Mile/Month</u>
19.2 Kbs	Half group	18
40.8 Kbs	Group	30
50 Kbs	Group	30
230.4 Kbs	Super Group	85

Above 230.4 Kbs

Individual Pricing

Picturephone can be considered in this wideband offering. These signals have a bandwidth of 1 mc/S, and are sampled at the Nyquist rate (2 MC/S quantized to 3 bits). The restriction to 3 bits per sample produces a graininess which is overcome by differential pulse code modulation. This system has utilized a vast amount of research and engineering, and has a reported waiting list of 5,000 customers.

AUDIO SERVICES FOR VIDEO

This is a 15 KHz high quality audio service for commercial television.

VIDEO SERVICES

This offering covers the video service for commercial television of 6 M Hz bandwidth. This includes a 15 KHz channel centered at the 5.75 MHz point for the "lip-synchronized" audio.

COMMUNICATIONS MEDIA

The communications media available for these services include:

- Coaxial Cable
- Microwave, and
- Satellite

COAXIAL CABLE

Coaxial Cable systems have several advantages over radio systems, mostly due to the fact that the medium of transmission is more stable and noise free. The principle disadvantages is that cable attenuation is much greater than that of free space and repeaters are required at regular intervals. A 1/2" diameter cable can provide up to 1 GHz bandwidth, multiplex equipment being available for providing 6, 12, 18 or 24 color TV channels on one such cable over a bandwidth 54-270 MHz.

For budgetary purposes, it is estimated that a cable route can cost between approximately \$4000/mile - \$15,000/mile for 6 and 24 channel capacity cables respectively, with an additional \$2500/mile for poles should poles be required.

MICROWAVE COMMUNICATIONS

Private microwave communication systems in the 0.75 to 13.25 Gigahertz (GHz) band are available from commercial suppliers. These systems can provide up to 20-30 MHz of available bandwidth permitting the transmission of high data rates, or very wideband analog. The propagation between stations must be over a line-of-sight path which can be affected by reflections from bodies of water and sand, grazing-path refraction, and fading; however, a well designed system will give acceptable performance.

In that line-of-sight is a requirement, receiver/transmitter repeaters are installed enroute; the average hop distance between terminal and repeater, or between terminals, can be taken as 30 miles for budgetary purposes.

The average microwave station can involve

1. Land acquisition
2. Building and access roads
3. Power
4. Tower and Tower lighting
5. Antennas
6. Transmission lines (antennas - equipment)
7. Transmitter(s)/Receiver(s)
8. Alarm System
9. Multiplex/Service Channel equipment and
10. Maintenance

For budgetary purposes, the cost of microwave equipment, excluding buildings, power and towers, can be roughly estimated to be:

Terminal	\$18,000
Repeater	\$36,000

SYNCHRONOUS SATELLITES

Except for the fact that the relay or repeater station is flying at 22,300 miles over the equator in synchronous orbit, satellite links are basically the same as microwave systems with two hops and one repeater. From the specified altitude the satellite can see 42% of the earth's surface.

At the present NASA has two Applications Technology Satellites (ATS) and COMSAT has three INTELSAT III satellites in use capable of handling 1200 2-way voice circuits, 4 1-way color TV channels or combinations thereof. In addition, COMSAT is proposing additional satellites:

INTELSAT IV	2 horns for earth coverage 2 steerable dish antennas 4° 5000 2-way phone ccts or 12 color TV channels ERP 36 dbw/channel
DOMESTIC	21,600 trunk channels 9600 multipoint message channels or 12 color RV channels ERP 38 dbw

Due to present limitations in satellite effective radiated power, ground stations must use highly directive antennas and ultra-low-noise parametric amplifiers to ensure adequate signal-to-noise ratios for high quality wideband communication. Transmission requirements for the satellites and ground stations for the present INTELSAT and proposed DOMESTIC Satellites are given in Table V-3. Channel capacity is given in Table V-4.

Due to the increased satellite capabilities it is expected that adequate communications with the INTELSAT IV and proposed DOMESTIC Satellite can be obtained with small 15 foot roof-top mounted antennas and without the need for cooled parametric amplifiers. Such a situation will open up the communications facilities for remote patient monitoring and diagnosis.

TABLE V-3. SATELLITE & GROUND TRANSMITTING REQUIREMENTS

Satellite	Satellite ERP	Ground Station ERP Requirements
INTELSAT III	22 db	
Voice		61 dbw
Television		86 dbw
PROPOSED DOMESTIC	38 db	
Message		86.8 dbw
Television		75.4 dbw

TABLE V-4. CHANNEL CAPACITY

Satellite	Bandwidth MHz	Equivalent 2-Way Voice Channels	Equivalent TV Channels
INTELSAT III	500	1200	4
INTELSAT IV	500	5000	12
PROPOSED DOMESTIC	500	10,300	12

SYSTEM REQUIREMENTS

SYSTEM REQUIREMENTS

SYSTEM SENSOR REQUIREMENTS

The patient monitoring system sensor interfaces with the patient and the signal conditioning subsystem. These sensors will be employed to detect electrical parameters cutaneously, as well as parameters routed externally from body openings and from percutaneous locations. In addition, patient parameters must be detected that are not electrical but are pressures, changes in velocities of gases and fluids, changes in physical dimension and changes in temperature. Non-invasive techniques shall be employed whenever possible.

The physiological parameters to be detected include blood pressures, body temperature, respiratory flow and amplitude, ECG, and urine output. Also, there is an optional requirement to detect the flow rate of IV fluids and/or drugs, and chest drainage.

SYSTEM SIGNAL CONDITIONING REQUIREMENTS

The signal conditioning shall provide interfacing and processing functions between the sensor and data processing subsystems. The outputs from the sensors shall be interfaced and converted to system standard voltage levels. Adequate amplification, shaping, electrical filtering, and input-output connectors will be required. Appropriate lead lengths, with shielding, grounding, and routing shall be required to be supplied by the signal conditioning subsystem.

SYSTEM DATA ACQUISITION REQUIREMENTS

The data acquisition subsystem portion of the patient monitoring system is actually the input/output of the data processing subsystem. It must provide the interface and processing required between the sensor/signal conditioning subsystems and the data processing subsystem. The signals being handled are both analog and digital. The analog signals originate from physiological sources. The digital signals are from manual inputs, equipment sources, communications, and clock inputs.

The analog signals must be converted by the data acquisition subsystem to digital. This conversion must result in system compatible words in bit positions, rate, and voltage levels (considering distance to be transmitted with minimum distortion). A scheme must be provided by which multiple analog sources may be serviced concurrently. The length of dwell on any one or more data sources shall be controllable. The sampling rate for the analog data shall be appropriate for the physiological signals being sampled.

The system must have a time source. The time data must be resolved to hour, minute, seconds, and milliseconds as well as provide start and stop time intervals.

The digital interfacing must be system compatible in length, rate, and voltage level. The word length shall be required to handle system data words, command words, etc.

SYSTEM DATA PROCESSING REQUIREMENTS

The Patient Monitoring System shall require off the shelf (if possible) general purpose, programmable, digital, automatic equipment to process its physiological, manual and equipment inputs as well as provide messages for display/printout and control functions.

The processing should be configured to handle four major categories of applications: cardiac surveillance, cardiovascular, pulmonary, and body fluids. These would be applied in the physical locations within the hospital of: screening, X-ray, catheter lab, operating room, intensive care ward, cardiac care unit, and rehabilitation facilities. Additionally, these same areas should be provided for in the design capacity of the procuring equipment from a minimum of one and up to eight remote locations.

Three (3) of the data processing modules should be of similar compatible design capacity (i.e., the cardiac surveillance, pulmonary, and body chemistry). The fourth processing module (cardiovascular) shall be compatible with the other three modules but shall be of larger capacity.

The requirements for the cardiac surveillance, pulmonary, and body chemistry modules includes the following:

The cycle time of the high-speed memory should be commensurate with the processing requirements of the physiological parameters being measured and derived or no more than two (2) μ sec. The length of the word should include the lengths required to contain the standard system word length, the instruction word length, plus the parity bit position. This is sixteen (16) bit positions plus the parity bit position or a total of seventeen (17) bit positions within high speed memory. Capacity of storage shall be adequate to contain the supervisor, the applications programs, and the data being processed, or utility programs (such as the diagnostic, higher or lower order language program etc.). This shall not be necessarily at the time time.

The central processor shall contain the necessary registers, logic and timing making it compatible with the standard systems word length (16 bit position), and capable of performing standard arithmetic, logic, and transfer functions. Also, the word length shall be large enough to address all core locations (from 4096 to 32,768 locations), address modes, and operation codes/modifiers.

The central processor shall contain the necessary registers, logic and timing to provide standard mini to intermediate digital data processing power. The instruction word length shall be large enough to accommodate a repertory that includes standard arithmetic, logical, store/retrieve, I/O, and transfer instructions. In addition the instruction word length shall be long enough to accommodate special instruction modification indexing, and address modifier bit positions. The instruction word shall also be large enough to accommodate addressing directly or indirectly from 4096 to 32,768 words. The arithmetic operation speeds shall

be commensurate with the physiological parameters being measured or derived and shall be based upon a fundamental add time not to exceed one (1.0) μ sec. Double precision shall be provided.

The input/output (I/O) shall be system word length (16 bit positions) compatible. The speed shall be memory cycle time compatible. The number of parts shall be variable to match the individual requirements as determined by each user. An external interrupt and priority scheme shall be included.

Power failure recovery, a restart sequence, and clock-both real and interval shall be provided for.

Peripheral equipment for the Modules I, III, and IV shall consist of low speed, low capacity read in/write out equipment only.

The Module II - Cardiovascular subsystem shall be compatible with Modules I, III, and IV but shall have higher memory access speed, the ability to expand its high speed memory to eight (8) times its initial capacity, its arithmetic operations shall be of at least of the next category of higher speed available. The I/O should be capable of handling both the input-output parameters of the cardiovascular monitoring function as well as other modules, a bulk storage media, and peripherals. The peripherals working in conjunction with other modules, shall include standard slow to intermediate speed card and magnetic tape handling equipment. Also, a small to intermediate bulk storage media should be provided. The capacity of this bulk media storage should accommodate in a modular fashion from 1-32 million characters storage with an average access time of approximately 17 milliseconds. Autonomously the Module II peripherals shall include only slow speed, low capacity read in/write out equipment only.

SYSTEM DISPLAY REQUIREMENTS

The patient monitoring systems displays will provide readouts designed for medical and systems personnel. This system shall work in close conjunction with the control subsystem.

It is required that this system services the operators in the following five (5) modes: monitoring, alarm, data retrieval, data entry and maintenance.

The monitoring mode requires the displaying of direct and derived patient parameters that have are being obtained in real time.

The alarm mode requires the appropriate displaying and alarm of critical condition patient data. Some method of a permanent written copy of the alarm data is also required. Alarm features must be built into this display mode which would alert and inform the attending personnel.

The data retrieval mode requires of the system the capability to automatically retrieve stored patient data. The type of data to be retrieved includes, but is not limited to, historical records, drug schedules, lab analysis data and administrative material.

The data entry mode of operation requires that the operator be given the ability to interactively enter data into the patient monitoring system. This mode will enable addition, deletion, and or modification of data.

The maintenance mode requires that assistance data be provided to operating personnel in setting up the system both in calibrations and in patient to system set-up.

There are essentially four (4) categories of different display requirements as far as physical locations within the hospital is concerned. The four (4) categories are: bedside, nurses' station, remote, and operating room.

The bedside display requires that adequate and appropriate presentation of the data be provided for operating personnel (physician, nurses', P.M. technicians, etc) in the environment of medium light intensity, reading distance of from two and one half to twenty feet and a viewing angle of approximately 130°. The data to be presented shall be analog waveform of physiological measurements as detected from the patient. A digital display is required to present quantized physiological data measured directly or derived. The bedside displays should be augmented with other instrumentation as required to enhance the the display systems capability to communicate to operating personnel.

The nurses' station display shall require that each patients data be equally available and on display at that centralized location. The type of data to be displayed will be ECG analog data, dynamic alpha-numeric digital data, charts, hard copy, and alarm data.

The requirements for display at a remote station shall be compatible to the requirements listed for the bedside and the nurses station. This shall include ECG, EEG, and blood pressures; digital, and chart recordings. Additionally, voice communications shall be required between the remote sites and the local patient monitoring areas.

The operating room environment has display requirements that encompass the possibility of having severe environment constraints such as: contact with corrosive and conductive fluids, being situated in a location difficult to see by the user. etc.

The data to be displayed shall be EKG, EEG, and blood pressure, body temperature, blood gas analysis, and times. This data will be presented in analog and/or digital as is appropriate.

SYSTEM CONTROL REQUIREMENTS

The control subsystem must provide the interface between the operators of the system and the system itself. Manual and automatic control signals that cause the system to perform its function will be provided the necessary hardware to perform these functions by this system.

The system must have the control functions of manually inserting, deleting, and/or changing data. This data will be in the form of system commands, patient/system information, etc., which shall update the computer's files and/or cause the system to execute functions. Some form of physical data entry equipment must be provided by the control subsystem which is designed for optimum usability by the hospital staff. This involves the human engineering techniques handling the considerations of open ended messages flexibility, standard short form message input, enter-edit-correct before execute, keyboard lockout, etc.

These control functions must be applicable to all configurations of the system.

SYSTEM SOFTWARE REQUIREMENTS

In order to cause the computers that constitute part of the function automatically handled by a patient monitoring system to execute their assigned function, programs must be written and/or modified from existing programs. The requirements of these software packages follow.

The overall configuration of the software must support and compliment the needs of the patient, physician, and the hardware configuration. The individual functions performed by the programs should be constructed in such a manner that they could be executed autonomously or in concert with many other functions. These functions must also be controlled by an overall directing scheme that would ensure efficiency, reliability, and operability. The configuration of the software must be able to match not only the hardware configuration but equally be able to support the functional configuration of the physical plant organization of the hospital including:

Screening Facility
Catheterization Room
X-Ray Room
Operating Rooms

Intensive Care Unit
Cardiac Care Unit
Exercise and Rehabilitation Facilities

Compatibility of the system's software to change either in adding, deleting, or modifying accompanying application packages without reprogramming the rest of the system is required.

Maximum throughput time must be achieved as well as minimum response time to meet the demands of the physiological characteristics vs the minimum equipment configuration required to meet and match that demand. At the same time the system's data and programs must be inviolate by any other procuring software and/or its data.

Concurrency and simultaneity of operation of equipments and functions is required.

The operators of the software system shall not be concerned with how the system is operated or integrated as software. The operators will be concerned with dealing with the applications and as such shall be provided with lower and higher order language(s) to facilitate ease of programming. Additionally, automatic and simplified programming aids are required to ease the loading of programs and data as well as the conversion of media, editing, and peripheral interfacing.

The patient monitoring system must have a uniform method by which its data files are handled, accessed, updated, protected--all at optimum rates both by the equipment and by the users.

Maximum utilization of the equipment must be made possible by the software both in its application to patient monitoring as well as to other applications at the users option. A complete methodology for accomplishing this should be furnished as a package.

Access to the system from remote locations using a variety of rates up to but not including broadband must be made available utilizing the most efficient means available. The computer shall have the software capability of servicing multiple applications concurrently and to satisfy real time jobs to the extent allowed by the equipment's capabilities.

A software system is required that will test and confirm the operability of the equipment. Also, scheme should be furnished which would measure the operating characteristics of the system.

The patient monitoring applications program shall be constructed in whole or in part of those routines, subroutines, and algorithms researched, developed, proven, and approved by the members of the medical profession. The programs should occupy a minimum of **core**. The length of time evident in core shall be also at a minimum. These applications programs shall be callable both locally as well as remotely. Physiological and manual inputs from the patients, operators, and equipment shall be accepted by the software. The response times must be compatible to the signal characteristics that are meaningful to the measurements being taken or derived. The parameters measured or derived shall be those listed in Parameter Matrix in Section IV Evaluation Summary under Requirements.

Optional measurements and control functions should be offered. These should be addable as modules at the users option.

SYSTEM DATA TRANSMISSION REQUIREMENTS

The requirements for data transmission in the patient monitoring system encompasses the communicating of serial digital data of slow and medium speeds and analog data over distances from a few hundred yards to across states. The digital data requirements are for teletype data speed and format. Also, additional digital data must be transmitted at a higher speed at frequencies up to 3000 Hz. The analog data must be transmitted via singular or multiple circuits ranging from DC to 105 Hz input.

SECTION VI
SYSTEM SPECIFICATION

SCOPE

This preliminary specification was developed basically for use by NASA, NIH, and the Advisory Committee established jointly by them for this study. However, due to the national interest in the Intensive Care Medical Program this document may be available to other Federal, State and Municipal agencies interested in monitoring devices.

It is recognized that changes to this document will be required. This may be accomplished by amendments as frequently as requirements dictate.

1. Scope

This specification establishes the minimum functional performance and test requirements for the Patient Monitoring System (PMS) evolving from this study. A monitoring system consists of an integrated set of sensors and medical electronic units for monitoring selected physiological parameters of patients, displaying information at a remote station and/or bedside, initiating out-of-limit alarms and automatic monitor switching, and the devices required for physiological stimuli.

APPLICABLE DOCUMENTS

2.0 APPLICABLE DOCUMENTS

2.1 GOVERNMENT DOCUMENTS

The following documents cover topics related to this specification and form a part of this specification only to the extent specified herein.

Specifications

Military

MIL-J-641C

Supplement 1

25 July 1966

Jacks, Telephone, General Specification for

MIL-D-642B

Supplement 1

21 September 1966

Plugs, Telephone and Accessory Screws

Standards

MS-3102A-14S-(6S)

21 April 1959

Connector, Receptacle, Electric Box Mounting

MS-3102A-14S-(8S)

21 April 1959

Connector, Receptacle, Electric Box Mounting

Federal

Fed-STD-123

4 November 1957

Marking for Domestic Shipment (Civilian Agencies)

Veterans Administration

Specification X1414

1 January 1970

(Amendment No. 1

1 Sept. 1970)

Biomedical Monitoring Units

(Electro-BioMetrics for Intensive Care Units)

2.2 OTHER

2.2.1 Other Publications

The following publications cover topics related to this specification and form a part of this specification only to the extent specified herein.

Report of Committee on Electrocardiography, American Heart Association, Recommendations for Standardization of Leads and of Specifications for Instruments in Electrocardiography and Vectorcardiography; Circulation Volume XXXV, March 1967.

2.2.2 General

Special Provisions. Prospective bidders should visit the site and examine the location where the equipment will be installed. Failure to do so prior to bidding will be at the bidder's risk.

2.2.3 Precedence of Documents

In the event of conflict between this document and any other document, the order of precedence shall be:

- a. Purchase Order or Contract
- b. This specification
- c. Applicable documents referenced in this specification

2.2.4 Referenced Documents

The following documents cover topics related to this specification and form a part of this standard only to the extent referenced:

1. USA Standard C1-1968:
"National Electrical Code"
(NFPA No. 70), National Fire Protection Association, Boston, Mass. 1968
(Article 517)
2. "Code for the Use of Flammable Anesthetics"
NFPA No. 56, National Fire Protection Association, Boston, Mass. 1968
3. "Inhalation Therapy"
NFPA No. 56B, National Fire Protection Association, Boston, Mass. 1968
4. "Essential Electrical Systems for Hospitals"
NFPA No. 76A, National Fire Protection Association, Boston, Mass. June, 1970

5. "General Standards of Construction and Equipment for Hospital and Medical Facilities"
U.S. Dept. H.E.W., Public Health Service Pub. No. 930-A-7, Rev. Feb. 1969
6. Draft of Standard for Medical and Dental Equipment Subject 544; Underwriters' Laboratories, Inc., Melville, Long Island, N.Y.
7. AAMI Safety Standards for Electromedical Apparatus (Part One Safe Current Limits)
AAMI Electrical Safety Subcommittee 10-20-70.

2.2.5 Classification of Apparatus

2.2.5.1 For the purposes of this specification, the following classifications of electro-medical apparatus will be used. In determining compliance with the requirements of this specification, electro-medical apparatus shall be assigned the type designation corresponding to its most hazardous anticipated application.

2.2.5.2 Therapeutic - "Therapeutic" includes all apparatus that applies energy of any kind to the subject in order to cause a physiological change. Examples: defibrillators, pacers, heating lamps and apparatus used in: shock-therapy, cautery, diathermy, and cryogenic treatment.

2.2.5.3 Nontherapeutic - "Nontherapeutic" equipment includes all apparatus that does not apply therapeutic energy to the subject. Examples: electrocardiographs, electroencephalographs, coronary and intensive care monitors and impedance pneumographs.

2.2.5.4 Type "A" - Apparatus to be used on or within the reach of patients having devices whose terminal end is introduced into the thorax and is conductively connected to a point accessible outside of the body.

2.2.5.5 Type "B" - Apparatus used only on patients not having devices whose terminal end is introduced into the thorax and conductively connected to a point accessible outside of the body.

REQUIREMENTS

3.0 REQUIREMENTS

3.1 PATIENT MONITORING SYSTEM FUNCTIONAL DESCRIPTION

A Patient Monitoring System (PMS) is an integrated set of sensors and other electronic units to perform patient physiological monitoring, with capability for monitoring and control of appropriate therapeutic (treatment) devices, in any of several areas within a Hospital. The PMS comprises, primarily, fixed or semi-fixed installations in such designated areas as:

Screening

X-Ray

Catheter Lab

Intensive Care Unit (or equivalent)

Coronary Care Unit (or equivalent)

Operating Room

Diagnostic Facility

Rehabilitation Facility

but provisions are incorporated for:

Remote Monitoring via a "Communication/Data Link"

Use with portable or single-patient monitors of limited (but defined) monitoring capacity

Remote Display

Data Interface with Administrative (Business) Systems

Data Interface with Academic and/or Research Systems

The PMS contains functions for:

Monitoring basic physiological parameters

Computing (defined) derived parameters

Data Entry (Non-monitored parameters, desired limits, etc.)

Display of desired parameters and related data

Alarms for undesirable conditions

Switching and Control of sensor, computing, and display functions

Data Storage and Retrieval

Monitoring of system operation

Monitoring and Control of (defined) therapeutic devices

The physical set of equipment may vary with the manufacturer. All functions specified must comply singly and in combination to the requirements of this specification. Standardization of interfaces shall be incorporated to enhance system growth and expansion, without requiring either system redesign or utilization of the original manufacturer to provide the units for such growth.

Monitoring and display functions are as follows:

- (a) Heart Waveform - Continuous monitoring cathode raytube (CRT) display (or equivalent) at bedside. Selectable or continuous CRT display (or equivalent) selectable direct writing recorder and continuous interval tape recorder (or equivalent) at the remote station.
- (b) Heart and/or pulse rate continuous monitoring and meter or equivalent display at bedside, and selectable meter or equivalent display at the remote station.
- (c) Blood Pressure - Function selectable or continuous monitoring with meter or equivalent display of the systolic, diastolic, mean or venous pressure at bedside. Patient selectable meter or equivalent display at the remote station.
- (d) Temperature monitoring and display functions include monitoring and meter or equivalent display at bedside. Selectable meter or equivalent display at the remote station.
- (e) Respiration rate monitoring and display functions include: Continuous monitoring and meter or equivalent display at bedside. Selectable meter or equivalent displays at the remote station.

Alarm functions consist of separate alarm lights automatically illuminated for each monitored and meter or equivalent displayed parameter which violates preset low or high limits. Alarm lights are manually (or automatically) reset when the alarm condition has been corrected.

Remote station alarm functions consist of separate alarm lights automatically illuminated for each patient whose monitored and meter or equivalent displayed parameter falls above one bedside preset limit or below another bedside preset limit. A single aural alarm

sounds whenever any alarm condition occurs. Visual and aural alarms are automatically (or manual) reset when alarm conditions are corrected.

During an alarm condition all physiological displays will continue to function.

The following switching and control functions are in addition to the essential switching and control functions for operating, calibration, alarm setting and resetting, etc.:

- (a) Manual selection for display at the remote station of all monitored parameters of (a specific) patient.
- (b) Automatic initiation of the direct writing ECG recorder and selection for display at the remote station of all monitored parameters of patient in alarm status.

The PMS includes the following interconnection or connection interface functions:

- (a) Patient Operational Interface. Those interfaces between the patient and the cabling; including electrical connections such as ECG electrodes, physical contact connections such as temperature probes and blood pressure transducer connectors (electrical).
- (b) Equipment Operational Interfaces. Those electrical connectors interfacing cabling and equipment which require mating and demating for routine PMS operational activities such as sensor or transducer replacement or operational mode changes.
- (c) Maintenance Interfaces. Those electrical connectors interfacing cabling and equipment which require mating and demating only for performing maintenance on any part of the PMS.
- (d) Power Interface. Those electrical connectors interfacing individual instrument power line cord ends with the power distribution system.

3.1.1 System Scope

The goal in designing the Patient Monitoring System (PMS) is to make it applicable to a wide range and number of patients, fulfilling the immediate critical needs of the medical profession, with easily adopted growth capability to accommodate future needs. The immediate critical needs are primarily in the areas of cardiac, cardiovascular and pulmonary monitoring, as well as basic body chemistry and temperature analysis on an automated basis. Future growth areas include encephalography and automatic fluid infusion. Categories of patients, established for the purpose of scoping the application of a general PMS are:

1. General Screening
2. Chest Pain

3. Post-surgical (general)
4. Post-surgical with cardiovascular history
5. Post-surgical (cardiovascular)
6. Post-surgical with pulmonary history
7. Post-surgical (pulmonary)
8. Cardiac catheterization
9. Exercise cardiology
10. Surgery
11. Acute Medical Emergency

The PMS shall be capable of application to each listed patient category, generally in a medical center environment. It shall sense, acquire, process, compute, display, store, and print specific medical data from patients under local and/or remote control. It shall be flexible enough to be extended throughout a medical building as required, as well as connected to remote buildings through an appropriate communication network. Although communication links themselves will not be considered as part of the system, the capability to interface with and to utilize existing and/or planned links shall be a design requirement.

The PMS shall provide monitoring capability consistent with good medical practice. Only acceptable medical techniques shall be implemented, and patient safety shall be the foremost design requirement. Time-critical parameters shall be monitored continuously and other parameters shall be sampled at a frequency consistent with their anticipated worst-case rate of change. Analytical methods, sampling rates, logic, limits, and other parametric data in the system shall be based on medically accepted physicians' research and data consistent with the current state-of-the-art. The PMS shall be based on their requirements, and shall produce outputs easily utilized by them. Use of the PMS shall in no way interfere with the treatment or physical well-being of the patient.

In order that the system may be easily incorporated into a wide variety of medical centers, it shall be designed to utilize generally available physical facilities. The system design shall take into account typical existing patient facilities and methods currently in use, and the final system design shall be compatible with them, placing no unrealistic demands on existing installations. In addition, an important goal of the system design shall be to attempt compatibility with as much patient monitoring equipment currently in use as is feasible, without unduly constraining the flexibility or the practicality of the system. To achieve this end, a listing will be made of those current equipments which appear to be most frequently in use and with which the PMS is most likely to overlap in capability. Compatibility with these equipments will be assured, wherever feasible, by provision for

handling specific inputs and outputs and physical space allotments. Significant parameters, including impedances, signal levels and frequencies, subroutines, will be accommodated in the system's input/output electronics and software programs. Flexibility will be ensured by providing the system with options in terms of input/output connections and software sub-routines.

3.1.2 System Modularity

The approach to fulfillment of the goal of treating large numbers and many types of patients with one basic system is utilization of modular system design. In such a system each module is capable of autonomous operation at a low level of complexity, but can be easily operated with other modules to form a larger system with more complex capabilities. With judicious specification of design requirements for the modules, the resulting modular set will accommodate functional and coverage needs ranging from simple and few to complex and many. To implement this approach, a set of four functional modules has been selected, based on parameter functions. These are described below, with their primary characteristics listed. In order that specific measurement requirements peculiar to a particular monitoring situation might be accommodated, as well as future growth capability, additional input/output capability will be provided with each module, as well as provision for easily adding to computational programs. The characteristics listed, therefore, shall be considered a set of suggested minimum performance requirements, with provisions made for additional spare capability.

MODULE I - CARDIAC SURVEILLANCE

Measures:	EKG, Temperature
Computes:	Heart Rate Arrhythmia surveillance
Displays:	Heart Rate Arrhythmia alarm Delayed hard copy EKG on alarm or on option Time Temperature
Patient System Interface:	EKG electrodes Temperature Sensor

BLOCK DIAGRAM OF MODULE I:

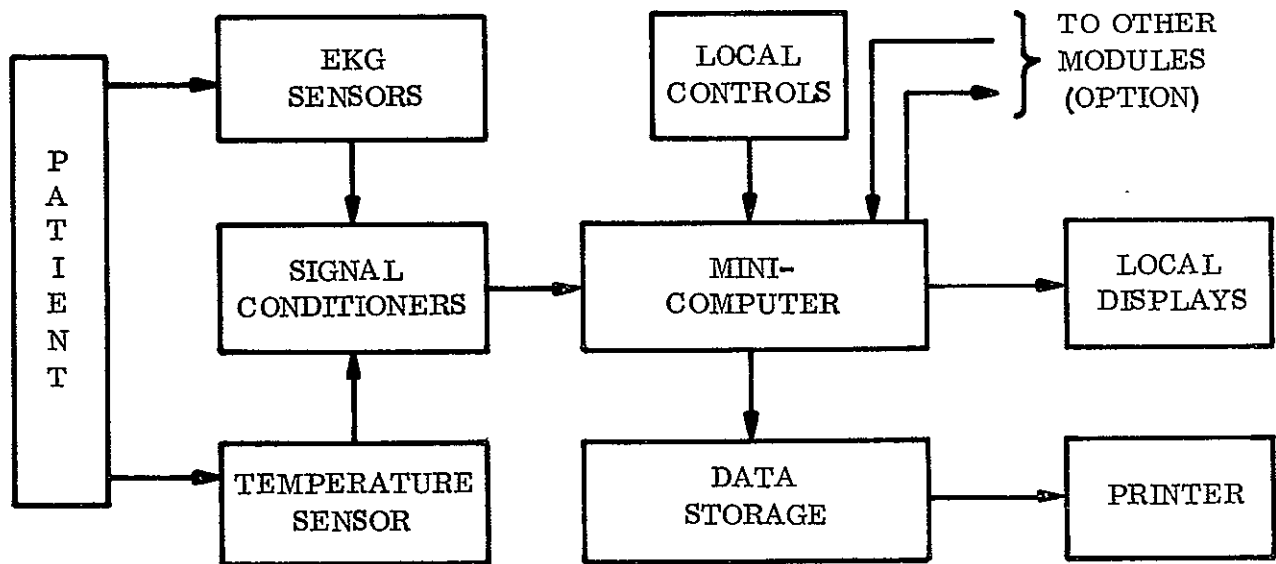


Figure 3.1.1

MODULE II - CARDIOVASCULAR

Measures:

Temperature
EKG
Blood pressures
Stroke Volume

Computes:

Heart Rate
Arrhythmia detection
Arrhythmia classification
Systolic pressure
Diastolic pressure
Mean venous pressure
Cardiac output
Parameter trend analysis

Displays:

Temperature
Heart rate (last reading or history)
Arrhythmia alarm
Arrhythmia classification (probabilities)
Delayed hard copy EKG on alarm
Systolic pressure (last reading or history)*
Diastolic pressure (last reading or history)*
Mean venous pressure (last reading or history)*
Cardiac output (last reading or history)
Analysis results (last reading or history)
EKG waveform display (real time)

Patient System Interface:

EKG electrodes
Implanted catheters
Temperature sensors

*Either available at
selection of attendant

BLOCK DIAGRAM OF MODULE II:

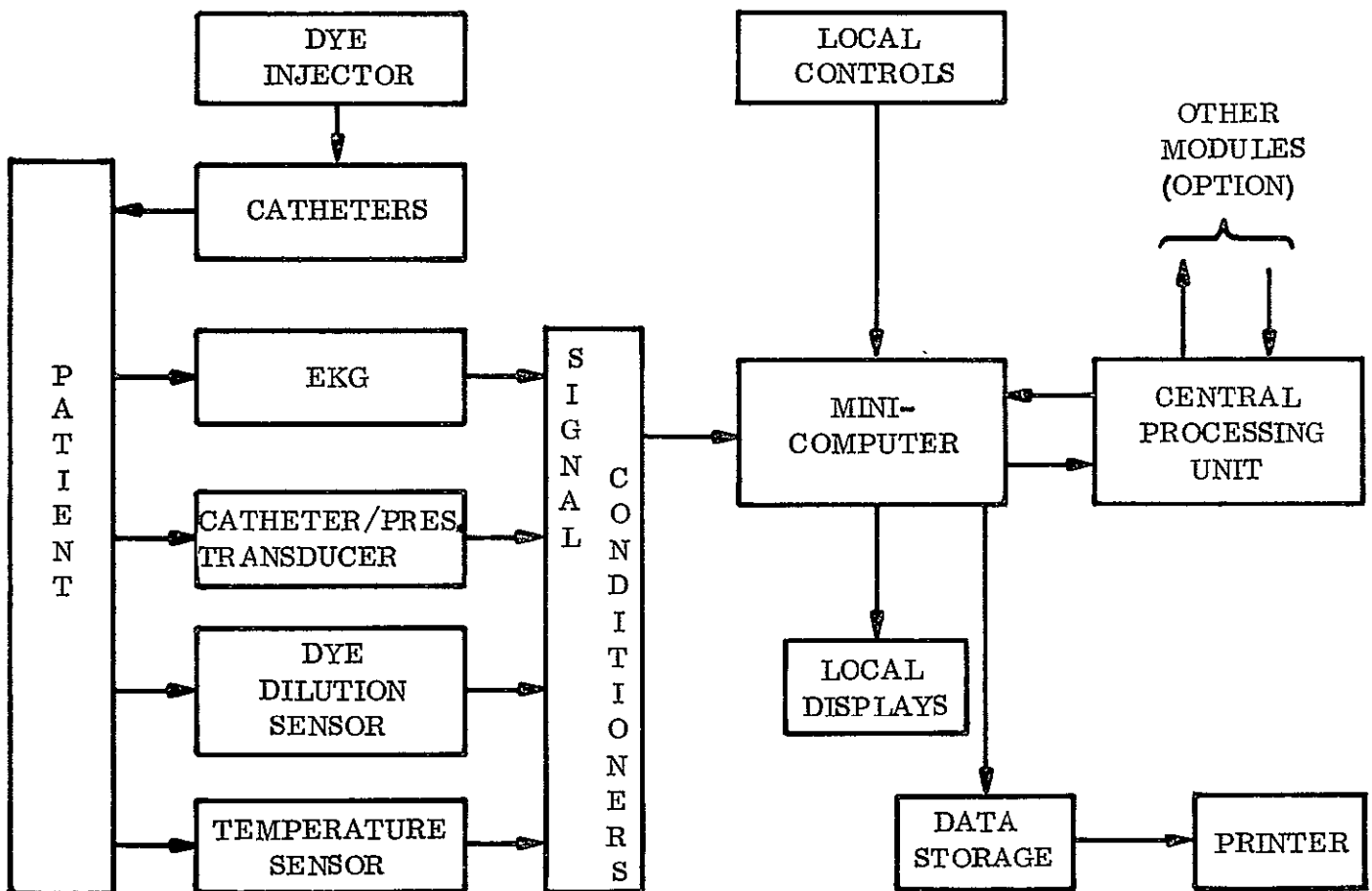


Figure 3.1.2

MODULE III - PULMONARY

Measures:	Temperature Respiration rate Respiration amplitude PO ₂ } By blood gas analysis (semi-automatic) PCO ₂ } or by direct air analysis Airway pressure
Computes:	Respiration rate Tidal volume Pulmonary compliance PO ₂ PCO ₂ pH
Displays:	Time Respiration rate Tidal volume Pulmonary compliance PO ₂ PCO ₂ Equipment status pH, temp., airway pressure
Patient/System Interface:	Pneumotachograph Blood samples (once/hour) Temperature sensor

BLOCK DIAGRAM OF MODULE III:

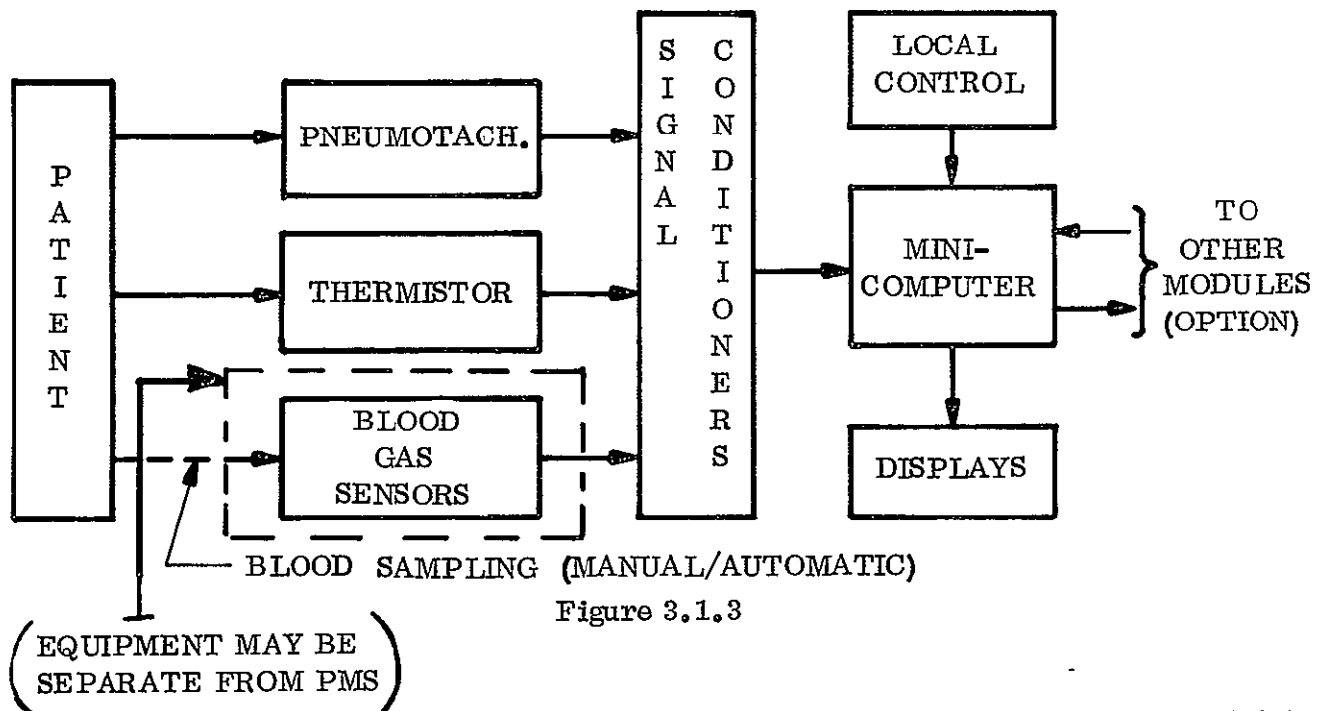


Figure 3.1.3

MODULE IV - BODY CHEMISTRY

(this module is not meant to be used alone)

Measures: Urine Output

Accepts: Data from Blood and Urine Analyzers

Computes: $\left(\begin{matrix} L_{A_T} \\ E_R \end{matrix} \right)$
Urine volume
Urine Rate

Displays: Hard copy of all parameters and real time display of some parameters via other modules displays

Patient/System Interface: Blood Sampling (manual or catheter)
Urine Sampling (manual or catheter)

BLOCK DIAGRAM OF MODULE IV:

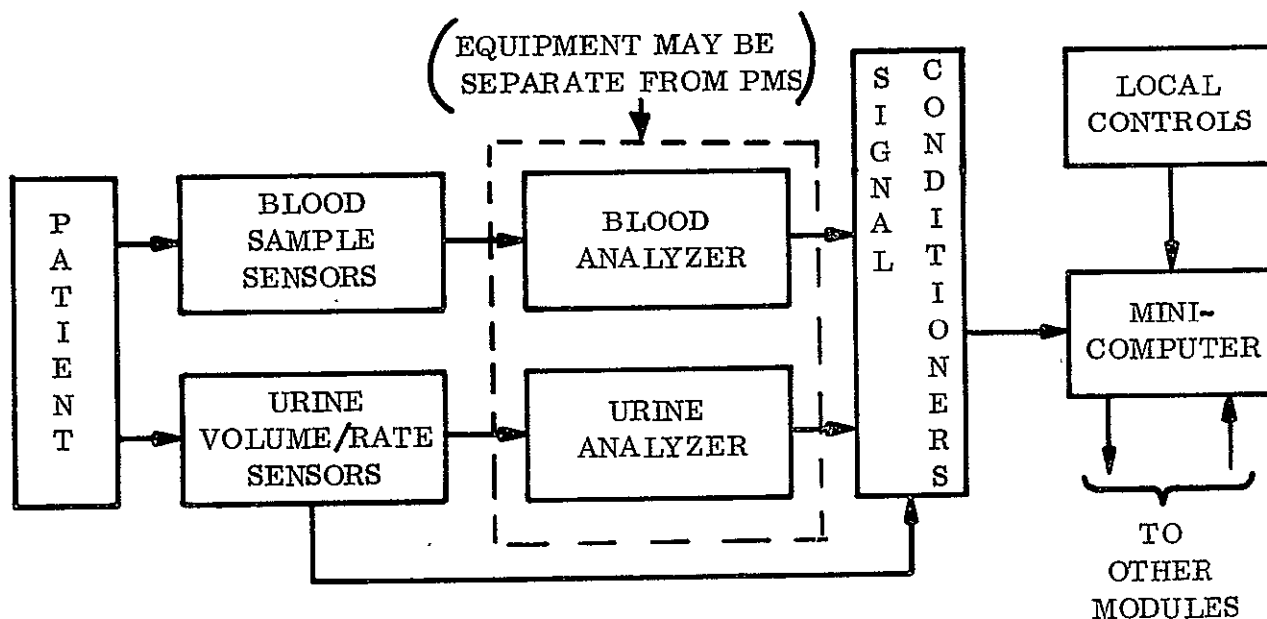


Figure 3.1.4

The application of this set of modules to fulfilling the needs of patients is straightforward. In cases where simple functions are required, basic modules will be utilized. The number of modules required will be determined by the number of patients which the module is designed to monitor simultaneously, as well as the worst-case expectation of the number of patients requiring simultaneous monitoring of the same type. For complex functions crossing over the capabilities of more than one module, a system will be assembled using different types of modules, with the numbers of modules determined as described above. The system shall be designed such that all modules are capable of cross-communicating data, so that the grouping together of many modules and many types of modules will pose no interface problems.

Module II, the Cardiovascular module, will be the core of any large system, due to its extensive computational capability. Modules I, III, and IV will each be capable of interfacing with Module II (as well as with each other) to extend their functions. For example, if Module I is being used for cardiac surveillance in a Cardiac Care Unit, and a situation arises where extensive cardiovascular monitoring is desired in the CCU, a link to and existing Module II elsewhere in the system will convert Module I to and Module II extension. Similarly, in a case where Module II is being used to monitor cardiovascular functions in an Intensive Care Unit, the addition of a Module III can cover the needs of those patients requiring monitoring of pulmonary parameters.

An application of the four selected modules to the eleven categories of patients is shown below in Table 3.1.1.

Figure 3.1.5 shows a typical installation in a medical center of various modules, interconnected to form a system of extensive coverage and capability. In this typical installation, the most sophisticated capability is associated with the ICU for patients recovering from cardiac surgery. Current medical practice requires extensive monitoring of these patients, and a cardiovascular module is certainly required. This module forms the core of the medical center's system because of its large data processing capability. The addition of a pulmonary module and a body chemistry module fulfills the patient monitoring requirements for this ICU. Another ICU, for patients recovering from general surgery, is implemented with a number of cardiac surveillance modules to cover the worst-case expected patient load. A link is provided to the cardiac surgery ICU so that the capabilities of the cardiac surveillance modules in the general surgery ICU can be extended if necessary. By similar links elsewhere, the cardiac surveillance module can be used to provide extended cardiovascular service throughout the center. Another example of this potential to expand capability is in the CCU. Ordinarily, chest pain patients and diagnosed heart attack patients could be monitored by cardiac surveillance modules. In cases where a patient's situation may require more sophisticated monitoring capability, the service can be easily extended to the CCU by means of the link and modular equipment to convert a cardiac surveillance module to cardiovascular module. This equipment includes sensors, signal conditioning equipment, controls and displays. The cardiac surveillance module will be designed such that this additional equipment can be easily added on a "plug-in" basis. The fourth group of modules shown in the typical installation services the operating room patient, and consists of a cardiac surveillance module, a pulmonary module and a body chemistry

TABLE 3.1.1. FUNCTIONAL MODULE APPLICATION TO PATIENT CATEGORIES

Patient Type	Cardiac Surveillance Module	Cardiovascular Module	Pulmonary Module	Body Chemistry Module
1. General Screening	X		X	
2. Chest Pain	X	(X)	(X)	
3. Post-Surgical (general)	X		X	X
4. Post-surgical with cardiovascular history	(X)		X	X
5. Post cardiovascular surgery		X	X	X
6. Post surgical with pulmonary history	X		X	X
7. Post-pulmonary surgery	X		X	X
8. Cardiac Catheterization		X		
9. Exercise Cardiology		X		
10. In-surgery (OR)	X	(X)	(X)	(X)
11. Acute Medical Emergency	X	(X)		X

(X) = optional application suggested

module. These are interconnected to share displays and controls and to synchronize timing and calibrations. The modules will be designed to interface in this manner. In addition, a link is once again provided to extend the capability of the OR, if desired, by means of Module II in the cardiac surgery ICU.

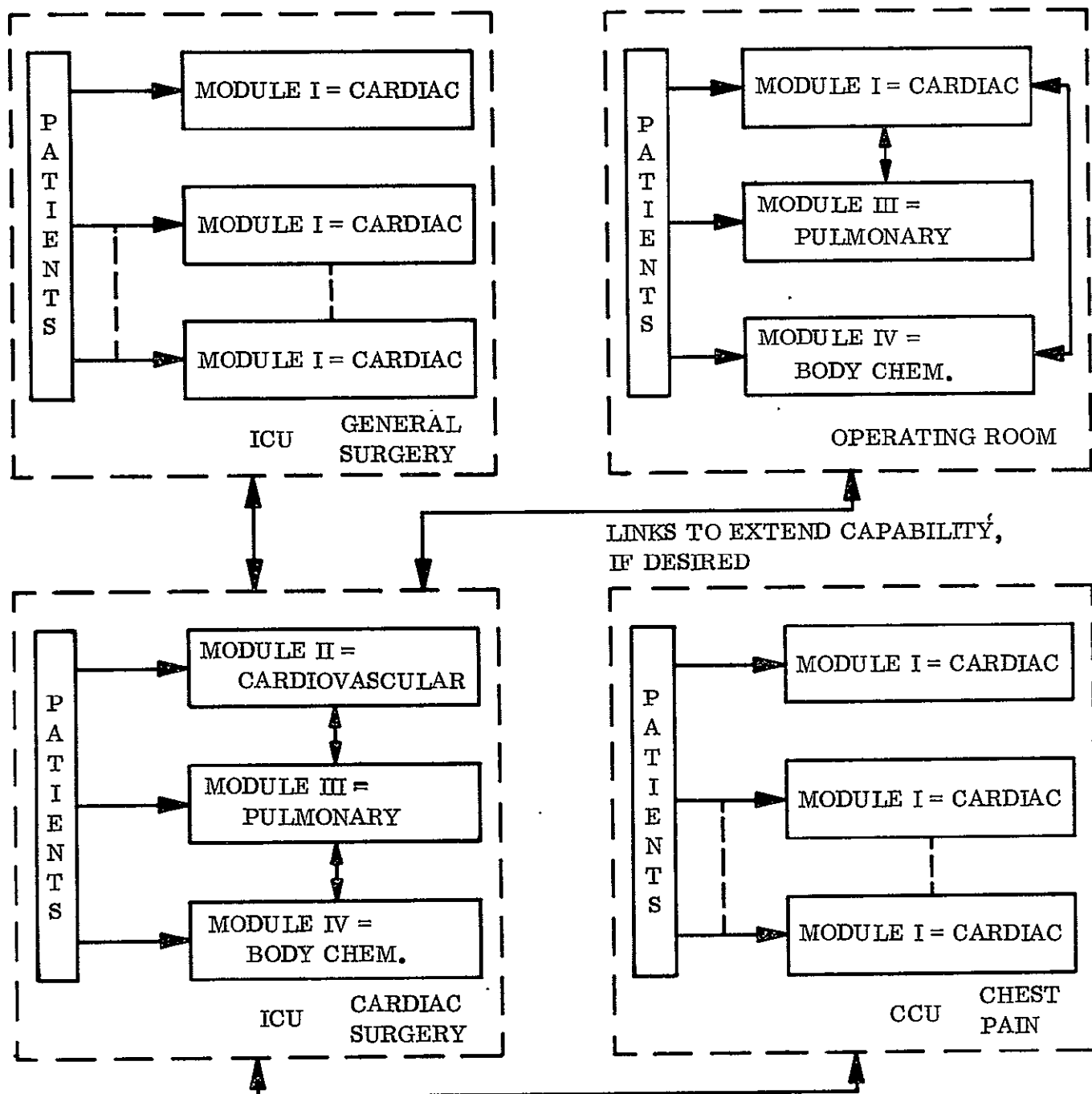


Figure 3.1.5. Typical Medical Center Modular PMS Installation

3.1.3 & 3.1.4 Measured and Derived Parameters

The following charts present physiological parameters monitoring of which is considered to be required of a cardiopulmonary oriented Patient Monitoring System. Measured parameters are those which are evaluated on the basis of basic physiological sampling only; derived parameters are those which are attained through manipulation of one or more measured (or previously derived) parameters. Extended parameters are generally classified as derived parameters that are detail evaluations of measured or derived data.

For example:

ECG Waveform = basic

Heart Rate = derived (from ECG)

Arrhythmia = extended-derived (detail analysis of ECG)

Preliminary equipment and facilities monitors are included (but should not be limited to those shown) to indicate the necessity for monitors that will provide for environmental references, indication of equipment operability and safety, verification of configuration and command response interfaces. Auxiliary parameters indicate the probable extension of utilization of the PMS System when an integral CPU is included for a particular application.

Measured Parameters are listed in Table 3.1.2, Derived Parameters are listed in Table 3.1.3, Equipment and Facilities Parameters are listed in Table 3.1.4, and Auxillary Parameters are listed in Table 3.1.5.

Additional details such as Derivation Sources, Derivation Techniques (Manual, Automatic, Dimensions, Derivation Formula or Relationship), Amplitude, Frequency-Duration-or-Interval, Analytical Range, etc. shall be furnished in the Final Report.

TABLE 3.1.2 BASIC PHYSIOLOGICAL PARAMETERS

Direct Measurement <u>Physiological Parameters</u>	
<u>Cardiovascular</u>	<u>Temperatures</u>
Electrocardiogram (Scalar)	Body Temperatures
Vectorcardiogram (Orthogonal Vector's X, Y, Z)	<u>Fluids Analysis</u>
Phonocardiogram	Hematology
Blood Pressure	Pressure - (See Previous)
	PO ₂
	PCO ₂
	PH
	O ₂ Saturation
<u>Pulmonary</u>	Urology
Flow (Pneumotachograph, Spirometer)	Urine Flow Vol.
	Urine Vol/Unit Time
Volume	<u>Encephalography-Neurology</u>
Pressure Total	Electroencephalograms
Partial O ₂ Pressure	Alpha Rhythms
	Beta Rhythms
Partial CO ₂ Pressure	Delta Rhythms
	Theta Rhythms

TABLE 3.1.3 BASIC PHYSIOLOGICAL PARAMETERS
EXTENDED/DERIVED PHYSIOLOGICAL
PARAMETERS

Heart Rate

Instantaneous and average
Pulse deficit

Cardiac Rhythms

Artifacts
Rhythms
Arrhythmia.

Blood Pressures

Intracardiac
Arterial | instantaneous & average
Venous

Other Cardiac

Event durations (e.g. systolic
ejection)
Stroke volumes/cardiac output/
cardiac index
Pressure derivatives
Peripheral resistance
Dye dilution characteristics

Fluid Output Measurements

| Chest drainage } volume and rate
Urine }

Fluids Chemistry

Blood - Gas concentrations
- Other chemical analysis
- Cell counts

Fluids Chemistry (Cont.)

Urine - pH
- Electrolytes
- Glucose
- Protein
- Blood Traces

TABLE 3.1.4 EQUIPMENT AND FACILITIES PARAMETERS

Equipment & Facilities Parameters

Fluid Decrement & empty fluid infusion bag/bottle measurement

Drip Flow Rate (IV-Nasal-Etc.)

Room Temperature

Power Status

Primary

Back Up

Nodal Leakage Current

Gas Supply Flow Rate

Gas Supply Pressure and Temp. Constituents by volume percent

Infusion Control Monitors

Interrupt Monitor active sensor monitor, remote display monitor

TABLE 3.1.5 AUXILIARY PARAMETERS

Auxiliary Parameters

Programmable Pacemaker

Programmable defibrillator

Nurses notes and commentary

Doctors notes, prescriptions and schedules

Patient data (Name, Sex, Age, Height, Weight, prior medical history, current medical diagnosis, care program)

Medical records/data library

Computer assisted teaching/research

Patient billing data

3.2 PMS FUNCTIONAL PERFORMANCE REQUIREMENTS

In order to reasonably satisfy both functional and economic criteria of a broad range of institutions, the Patient Monitoring System (PMS) shall be designed to satisfy the functional requirements delineated in detail paragraphs of this section. Modularity is a salient critical requirement in order to make medically sound equipment for patient care and monitor readily available to the small institutions as well as the large hospital and research center. Secondly, modularity will provide the capability for limited selection of equipment satisfying the physicians' or hospitals particular preference of technique for monitor of functions while also providing for expansion and rapid, economic updating of range, sensitivity, accuracy and reliability as advances in state-of-the-art accrue.

Functional performance shall address the following characteristics in the order indicated:

- (a) Medical efficacy
- (b) Patient Safety
- (c) Reliability (accuracy, life, etc.)
- (d) Maintainability
- (e) Adaptability/Modularity
- (f) Simplicity
- (g) Integral Calibration and Fault Location

Equipment considered for use in the PMS will be evaluated on the above characteristics as well as the detail requirements as stated in the subsequent paragraphs.

3.2.1 Subsystem Requirements

Each subsystem shall be designed for primary application to the module for which it is intended, however; the design shall include consideration of compatibility with all other modules. Primary design considerations shall be directed towards physiological characteristics and events in the parent module including intra-subsystem modular design, intra-module compatibility of subsystems, inter-module compatibility and interchangeability where inter-module relationship is specified in the detail requirements in subsequent paragraphs.

Inter-modular relationship of subsystems shall be synergistic wherever possible and a subsystem of one module may not detract in any way from the operation of any external subsystem or module. Except for specific circuits interconnected with power and/or timing subsystems, each subsystem shall be autonomous insofar as practical, (e.g., loss of a portion of the central circuit functions should not affect the Monitor and Display subsystem). Automatic subsystem failure indicators and fail-safe techniques shall be evidenced by both audible and visible annunciators of tone and color different from that used for pathological alarms.

3.2.1.1 Primary Sensor Subsystem -

- (a) The sensor subsystem is defined as being comprised of all elements that provide primary detection of physiologic events and/or changes in physical quantities associated with these events including biological potentials, pressures, temperatures, sound, and so forth. Additional sensors for detection of basic equipment and environmental parameters are included in the subsystem to the extent defined herein.
- (b) The sensor subsystem includes elements as follows:

ELECTRODES - includes all classes of conductive elements used for detection of electrical parameters by making contact with non-metallic portions of electrical circuits to be measured. Examples are EEG, ZPG, VCG, ECG/EKG and GSR electrodes or contactors applied cutaneously. Percutaneous contactors are included in this set only when the detected signal is not routed externally.

PROBES - includes all classes of detection elements applied percutaneously or in body openings and cavities when the sensed parameter is routed externally for measurement. Probes include subcutaneous EEG, ECG/EKG electrodes, intravenous catheters used for pressure measurement and so forth. Probes are subclassified as electrode probe or transducer probe. The electrode or transducer may be integral with the probe (needle type EEG), or separate (miniature transducer in a catheter).

TRANSDUCERS - includes elements for detection of all classes of parameters other than electrical that are not measureable directly by electrical means but are transformed (transduced) into electrical analogs to enable electrical amplification, transmission and presentation. Transducer types commonly used include variable reluctance, accelerometer, strain gage, thermistor, photovoltaic cell, thermocouple, moving potentiometer and expansion or displacement types.

(NOTE: Devices for direct display or interpretation of non-electrical parameters and conversion from one form of energy to another non-electrical form of energy are not defined as sensors nor contained in the sensor subsystem herein. Stethoscopes, visual monitors (including TV, X-ray, fluoroscope) manometers, tactile sensors, and similar methodology are defined as portions of Monitor and Display Equipment, metering, or indicating instruments (pressure gages, etc.)

- (c) Sensors for the PMS system are categorized as follows:

Primary sensors are those employed to detect basic parameters; secondary sensors which are employed to detect detail characteristics of the parameters (i.e. cross-over detectors, phase detectors, etc.) only primary sensors are included in the sensor subsystem; other monitors, detectors, and similar devices shall be (as noted above) included in monitor, display, etc. equipment and/or in the data conditioning, processing portions of the PMS system.

The primary sensor subsystem therefore consists of:

- (1) Primary physiologic sensors (electrodes, transducers, probes or a combination thereof).
 - (2) Primary equipment sensors (transducers and transducer probes; transducer probes or any transducer inserted by means of an extension arm, suspension bracket, etc., into a closed volume (non-ambient), e.g., thermistor probe inserted in air flow of equipment rack to measure output air temperature).
 - (3) Primary environment sensors (transducer for monitor of room temperature, humidity).
- (d) All sensors shall satisfy the following general requirements:
- (1) Physiological sensors:
 - physiological sensors shall be non-invasive wherever practicable, i.e., the sensors (and related equipment) provided to detect those parameters defined in the included parameter tables (para. 3.1) shall satisfy all the requirements contained in this specification, Table 3.2.1 without requiring probes (subcutaneous, intra-cardiac, intra-venous, esophageal, etc.)
 - where practical, currently available sensors shall be employed. Where superior performance and/or non-invasive technique of proposed sensor can be demonstrated and accepted, this criterion may be waived.
 - capability for simple calibration and/or calibration verification shall be provided; transducers preferably do not require or have adjustments; all sensor calibrations shall be accomplished via related electronics and data processing equipment.
 - All sensors designed for PMS application will be terminated in accordance with requirements of Table 3.2-1.

PRIMARY SENSOR SUBSYSTEM

PHYSIOLOGICAL PARAMETER SENSORS

ELECTRODES	SENSOR TYPE	ATTACHMENT TECHNIQUE; SENSOR/PATIENT INTERFACE	DIMENSIONAL RANGE (EACH ELECTRODE)	ELECTROGEL USED YES/NO (SURFACE ONLY)	SENSOR MATERIAL	POLARIZATION POTENTIAL (VOLTS)	PATIENT SENSOR INTERFACE IMPEDANCE	ΔV BETWEEN ELECTRODES (MICROVOLTS)	TEMPERATURE RESPONSE	LINEARITY AND REPEATABILITY ($\Delta T = 0$)	TERMINATION (INTERFACE TO LEADS AND CONNECTOR TO SIGNAL CONDITIONER)
ECG/VCG (EXTERNAL)	PATCH ROUND SQUARE	SKIN SURFACE-ADHESIVE; ELASTIC VEL-CROFASTENER	8 to 15mm ϕ 2 cm ² to 2.5 cm ²	YES	Ag, AgCl; Ag PARTICLES IN FLEXIBLE ELASTOMER	-0.22 to zero	500 Ω to 10 K Ω	<1.0	$\Delta V \leq \frac{1 \mu V}{10^\circ C}$	$\pm 1\%$ of actual*	TBD #
ZPG	←				SAME AS ECG/VCG ELECTRODES						
EEG	PATCH NEEDLE	SKIN SURFACE-ADHESIVE; SUBCUTANEOUS-TAPED	0.2 cm ² to 0.25 cm ² ; 28 gage to 26 gage	YES	STAINLESS STEEL, SILVER	0.4 to -0.22	<10 K Ω	<1.0	$\Delta V \leq \frac{1 \mu V}{10^\circ C}$	$\pm 1\%$ of actual	
GSR	PLATE-CURVILINEAR	SKIN SURFACE-STRAP OR WRAP (PALMS OF HANDS)	5-6.5 cm ²	NO	STAINLESS STEEL	0.4 to zero	<300 K Ω	<1.0	$\Delta R \leq \frac{0.500 \Omega}{^\circ C}$	$\pm 1\%$ of actual	
ECG/VCG (INTERNAL)	PATCH ROUND SQUARE NEEDLE	TISSUE SURFACE-SUTURED; SUB-TISSUE - SUTURED	4-8mm Dia (ϕ) 0.25 - 0.5 cm ² 28 gage to 24 gage	NO	STAINLESS STEEL	0.4 to zero	500 Ω to 10 K Ω	<1.0	$\Delta V \leq \frac{1 \mu V}{10^\circ C}$	$\pm 1\%$ of actual*	TBD#

NOTE: ALTERNATES WILL BE CONSIDERED FOR APPLICATION WHEN SUBMITTED FOR EVALUATION (12 ITEMS PLUS FULL PARTICULARS)

#TBD - TO BE DETERMINED AT LATER DATE AND ADDED TO SPECIFICATION

*OVER 10 MICROVOLT TO 2 MILLIVOLT RANGE OF INPUT

PRIMARY SENSOR SUBSYSTEM

PHYSIOLOGICAL PARAMETER SENSORS

TRANSDUCERS	SENSOR TYPE	PATIENT INTERFACE ATTACHMENT TECHNIQUE	DIMENSIONAL RANGE	ADJUSTMENTS	LINEARITY AND REPEATABILITY ($\Delta T = 0$)	TEMPERATURE RESPONSE	OUTPUT IMPEDANCE	BANDWIDTH	SENSITIVITY	CAPACITANCE	RESOLUTION; INTERCHANGEABILITY	RESPONSE TIME	TERMINATION (INTERFACE TO LEADS AND CONNECTOR TO SIGNAL COND.)
BLOOD PRESSURE	CYLINDRICAL TRANSDUCERS (IN-LINE CONNECTION TO CATHETER).	SUB-CUTANEOUS - TAPED	$\leq 2.5\text{cm}$ ($< 2\text{ OZ.}$ WEIGHT)	GAIN SELECT (3-6 SELECTIONS) ALLOWABLE FOR SINGLE TRANSDUCER	$\pm 1\text{mm}, 5-35\text{mmHg}$ $\pm 3\text{mm}, 36-90\text{mmHg}$ $\pm 5\text{mm}, 91-250\text{mmHg}$ OR BETTER	$\leq \left(\frac{40.1\text{mmHg}}{^{\circ}\text{F}} \right)$	$\geq 1\text{M}\Omega$			TBD	0.5mmHg		TBD
HEART SOUNDS	MICROPHONE	ADHESIVE RING; STRAP; TAPE	$\leq 6.5\text{cm}^2$	NONE DESIRED	TBD	$\leq \left(\frac{40.036\text{db}}{^{\circ}\text{F}} \right)$	$\geq 2\text{M}\Omega$	TBD	TBD ($> 0.5\text{mv}/\text{N}/\text{M}^2$)	TBD			
BODY TEMPERATURE	THERMISTOR CAPSULE (SEE PROBES)	TAPED (LEADS)		↑	THE GREATER OF $\pm 0.1\%$ OR 0.1°F				$\geq \frac{0.5\Omega}{0.1^{\circ}\text{F}}$	TBD	0.18°F		
	PATCH THERMISTOR	ADHESIVE OR TAPE	5-6.5cm ² (GENERAL) 2.5-4cm ² (LARGE TOE)										
RESPIRATORY FLOW	NASAL THERMISTOR	TAPED		↓	THE GREATER OF $\pm 0.1\%$ OR 0.1°F						0.18°F	$\frac{0.01\text{sec}}{^{\circ}\text{F}}$ # SEE NOTE	
AMPLITUDE	STRAIN GAGE BRIDGE	ADHESIVE; TAPED	5-6.6cm ²						*G.F. = $\frac{\Delta R/R}{\Delta L/L} \times 100$	TBD			TBD

NOTES: TBD - TO BE DETERMINED * GAGE FACTOR ** PROCESSOR WILL INTERPRET PARAMETER MEASUREMENT TO CAL CURVE

TIME CONSTANT $\sim 0.2\text{ SEC.}$ -- TIME TO REACH 63% OF NEWLY IMPRESSED TEMP ($\sim 5\text{ TC's}$ TO REACH 99%)

PRIMARY SENSOR SUBSYSTEM

PROBES

	SENSOR PATIENT INTERFACE	SENSOR TYPE	DIMEN- SIONAL RANGE	MEASURE- MENT RANGE	LINEARITY AND REPEAT- ABILITY ($\Delta T = 0$)	ADJUST- MENTS	SENSOR MATERIAL	PATIENT SENSOR INTERFACE IMPEDANCE	TEMPERA- TURE RESPONSE	RESPONSE TIME	RESOLU- TION; INTER- CHANGE- ABILITY	SENSI- TIVITY	TERMINATIONS (INTERFACE TO LEADS AND CONNECTOR TO SIGNAL CONDITIONER)
ECG PROBE	FLEXIBLE SHAFTED ESOPHOGEAL (ORAL IN- SERTION) PROBE	ANNULAR ELECTRODES	TBD SENSOR: D = L = SPACING =	↑	$\pm 1\%$ OF AC- TUAL OVER 10 μ V TO 3 M V RANGE OF INPUT	NONE	STAINLESS STEEL OR PLATINUM	500 Ω TO 10 K Ω	$\Delta V \leq \frac{1 \mu V}{10^\circ C}$				TBD ↑
TEMPERATURE PROBE	FLEXIBLE CABLED RECTAL PROBE; THERMISTOR AT NEEDLE OR CATHETER TIP FOR SUB- AND PERCUTA- NEOUS INSE- RTION	TRANSDUCER ELECTRO- RESISTIVE THERMISTOR	TBD	SEE BASIC TABLE OF MEASURE- MENTS ↓	$\pm 0.1\%$ OR 0.1°F WHICH- EVER IS GREATER	NONE	NICKEL/ MANGANIN; SEMI- CONDUCTOR BEAD	N/A	N/A	0.01 SEC °F TIME CON- STANT ~0.2 SEC: TIME TO REACH 63% OF NEWLY IMPRESS- ED TEMP (~5 TC/TO REACH 99%)	0.1° C	≥ 0.5 1° C	TBD ↓
PRESSURE PROBE	TRANSDUCER* EXTERNAL STRAIN GAGE BRIDGE	NEEDLE, CATHETER OR CANNULA INSERTED PERCUTAN- EOUSLY	TBD	↓									

* SEE TRANSDUCERS ON PREVIOUS PAGE

PRIMARY SENSOR SUBSYSTEM

AUXILIARY (EQUIPMENT/ENVIRONMENTAL) SENSORS

	SENSOR TYPE	DIMENSIONAL RANGE	ADJUSTMENTS	LINEARITY AND REPEATABILITY	TEMPERATURE RESPONSE	OUTPUT IMPEDANCE	SENSOR MATERIAL	SENSITIVITY	INTERCHANGEABILITY	RESPONSE TIME	TERMINATION (INTERFACE) TO LEADS AND CONNECTOR TO SIGNAL CONDITIONER
ROOM TEMPERATURE	TRANSDUCER (ELECTRO-RESISTIVE THERMISTOR)	OPTIONAL, APPROXIMATELY 0.5 IN ³ PREFERRED	"ZERO" SET ONLY	THE SMALLER OF 0.5% OR 3° COVER RANGE 65-85°F		COMPATIBLE WITH SIGNAL CONDITIONER TBD	TBD (SEE SENSOR SUBSYSTEM SPECIFICATION)	$\geq 0.5 \Omega$ °F	1.8°F	TIME CONSTANT OF 3 SEC. OR BETTER*	TBD
EQUIPMENT CURRENT LEAKAGE	SENSOR	STANDARD UNITS TBD	"ZERO" SET ONLY	$\pm 2\%$ OR 0.1 μ a		$\geq 100 \text{ M}\Omega$; $\leq 10 \text{ K}\Omega$	TBD	0.2 μ a	0.2 μ a	$\leq 0.1 \text{ SEC}$	
EQUIPMENT POWER STATUS	SENSOR, (HIGH RESISTANCE VOLTAGE MONITOR; LOW RESISTANCE CURRENT MONITOR)	STANDARD UNITS TBD	NONE	$\pm 2\%$ OF FULL SCALE MEASUREMENT VALUE	$\leq 1\%$ OF FULLSCALE MEASUREMENT VALUE OVER OPERATIONAL TEMPERATURE RANGE	$\geq 100 \text{ M}\Omega$; $\leq 10 \text{ K}\Omega$	TBD	1 VRMS; 0.5 VDC; 3% OF SOURCE LOAD CURRENT; 3% OF MIN. SPECIFIED AC NO-LOAD CURRENT	0.5 VRMS; 0.25 VDC; 1.5% OF AVG DC LOAD CURRENT OR AC NO LOAD CURRENT	$\leq 0.1 \text{ SEC}$	
EQUIPMENT TEMPERATURE	TRANSDUCER (ELECTRO-RESISTIVE TRANSDUCER)		SAME AS ROOM TEMPERATURE TRANSDUCER (EXCEPT RANGE, SIZE AND LOCATION TO BE COMPATIBLE WITH EQUIPMENT)								
EQUIPMENT GROUNDING STATUS	SENSOR, (LINE TO GROUND MONITOR, AND GROUND CURRENT MONITOR)	STANDARD UNITS TBD	NONE	$\pm 2\%$ OF FULL SCALE MEASUREMENT VALUE	$\leq 1\%$ OF FULL SCALE MEASUREMENT VALUE OVER OPERATIONAL TEMPERATURE RANGE	$\geq 100 \text{ M}\Omega$	TBD	5 μ amps		$\leq 0.1 \text{ SEC}$	TBD

**COMPENSATION MAY BE PROVIDED

*TIME CONSTANT (TC) IS THAT TIME REQUIRED TO REACH 63% OF NEWLY IMPRESSED TEMPERATURE (~1.5 SECONDS FOR 99%)

3.2.1.2 Signal Conditioning Subsystem - The outputs of most sensors will not necessarily be compatible with the rest of the system. In addition, some of these signals will be quite low in amplitude and subject to electrical interference if they are to be transmitted over any significant distance. The signal conditioning equipment shall accept inputs from the sensors and shall amplify them to a standard full-scale signal level, compatible with the rest of the system. It shall provide the signals with sufficient current drive and impedance matching characteristics so that they may be transmitted to the next subsystem with insignificant loss of fidelity. The signal conditioning equipment shall be physically small enough to be located at the patient's bedside, to minimize the distance of transmission of unconditioned signals. The signal conditioning equipment may be co-located with the sensor or the sensor transducer. All signals shall be conditioned to a standard full-scale level within five feet of the sensor, with less than 1% distortion and fidelity loss. Amplifiers shall be compatible with the amplitude, impedance and frequency characteristics of the sensors. Solid-state devices shall be used exclusively. Power shall be provided by the module's power supply. (Ref. module descriptions Section 3.1.2).

Isolation requirements of the system will be adhered to by the use of adequate grounding and double-ended signal amplification, where appropriate. Amplifiers shall be modularized within the equipment so that a faulty circuit can be totally replaced by a technician unskilled in electronics within a few minutes. Signal conditioning equipment will not, in general, be visible as a displayed portion of the system, since no controls or displays are associated with it except for amplifier calibrate and balance adjustments, which will be hidden from view. Connections to the signal conditioning equipment shall be by standard connectors providing a secure electrical path, capable of being disconnected easily.

Module I

The Signal Conditioning Subsystem for the Cardiac Surveillance module shall consist of a bank * of EKG amplifiers, a bank * of VCG amplifiers, temperature sensor amplifiers, * and three spare amplifiers, one of each type. Provision will be made for an additional six amplifiers in terms of plug-in provisions for the circuits and input-output connectors for use in future conversion of this module to have additional capability.

Module II

The Signal Conditioning Subsystem for the cardiovascular module shall consist of a bank * of EKG amplifiers, a bank * of VKG amplifiers, a temperature amplifier, four catheter transducer amplifiers, an amplifier for the dye dilution sensor, and five spare amplifiers, one of each type. Provision shall be made for at least six additional amplifiers, in terms of plug-in space and input-output connector space, for future growth.

*To be specified in ordering data

3.2.1.3 Data Acquisition Subsystem - The data acquisition subsystem is specified in accordance to the four (4) modular options three (3) of which can stand alone or up to four (4) in combination. The following data represents the data acquisition equipment necessary for each module alone. This subsystem could be commonly employed by multiple modules.

A/D Conversion - A minimum of an eight (8) channel analog multiplexer, modularly expandable to 128, which is capable of accepting signals in the range of ± 10 VDC shall be provided. The scan rate of the multiplexer should be no less than 30,000 points per second and up to 50,000 points per second. The sampling rate shall be deliverable at 25, 200, and at 500 samples/second. The analog subsystem should include the features of sample and hold, address control (random or sequential) and the analog-to-digital converter.

Signal conditioners between sensors and A/D converters shall provide amplification and impedance transformation such that the equivalent source impedance (seen by the A/D) shall be less than 100 ohms.

A ± 10 VDC analog input to the multiplexer shall produce full scale deflection of the A/D converter with maximum voltage overload of 100% full scale. The converters output shall be 10 bits expandable to 12 bits. Visual bit indication shall be available on a front panel register of the converter.

Random scan rates shall be available under program control at no less than half the hardware scan rate. The A/D shall have an accuracy of no less than $0.02\% \pm 1/2$ LSB. The performance accuracy at DC shall include the following:

The linearity shall be no less than $0.01 \pm 1/2$ LSB.

The long term drift shall be no greater than 0.01% typical.

The temperature coefficient shall be 10 ppm /° C max.

Crosstalk shall not exceed 80db with 1K source impedance at 400 cps.

The voltage reference stability shall be no less than 0.001%.

Typical accuracy at 25°C will be within $0.02\% (\pm 1/2 \text{ LSB})$ of full scale.

Clocks

The system shall have two clocks to be employed as required. The first clock shall provide BCD input sense lines of hours, minutes, seconds, and milliseconds. Additionally, provision for the transmission of the "Hold" command from computer to clock shall be made. The second clock shall provide programmable time intervals via priority interrupts. Minimum resolution shall be 0.1 milliseconds. No computer time or memory access shall be consumed by this clock for counting.

The data acquisition subsystems digital word length shall be no more than 16 bits. The bit length and logical voltage level shall be at the manufacturers option but shall be compatible throughout Modules I, II, III, and IV.

Standard interfacing shall be provided between the computer and its peripherals including data words, command word/control word sequences, and interrupts. Data words shall be up to full 16 bits. The command word shall consume one (1) 16 bit word. The control words shall also consume one (1) 16 bit word. Interrupts and events shall be handled as either a logical steady state or a discrete.

3.2.1.4 Data Processing Sub-System - The data processing subsystem is delineated by the following chart which is divided into four sections. Each section is specifically for each of the standard module configurations. In combining these modules into various configurations many of the elements will be used commonly by the other modules. All module interfaces shall be compatible with all other modules.

DATA PROCESSING SUB-SYSTEM

	Module I Cardiac Surveillance	Module II Cardio- vascular	Module III Pulmonary	Module IV Body Fluids
• <u>Memory</u>				
Memory cycle time	no more than two (2) μ sec.	no more than one (1) μ sec.	no more than two (2) μ sec.	no more than two (2) μ sec.
Memory word length	no less than 16 bits	no less than 16 bits	no less than 16 bits	no less than 16 bits
Minimum memory size	4,096 words	4,096 words	4,096 words	4,096 words
Memory size increments	4,096 words	4,096 words	4,096 words	4,096 words
Maximum memory size	16,384 words	32,768 words	16,384 words	12,288 words
Parity check	shall be included	shall be included	shall be included	shall be included
Memory protect	shall be include	shall be included	shall be included	shall be included
• <u>Central Processor</u>				
Instruction word length	no less than 16 bits	no less than 16 bits	no less than 16 bits	no less than 16 bits
Number of accumulators (or general purpose registers that can be used as accumu- lators)	one (1)	one (1)	one (1)	one (1)
Number of hardware registers (not including index registers)	at designer's option	at designer's option	at designer's option	at designer's option
Number of index registers	no less than three (3), hardware; optional for soft- ware	no less than three (3), hardware; optional for soft- ware	no less than three (3), hardware; optional for soft- ware	no less than three (3), hardware; optional for soft- ware
Number of bits for operation code	4 - 6 bits	4 - 6 bits	4 - 6 bits	4 - 6 bits
Number of bits for address modes	1 - 3 bits	1 - 3 bits	1 - 3 bits	1 - 3 bits
Number of address modes	2 to 8	2 to 8	2 to 8	2 to 8
Number of address bits	at designer's option	at designer's option	at designer's option	at designer's option
Number of directly address- able words	4,096 words	up to 32,768 words	up to 4,096 words	up to 4,096 words
Time of direct addressability	no more than two (2) μ sec.	no more than one (1) μ sec.	no more than two (2) μ sec.	no more than two (2) μ sec.
Number of indirectly ad- dressable words	4,096 words	up to 32,768 words	up to 4,096 words	up to 4,096 words
Time of indirect address- ability	no more than four (4) μ sec.	no more than two (2) μ sec.	no more than four (4) μ sec.	no more than four (4) μ sec.
Indirect addressing	multi-level	multi-level	multi-level	multi-level
Relative addressing	shall be provided	shall be provided	shall be provided	shall be provided
• <u>Arithmetic Operations</u>				
Store time for full word (μ s)	no more than four (4.0) μ sec.	no more than two (2.0) μ sec.	no more than four (4.0) μ sec.	no more than four (4.0) μ sec.
Add time for full word (μ s)	no more than one (1.0) μ sec.	no more than one (1.0) μ sec.	no more than one (1.0) μ sec.	no more than one (1.0) μ sec.
Fixed point hardware mult/ divide	optional	shall be included	shall be included	shall be included
Multiply time-hardware (μ s)	no more than (24.0) μ sec.	no more than six (6.0) μ sec.	no more than (24) μ sec.	no more than (24.0) μ sec.
Divide time-hardware (μ s)	no more than 30.0 μ sec.	no more than seven (7.0) μ sec.	no more than seven (7.0) μ sec.	no more than seven (7.0) μ sec.
Multiply time-software (μ s)	Optional (no more than 450 μ sec.)	Optional (no more than 300 μ sec.)	Optional (no more than 450 μ sec.)	Optional (no more than 450 μ sec.)
Divide time-software (μ s)	Optional (no more than 600 μ sec.)	Optional (no more than 600 μ sec.)	Optional (no more than 600 μ sec.)	Optional (no more than 600 μ sec.)
Double precision arithmetic	shall be provided	shall be provided	shall be provided	shall be provided

DATA PROCESSING SUB-SYSTEM (Continued)

	Module I Cardiac Surveillance	Module II Cardio- vascular	Module III Pulmonary	Module IV Body Fluids
• <u>I/O Capability</u>				
Data path width (bits)	16 bits	16 bits	16 bits	16 bits
Direct memory address (DMA) channel	shall be included	shall be included	shall be included	shall be included
Maximum DMA word transfer rate	no more than 500 KHz	no more than one (1) MHz	no more than 500 KHz	no more than 500 KHz
Number of external priority interrupt levels	no less than three (3)	no less than twelve (12)	no less than five (5)	no less than five (5)
Maximum number of external interrupts	16	96 in increments of eight (8)	32 in increments of eight (8)	32 in increments of eight (8)
• <u>Other Features</u>				
Power failure protect	shall be included	shall be included	shall be included	shall be included
Automatic restart after power failure	shall not be included	shall not be included	shall not be included	shall not be included
Real-time clock or internal timer	shall be included	shall be included	shall be included	shall be included
• <u>Peripherals</u>				
Magnetic tape and controller	optional	two (2)*	optional	optional
Magnetic tape capacity	optional	9 - track*, 75 ips, 800 bps, 60K bytes per sec. transfer rate H&V parity	optional	optional
Card reader and controller	optional	one (1)*	optional	optional
Card reader capacity	optional	no more than 200* CPM binary hollerith 80 columns	optional	optional
Card punch and controller	optional	one (1)*	optional	optional
Card punch capacity	optional	more than 80* columns/sec.	optional	optional
Disc and controller	optional	1-32 million char- * acters (min. - max.) according to patient load random access 30-35 milliseconds maximum access time 15-18 milliseconds average access time 280,000-300,000 (6 bit bytes) max- imum data transfer rate	optional	optional
Paper tape reader	one (1) no less than 300 characters/sec.	optional*	one (1) no less than 300 characters/sec.	One (1) no less than 300 characters
Paper tape punch	one (1) no less than 50 characters/sec.	optional*	one (1) no less than 50 characters/sec.	one (1) no less than 50 characters/sec.
Typewriter	optional	one (1)* 60-65 alphanumeric characters 10-15 characters/sec. max. speed 72 characters/line minimum	optional	optional

* NOTE: Module II's peripheral configuration shall include the listed peripherals operating in combined configuration with Modules III and/or IV or as desired by the user. If operating autonomously the listed peripherals would be optional to be supplanted by the paper tape reader/punch equipment.

3.2.1.5 Display Subsystem -

A. Operating Modes

The display subsystem in conjunction with the control subsystem will provide data display for the following modes of operation:

1. Monitoring mode will require the capability of displaying selected patient parameter data of both direct and derived origin on a real-time basis. This mode is concerned with the requirements of displaying patient data at the bedside, nurses' station and remote stations during normal monitoring, i.e., non-emergency periods.
2. Alarm mode will require immediate display recording and hard copy of critical patient data on a top priority and also non-interference basis with regard to the general monitoring of the other patients on the same system. The alarm system based on pre-determined limits of selected data parameters will activate the chart recorder as well as activating an audio/visual alarm indicator at the nurses' station and a visual alarm at bedside.

Capability will exist for immediate data retrieval with appropriate priority interrupt to view the patients recent data, e.g., lab analysis, trend data, drug administration, etc. via an alpha numeric display device and provide a hard copy of the displayed data.

3. Data retrieval mode will provide recall and display of patients data, i.e., historical data, trend analysis, drug administration, lab analysis, etc. The retrieved data will be displayed on demand via the data entry keyboard with the optional capability of providing on-the-spot hard copy of the data displayed on alpha-numeric display device.
4. Data entry mode will allow the operator of the system to enter data into the processor for purposes of changing or updating patient data. Provisions will be made for data entry to be accomplished in the following stages:
 - a. data will be entered via keyboard per operational instructions.
 - b. as data is entered, it will be displayed on an alpha-numeric display allowing entry to be edited prior to transfer into the processor storage.
 - c. once the entry is edited, an execute command is activated on the keyboard which enables the processor to act on the entered data.

- d. automatically, following activation of the execute command, the completely revised page of data is displayed on the alpha-numeric display for a duration of one minute unless a manual override key is depressed on the keyboard which provides for additional display time.

Capability will exist to provide the required user identification/authorization to be used as a security key in entering certain data areas, i.e., it may be desirable or mandatory to have only select personnel enter instructions into the drug administration data.

5. Maintenance mode will include provisions for display of equipment status data during patient-sensor set-up, calibration and self-diagnostic procedures. The display capability necessary to support these requirements must be capable of operating on a non-interference basis with the other display modes and must not interfere with the operational routines carried out by the hospital personnel in their duties to monitor the patients.

B. Display Capability

The patient monitoring display subsystem required capabilities are summarized in Table 3.2-2.

C. Display Subsystem Requirements

1. Bedside Displays

The display equipment at the bedside will be designed to allow wall mounting. The unit will provide the following display capabilities:

Analog Waveform Display

Provision will be made in the basic cabinet for either a single or dual channel capability for display of ECG, EEG or arterial pressure on a CRT. This display will operate directly from the output of the local signal conditioners, e.g., ECG amplifier, and therefore will be independent of any processor operations.

Size

The CRT shall have a minimum diameter of 5" or equivalent area.

Inputs

The CRT shall have the capability of either a single channel or two independent channels for display of data.

DISPLAY CAPABILITY	OPERATIONAL MODE USAGE					LOCATION REQ'MTS				NOMINAL DISPLAY CAPACITIES			
	MONITORING	ALARM	DATA RETRVL	DATA ENTRY	MAINTENANCE	BEDSIDE	NURSES' STN.	REMOTE	OR	BEDSIDE	NURSE'S STATION	REMOTE	OR
1. Analog (waveform) Display	x	x				x	x	x	x	2 channels	8 channels		4 channels (1) 4 channels (2)
2. Digital Display	x	x				x	(x)	(x)	x	4 three digit displays	4 per patient*	Optional	4 displays (1) 6 displays (2)
3. Alpha-Numeric Display	x	x	x	x	x	(x)	x	x	x	Optional	See format discussion	Both analog and N capability	See format discussion
4. Analog Chart Recorder	(x)	x					x	(x)	(x)	Not required	1 channel for each patient (4)	Optional	Optional (3)
5. Alpha-Numeric Hard Copy		x	x		x		x	(x)	(x)	Not required	Copy of CRT display	Optional	Optional (3)
6. Alarms/Events	x	x			x	x	x	x		Local/Remote Alarms for 4 parameters	1 alarm per patient	Optional	Optional
7. Status Indicator	x				x		x			Not required	Monitoring (alarm) Maintenance Not in use	Optional Optional	Not required

KEY:

x Required
(x) Optional

NOTES:

- (1) For Surgeon
- (2) For Anesthesiologist
- (3) If used, equipment would probably be located external and adjacent to OR.
- (4) Up to 4 patients, then 1 channel/2 patients

DISPLAY CAPABILITY TABLE 3.2-2

Frequency Response

The frequency response shall be flat to within ± 0.5 db from 0.1 to 50Hz and not more than 3db down from 0.14 to 100Hz.

Gain

The nominal and minimum gains of the function shall be:

ECG - 1cm (nominal) and 0.2cm (minimum) of display deflection per millivolt on the patient leads. Adjustable gain to range from 0.2 to 2cm/mv.

Arterial blood pressure - 1cm of deflection per 100mm Hg of arterial pressure. Adjustable gain from 0 to 4cm/100mm Hg.

EEG - 1cm (nominal) and 0.2cm (minimum) of display deflection per microvolt on the patient leads. Adjustable gain from 0.2 cm to 2cm/microvolt.

DRIFT - with gain set at nominal the display baseline shall not drift more than 10%/hr. and gain shall be stable to within 0.2% per $^{\circ}\text{C}$ after suitable warm up period.

Horizontal Sweep Rate

Display shall have at least two sweep rates, 2.5 and $5.0 \pm 5\%$ cm/sec.

Noise Level - the visible noise or ripple on the CRT display shall not exceed a signal to noise ratio of 100 to 1 regardless of setting. Measurement to be made with:

- (1) arterial pressure transducer removed from patient
- (2) the ECG or EEG leads each connected to ground by a shielded 25,000 ohm resistor.

Display Phosphor - the display CRT shall use a long persistence display with a minimum time to decay to 0.1% of 1500ms.

Filters - CRT shall be equipped with optical filters to optimize visibility in the ambient illumination to be specified (in the ordering data) by the customer for the particular installation.

Digital Display

Provision will be made in the basic bedside cabinet for up to four digital displays which comply with the requirements for measured and derived parameters stated in 3.1.3 and 3.1.4.

The digital displays will have the following basic requirements:

Accuracy - minimum requirements are as follows:

Blood Pressure - true pressure shall be displayed over the range 0-250mm Hg (0.30mm Hg venous) with an accuracy of $\pm 5\%$ of reading or ± 5 mm Hg (± 0.5 mm Hg for venous whichever is greater).

Heart Rate - true heart rate and/or pulse rate shall be displayed over the range 20 to 200 BPM with an accuracy of $\pm 5\%$ of reading or ± 3 BPM whichever is greater.

Temperature - the true temperature shall be displayed over a range of 96°F - 106°F with an accuracy of $\pm 0.5^{\circ}\text{F}$.

NOTE: Extended range capability (86°F - 96°F) may be specified in ordering data.

Respiration Rate - the true respiration rate shall be displayed over a range of 0-75 bpm (minimum) with an accuracy of $\pm 5\%$ of reading or ± 3 bpm whichever is greater.

Display - three digits plus polarity.

Polarity - automatic

Decimal Point - set internally and/or by external program function, BCD.

Packaging design will provide for plug-in capability.

Options

- a. Panel analog meter plug-in modules can be used in place of any or all of the digital display modules if the user prefers the meter display.
- b. Since both the digital displays and respective signal conditioning are plug-in modules, any combination of patient parameters may be displayed up to four parameters. This, in addition to the dual channel analog waveform display, allows up to six parameters for patient to be displayed at bedside.

2. Nurses' Station Displays

The display capability at the nurses' station will consist basically of the following:

- ECG, waveform for each patient
- up to four digital displays for each patient
- chart recorder which will record ECG waveform either on demand or automatically
- alpha-numeric/graphic display with data entry keyboard
- hard copy capability for data displayed on alpha-numeric display
- audible and visible alarms

The requirements for each the capabilities is listed as follows:

ECG Waveform Display

This will nominally have a four channel capability with the option of expanding to an eight channel unit.

Size - minimum 17 inch tube (diagonal measurement)

Inputs - up to eight

Trace Speed - minimum selectable of 25 and 50 mm/second.

Vertical Gain - for each input channel adjustable in three increments, 0.5 v/cm, 1.0 v/cm and 2.0 v/cm with gain calibration control.

Frequency Response - d.c. to 100 Hz (3db)

Linear Distortion - less than 5% full scale

Input Impedance - 300K OHM minimum for each input

Trace Persistence - Minimum time to decay to 0.1% of 1500 Msec.

DIGITAL DISPLAYS - Same as specified under Bedside Displays.

CHART RECORDER

The basic recorder shall be a single channel instrument and only one patient shall be connected to the recorder at any one time. The recorder shall function under either of two modes of operation:

1. Automatic on-line alarm such that the 10 seconds of data previous to the alarm are recorded and unit continues to run until manually shut-off.
2. Manual switch on nurses' station control console will activate recorder upon demand.

SPEED - Selectable at either 25 or 50mm/sec.

ACCURACY - $\pm 2\%$ full scale.

SKREW - shall not exceed 0.1mm of horizontal displacement per 1.0cm of vertical deflection.

RECORDER OUTPUT - the vertical width of the undeflected trace shall not exceed 1.0mm at any paper speed. Both the upstroke and downstroke of the writing device at rates of deflection less than 1.000 mm/sec shall leave a visible continuous trace with sharp edges.

HARD COPY OF ALPHA-NUMERIC DISPLAY

Capability shall exist for obtaining a legible, permanent or semi-permanent, 8 1/2" x 11" copy of the alpha-numeric display. This process shall be initiated by manually activating a switch on the nurses' console. Provision shall also be made for the copy to be initiated by the proper command from the processor. The copy shall be available within 120 seconds after the copy command, either manual or programmed, has been initiated.

Alarm Functions - Selected physiological monitoring functions shall be provided with automatic alarms which provide signals at the bedside and remote station indicating that the monitored parameter is outside of preset limits. The existence of an alarm situation shall be identified by a visible alarm signal at the bedside, and visible and audible alarm signals at the remote station. The patient whose monitored parameter initiated the alarm shall be considered to be in an alarm status until the alarm is manually reset.

Status Indication - When any patient is in an alarm status, the visible alarm at the remote station shall automatically indicate which patient is in an alarm status. The bedside visible alarms shall indicate the patient in the alarm status and the monitored parameter which initiated the alarm. Independent visible alarm indicators are required for each patient at the remote station; and independent visible alarm indicators are required on each selected monitored parameter on each patient at the bedside.

Alarm Isolation - The alarm circuitry shall incorporate isolation features as required to prevent any ambiguity in status indication.

Simultaneous Multiple Alarms - The alarms functions of the PMS shall be so designed that proper status indication and automatic switching is achieved in the presence of multiple alarms from one patient and alarms from multiple patients.

Alarm Limit Settings - The PMS shall be designed to permit setting of alarm limits only at the bedside unless otherwise specified in the ordering data.

Alarm Reset - When an alarm has been initiated, the system shall be resettable on the front panel to a normal status only at the bedside of the patient in an alarm status. If the physiological parameter which initiated the alarm is still outside of the present limits, the visual alarm shall immediately repeat after reset and shall continue to do so until the alarm initiating condition is corrected. (This could be accomplished automatically or manually).

Visual Alarm Check - The PMS shall include provisions for checking the alarm visual indicators by manual actuation of front panel controls. The alarm check control may be combined with some other control such as a power switch or alarm reset.

Alarm Delay - Any delay in the actuation of the alarm signal, after display/reading exceeds the high limit or falls below the low limit, shall not exceed 10 seconds with 3 seconds or less desirable.

Alarm Indicator Visibility - The alarm lights at the bedside and at the remote station shall be clearly visible at distances of up to 30 feet from the light in an ambient illumination level of 100 ft. candles.

Alarm Indicator Audibility - The alarm of the remote station shall have an output of 60db (re. $.002 \text{ dyne/cm}^2$) corrected to 1 KHz at any point within 10 feet of the alarm transducer.

Hazard Alarm - It is desirable that the PMS shall include the initiation of an alarm signal when a class III or IV hazard condition as related to the equipment (see 3.4 occurs).

Alpha-numeric displays shall have a feature of "blinking" that data which is the cause of the alarm situation. This data will be automatically presented, in priority over other data, when the alarm situation occurs

3. OPERATING ROOM DISPLAY

The display capability for the operating room will consist of selected display modules previous specified for use in the bedside and nurses' station areas. The basic or display system will have capability for the following:

ANALOG WAVEFORM DISPLAY

Provision shall be made for independent display of four channels of data. Each channel shall be on a display with a minimum diameter of 8" or equivalent.

The analog waveform display shall be capable of displaying ECG, EEG, arterial and venous pressures. The displays will conform to the specifications also applied to the bedside analog waveform display.

DIGITAL DISPLAY

Provision shall be made for following:

1. four displays for hemodynamics data
2. two displays for body temperature
3. five displays for blood gas analysis data

TIME DISPLAYS

Provision shall be made for digital display of the following times:

1. one display for elapsed time of operation
2. two displays for elapsed time availability for various procedures

SPECIAL PROVISIONS

Sample time for blood gas analysis data entry should be displayed concurrent with the display of such data.

4. PORTABLE REMOTE STATION DISPLAY

The portable remote stations shall have the following display capability:

- dual channel analog waveform display for ECG, EEG or arterial pressure.
- four digital displays (3 digits each plus polarity)
- single or dual channel chart recorder
- alpha-numeric display with data entry keyboard
- voice intercommunications with headset (via hardware connection to an installed facility circuits)

The requirements for the above capabilities have been listed under Bedside Displays and Nurses' Station Displays.

5. DATA FORMATS

ALPHA-NUMERIC/GRAPHIC DISPLAY

Page Format - Display shall consist of either two half pages of data, each half capable of being separately selected or the display may be made up of a single full page format. Analog (wavetrains or plots) and alpha-numeric data may be interleaved. Trend data shall be graphically displayed (rather than tabular).

Characters - The display shall be at least 21" diameter to display 24 lines of data, 40 characters per line. Each character shall be approximately .26" high x .19" wide.

Numerical Displays (Analog and/or Digital)

- a. Scale Graduations. The minimum scale graduations on any indicator shall not be less than 2.0mm.
- b. Readability. In selecting numerical displays, primary consideration shall be given to readability to preclude possible display or dial reading errors.

3.2.1.6 Control Subsystem - Operation of each module will be performed by means of its control subsystem equipment. This subsystem will interface directly with the computational subsystem and will control the operational modes of the computer by means of control discretes. Activation of computer input discretes will cause appropriate software subroutines to be activated, which will activate equipment in other subsystems and enable the computer to accept data from them. The control subsystem will also enable the operator to enter data into the system by means of a keyboard and a data entry button. It will also enable the operator to communicate data with other modules (by means of a data transmission subsystem) as well as place the module under remote control of another module, by enabling appropriate modes in the computer. Finally, it will also enable the operator to interactively call up data for display via the display subsystem, by means of keyboard entries. Keyboard control terminals shall be located at bedside patient stations, 'nurses' stations, appropriate laboratories, and central processing facilities.

MODULE I

The control subsystem for the Cardiac Surveillance Module shall provide power on/off and initializing for the module; a local/remote selector for choosing between autonomous or network operation; and EKG print switch to cause hard copy EKG to be printed by the display subsystem; alarm limit settings (thumb-wheels or keyboard); alarm reset switch for re-initializing. Conversion of this module to Cardiovascular capability (Module II) will be accomplished in part by providing a Module II Control subsystem to the Module I equipment. The interface between the control and computational subsystems in Module I shall be designed such that this replacement can be effected on a plug-in basis.

MODULE II

The control subsystem for the Cardiovascular Module shall perform all of the functions of Module I, plus the capability to perform dye-dilution application and calibration. Implementation shall be a keyboard controlling an interactive display. The final design of the keyboard will depend upon the display technique chosen for implementation. The "tree" type of display technique, however, appears to be the most likely approach, and the Module II control subsystem keyboard shall be compatible with it. Buttons shall be provided for calling up the tree, for sequencing through the tree, and for selecting tree parameters, for re-setting the tree sequence, and for entering data parameters into the system. Interaction of the control subsystem and the display subsystem shall enable the operator to select parameters for display, to update system parameters, and to select trends or historical parameter displays. Additionally, the Module II control subsystem shall enable remote control and display to be accomplished utilizing other modules in a network.

Data acquired by other modules shall be transferred to Module II, and output display data from Module II shall be transferred to appropriate remote modules, all under modes controlled by the Module II keyboard.

MODULE III

The control subsystem for the Pulmonary Module shall provide power on/off switching and initializing capability, local/remote operation switching, data display selection to select parameters for real-time display and for printing, and capability to input blood gas analysis data. Implementation shall be a keyboard similar to or identical to the Module II keyboard.

MODULE IV

The control subsystem for the Body Chemistry Module shall provide power on/off switching and initializing capability, and a simple keyboard to input the results of blood and urine analyses.

3.2.1.7 PATIENT MONITORING SYSTEM'S SOFTWARE SUBSYSTEM

3.2.1.7.1 INTRODUCTION

The Patient Monitor System's supervisor program shall be designed from the total system viewpoint. Integration of the processing of local batch, remote access, and time-sharing will be effected. The Patient Monitor System shall be hospital staff/patient oriented-- processing physiological parameters when needed in those areas requiring these measurements. The problems of local/remote locations, hybrid-systems, and hospital environment will be analyzed and met. Through integration of a central general purpose processor, local and remote special purpose digital and analog processing, and a communications network, the Patient Monitoring System will provide an effective base for development of better patient care.

Full software support of the Module I - Cardiac Surveillance, Module II - Cardiovascular, Module III - Pulmonary, and Module IV - Body Chemistry shall be provided. This will include both the individual - limited scope applications programs employed by the Module I - Cardiac Surveillance system to the fully developed system, incorporating the extended applications programs and a system supervisor, which can combine all modules.

Maximum hardware utilization shall be achieved through application of multi-programming and hybrid processing techniques. The supervisor program will integrate local batch processing, remote access processing, and time-sharing with a common file system. In addition, true real-time processing, efficiency of pre-processing, and redundancy shall be achieved through the sun-satellite configuration of analog/digital processors supervised by the system's supervisor.

The Patient Monitor System's supervisor is a new software package. It will employ the collective advantages of patient monitoring systems already developed and proven in medical R&D centers plus the techniques developed in industry. At the same time the user applications programs, researched and developed by multiple eminent health institutes, shall be integrated and made mutually compatible within the supervisor program's control.

The Patient Monitor System shall be complemented by an extensive software library, providing a wide spectrum of compiling, assembling, editing, sorting, loading, utility and applications programs. This will facilitate an effective and integrated operation. The primary language capabilities will include FORTRAN and an assembler. Secondary languages could include COBOL, BASIC, ALGOL, JOVIAL, or a macro assembler.

3.2.1.7.2 SOFTWARE CONFIGURATION

The programs will support the combinations of Module I - Cardiac Surveillance, Module II - Cardiovascular, Module III - Pulmonary, and Module IV - Body Chemistry as applied throughout the local and remote health institute areas of: screening, catheterization, X-ray, operating room, intensive care, cardiac care, exercise, and rehabilitation.

The previous sections of this document have specified the hardware configurations and their application functions per each of the modules I, II, III, and IV. With this hardware function description having already been specified, the software will be specified against the needs of the physical areas within the hospital. The appropriate hardware configurations will be employed in accordance to the needs and wishes of the hospitals and as such will be different in most cases. The appropriate software functions will be applied to the hardware configurations in a modular fashion. The functional characteristics of these programs, from which the individual software configurations can be constructed, are specified in the following paragraphs.

3.2.1.7.3 GROWTH CAPABILITIES

The Patient Monitor System's software shall enable the user to tailor configurations to their own particular processing needs and to expand their workload capacity by adding additional software packages. Growth throughout the entire range of software capabilities shall be accomplished without changing the supervisor or the applications programs. Changing the configuration will not require reprogramming.

3.2.1.7.4 MULTIPROGRAMMING

The Patient Monitor System shall be designed to operate in the multiprogramming mode of operation to achieve maximum job throughput and minimum response time for computer access. A combination of hardware and operating system features will control the multiprogramming of as many user programs as the system configuration will permit; and ensure that user programs and files in the system are not inadvertently or intentionally violated.

3.2.1.7.5 SUPERVISOR

The supervisor system shall maintain the status of all system resources (peripherals, memory, and processor) and all user programs in queue in the systems. These programs may be called through the central system or through remote terminals concurrent with the execution of programs. System resources will be allocated to programs in the queue in accordance with the priority of the program, and will supervise the concurrent/simultaneous execution of as many programs as the configuration can accommodate. The supervisor program shall also control the concurrent printing/punching of output from completed programs as well as output media conversion. High priority programs shall be expedited by swapping out programs in execution. This will be done by temporary suspension and removal from memory (swapout) of programs in execution to make room for a high-priority program.

The supervisor will occupy no less than 2.5K and no more than 5.25K words of core memory, it shall reside in core, and will be written in assembly language.

The supervisor system will provide the programmer with a complete logical approach to problem solving. There shall be no constraints or unusual programming considerations

imposed on the programmer because of the multiprogramming environment in which his program will be executed. File processing will be performed sequentially or randomly at the logical file level; the programmer will not be burdened with the physical characteristics and constraints of the peripheral device used, nor shall he be concerned with the organization of the supervisor system.

The supervisor shall encompass many automatic and simplified programming aids as follows:

- The loader will not only serve to load a program but will link program segments and subroutines, provide for overlays, call in other programs from the library, create file control blocks for I/O, provide options for selected outputs during execution, and provide a load map.
- In order to relieve the user of the necessity for programming I/O routines a program will be included to control files and records. It shall provide: the ability to consider inputs and outputs as records and files of arbitrary lengths; to move logical records without regard to physical media, block size, or record sizes; the ability to interchange media or devices without reprogramming; to improve performance through the use of record blocking without program change; system software compatibility; and means of automatic error detection and correction.
- Large media conversion for either input or output that exceeds limits set for system media conversion in the supervisor. No volume limitations shall be set and no mixed files unscrambling shall be present. Successive files will be handled and media conversion shall be performed for the card reader, magnetic tape, magnetic disc, printer, punch, plotter, and CRT.
- An editor shall be used to maintain system libraries in any of the three forms of symbolic, object, or system (fast load format).
- A utility package shall be a generalized system providing storage device processing capabilities. It will permit copying, comparing, positioning, and printing. The utility shall be used mainly for operational and debug purposes. It will reside in disc and will be called by the user through the supervisor either unconditionally or conditionally.

The Patient Monitor System shall incorporate language processors as follows:

An assembler, preferably MACRO, which will be two pass and symbolic. This will provide the programmer with the option of coding in the MACRO assembler open-ended language or directly in machine-oriented symbolic instructions. The assembler will occupy 12K words of memory, it will be stored on disc, shall be executed in core, and shall be written in machine language. The principal functions performed by the assembler will be as follows:

- translation of control and assembly-edit formatting pseudo-operations.
- recognition and translation of addresses that are absolute or relative to subprogram origin, to common storage, to labeled or block common storage, and to externally define symbols.
- production of relocatable or absolute binary subprograms that can be combined at load time.
- allowances for programmer-defined MACRO instructions at assembly time.
- provision for accepting compressed symbolic decks plus any desired alter cards as input and producing an updated compressed deck as output.
- complete listing of assembled program, plus a symbol reference table, is provided.

A FORTRAN compiler shall be included which encompasses the USASI (USA Standard Institute) FORTRAN IV. It will consume 16K words of storage, reside in disc, and be executed in core.

3.2.1.7.6 COMMON FILE SYSTEM

The file system shall be centralized of hierarchical, tree-structured design, accessible by programs operating in the local batch, remote access, and/or time-sharing modes or processing. Catalogs and files are secured by passwords. File access is controlled by the supervisor. Several programs may read a file concurrently, but only one program at a time may update a file.

The supervisor's file system shall be a hierarchical, "true-structured" design with multi-level cataloging capabilities stemming from a overall catalog which lists all users known to the file system.

File sharing shall also be provided by the supervisor's file system. Users may provide for general sharing of their files or may specify specific user (or programs) who may access the files. Full read-write access or read-only access shall be also granted.

All catalogs and files shall be protected by pass-words. When a file or a catalog is protected by a pass-word the user must provide that password in order to gain access to the file.

The file system shall be completely transparent to the user; yet provide complete sub-cataloging protection, access control, and file-sharing capabilities for the more sophisticated programmer.

The type of access which a user may have to a file shall also be controlled. A file may be allocated to several user programs concurrently for read only, but will be allocated to only

one user program at a time for update. A user requesting read-only access will be prevented from writing on the file.

File processing from the programming standpoint shall be completely logical. The programmer will process his files as a logical entity, either sequentially (as in tape processing) or randomly. The physical characteristics and restrictions of the device on which his file is physically located will be handled entirely by the supervisor.

Removable media such as magnetic tape shall be filed by catalog entry in the file system. A programmer may then request a file for processing by specifying the file name. Files so entered in the file system will be protected by passwords against unauthorized access. When a programmer requests a removable media file by name and provides the proper password, the supervisor will then instruct the operator, by number, as to the proper file to be mounted.

The file system shall be a device-independent structure. The file system will catalog and allocate the storage of all devices which are a part of the file system and will provide for the movement of files between different types of devices.

The file system maintenance shall be device-oriented. The catalog entries for a file will be on the devices on which the files resides. This allows the restructuring of a file system device (i.e., repacking the device after files have been purged) to be accomplished one device at a time, while production programs continue to access other portions of the file system.

3.2.1.7.7 BATCH PROCESSING

The supervisor program will effect an optimum use of all system resources in its processing of batch workload. The system will accept new programs at any time and from any source, both remote and local; it will allocate and keep in execution as many programs as the configuration will permit. Automatic scheduling of batch programs into execution as soon as resources are available for allocation to the program will be effected. No restrictions will exist as to the types of programs which may be multiprogrammed. The supervisor program will expedite high priority programs by swapping out lower priority programs when core space is needed.

Batch jobs will flow through five phases in the Patient Monitoring System's supervisor in the course of processing:

- input media conversion
- compilation or object program allocation
- compilation or object program execution
- compilation or object program termination
- output media conversion

Input Media Conversion

Input media conversion user inputs will enter the system from multiple central and remote peripherals simultaneously. These inputs will be placed on temporary files.

As the sequence number is detected in each case it will be assigned an internal number and be placed in queue according to its relative priority. All of the time-sharing programs will be considered as singular in the total queue. Inputs may carry an initial priority established by the user; if not, the supervisor will calculate a priority for the job based upon its system requirements.

The major features of the input queue processing shall be:

- multiple inputs will come from a combination of central system and remote sources.
- the input image in the file system is preserved intact throughout processing. Inter supervisor restart is possible.
- each input entering the system shall be scanned for gross system (peripheral and memory) requirements to ensure that the concurrently operational system configuration can accommodate the input. A single input which exceeds configuration capabilities will be immediately deleted from the system with appropriate operator notification.
- inputs entering the system will be also screened for the estimated amount of processor time required, the amount of core memory required, the estimated output volume, and the amount of bulk (tape and/or disc) storage required; these shall be compared to limits established by the operating staff. Programs exceeding these limits will be flagged to operations and executed unless held by operations. This prevents the unrecognized hanging up of the queue.

Compilation or Object Program Allocation

The compilation or object program allocation shall be activated when a new input is placed in the queue from the input media conversion and becomes a candidate for allocation, or when the necessary parts of the system are released that can be assigned to a new program.

Allocation of the system will be performed in two subphases:

- peripheral allocation
- memory allocation

The input queue will be ordered according to priority. Peripheral allocation routines will examine each job in sequence, allocating peripherals to the current program.

Peripherals will not be allocated to an activity until the program's (or sub-program/routine as defined by the user) total peripheral requirements are available. Instead, the job shall be bypassed until the next allocation cycle in favor of lower priority programs whose requirements can be met. If a program proves difficult to allocate, provision will be made to block allocation of lower priority jobs until sufficient resources become available.

Compilation or Object Program Execution

A program shall be candidate for memory allocation only after peripheral allocation is complete and the activity is ready for execution. Multiprogramming will concurrently execute multiple programs resident in memory. Those programs ready for execution will utilize the processor while others await completion of I/O operations.

Effective multiprogramming shall be achieved through full memory utilization (memory allocation). This will be effected through efficient handling of variable length programs. The Patient Monitor System's supervisor program shall allocate core memory in 1024-work (1K) blocks. A program may request any number of 1K blocks up to the full size of total memory less the amount occupied by the resident portion of the supervisor. The blocks allocated to an activity will be contiguous. When a program terminates, its blocks will be made available for reallocation. When required, the programs will be compacted in memory by the supervisor to make multiple noncontiguous areas contiguous. With this technique, all available memory will be effectively utilized making the Patient Monitoring System more powerful.

Compilation or Object Program Termination

A program in execution may terminate normally or abnormally. The processing of each type is different.

Normal termination processing will first look ahead to the next program (if there is one). If it is a compilation of the same type as that terminating, the new program will be merged with the present program (initiated immediately) using the same parts of the system used by the present activity. If the next program is not the same, the operator will be notified of any files which require dismounting. Notation will be made in the program file in the file system of any file used in the activity which will be used in subsequent programs and these files will be saved. An accounting file will be written itemizing the system resources used by the program. The allocation phase will be notified that the resources used by the program are available for reuse, and that the next activity is a candidate for allocation.

The Patient Monitoring System supervisor shall run successive compilations of the same type, thus avoiding the deallocation of resources at the end of a compilation only to re-allocate the same type and amount of resources to the following program.

Abnormal termination (abort) processing may be initiated by the program or by the supervisor when the program tries to execute an illegal operation (e.g., an attempt to access memory outside of its boundary).

The aborting program may at its option have a dump of its allocated memory. The user may also define abort subactivities (useful for dumping data files) which are executed only when an abort occurs.

As with normal termination, the resources used by the aborting program will be released for reallocation. Compilation activities following an aborted program will be executed. Whether or not subsequent object programs are executed will be a user option.

Output Media Conversion

The output phase shall consist of an output collection mechanism and an output disbursing function.

Multiple output files (for printing and punching) from all programs in execution will be collected in the file system on disc, along with those generated by the supervisor in the course of job processing (e.g. accounting reports and abort memory dumps). This mechanism avoids the necessity of dedicating peripheral devices to small volume print or punch files, which would utilize a peripheral inefficiently.

The output disbursing routines will read the data collected in the file system, and batch the output from many programs on multiple output devices. This provides a more effective utilization of peripherals.

Output printing, punching, and display will be performed concurrently with the execution of other programs in the system and the entry of still more programs into the input stack.

3.2.1.7.8 REMOTE ACCESS

Concurrent remote access input/output capabilities will exist through the inclusion of communications processing in the configuration. The communications processing shall permit a variety of terminal, transmission rate, and processing options. The terminal options will include on-line analog physiological monitors, teletype, CRT's, data entry keyboards, printers, and special applications mini-computers. Transmission options will include but not be limited to Telpak A (40.8K bps), voice grade (2-2.4K bps) low speed (110 bps), and analog in combination.

The Patient Monitor System's supervisor shall include the handling of reactive terminal interface which provides reactive terminal processing concurrent with local batch. This interface will provide direct terminal access to the information system and to the common file system.

3.2.1.7.9 TIME-SHARING

The time-sharing supervisor subsystem, which will utilize the reactive terminal interface, will provide the Patient Monitor System with concurrent time-sharing. FORTRAN and a text-editing package will be available. Full upper/lower case ASC II character handling will be provided.

Catalog structuring, file protection, file sharing, access control, and source/object file storage capabilities will be available.

The Patient Monitor System supervisor program shall provide time-sharing that will not disrupt batch processing commitments. The portion of the system dedicated to time-sharing will be dynamically variable under operator control, offering a wide range of batch, remote access, and time-sharing processing options variable throughout the day.

This system will feature multiple subsystems. These subsystems can be divided into four categories: programming subsystems, subsystems providing time-sharing access to batch, media conversion subsystems, and a subsystem allowing file creation and maintenance.

The time-sharing executive will perform the functions of selecting, allocating, dispatching, and swapping time-sharing user programs. The time-sharing executive shall be structured as a single slave program to the supervisor. It will in turn suballocate memory and will subdispatch the processor to individual time-sharing user programs. In the process of subdispatching, the time-sharing executive will establish a new program start address setting around the user program to be executed, insuring the integrity of other user programs in memory.

The time-sharing executive will also perform various services for individual programs, including file system I/O, terminal I/O, and creation and modification of files, catalogues, and their security definitions. It will also account for the parts of the system used by the individual time-sharing users.

Time-sharing user core memory will be allocated to individual user programs for execution. Several programs may occupy portions of this area. A program may be swapped to allow another user of higher priority to be allocated memory space.

REAL-TIME SYSTEM

The Patient Monitor System shall provide a real-time on-line interactive software package enabling multiple applications to operate simultaneously. It will provide the user with means of handling interrupts and a centralized routine for making I/O requests. I/O devices will be run at their maximum capacity through channel queuing. Job priority scheduling will be provided. All programs will be monitored and passed-on to higher priority via a sequence control. Sub-functions will be shared between the real-time program and the time-share program under the supervisor's control.

Interrupts will be permitted to occur on a priority or scanned basis. Memory protection will be provided.

Priorities will be programmable. Events which are interrupt activated will be assigned the priority of the hardware interrupt level. Any events initiated and controlled by software will be given a lower priority. The user can schedule jobs at a software priority. These jobs will be placed on a queue and given control in the order of their priority by a job control routine.

Queuing will be under user control. At any time a program will be able to be placed in queue by calling a queuing routine.

All supervisor functions shall be coded to be re-entrant. The user shall be required to use re-entrant code if the applications routines are to be executed at different priorities under interrupt control.

Means of counting time will be provided. At regular intervals on on-demand time interval measurements will be provided. The user will call this feature by setting up an interval timer interrupt response routine which will respond by setting flags.

3.2.1.7.10 | ON-LINE SELF-TEST SYSTEM

The Patient Monitor System's supervisor will include a comprehensive on-line peripheral test system made up of test and diagnostic routines. This system will enable the diagnostic testing of peripheral devices concurrent with the production workload. Additionally, this system will accumulate recovered error statistics for continual measurement of peripheral device performance. Through subsequent analysis, problems can be detected and corrected.

3.2.1.7.11 | SYSTEM CAPABILITY MONITOR

The system capability monitor shall provide quantitative measurements of system balance and performance. The monitor will reflect the flow of programs through the system, the status and requirements of programs in the system queue, and the status of the resource allocation tables maintained by the executive. Gross imbalances (such as consistently unused memory while programs in the program stack are waiting for disc or magnetic tapes) can be readily determined.

Operational inefficiencies and programming "bottlenecks" can be identified. The monitor will also be useful in determining the cost/performance advantages of alternatives for augmenting an existing configuration.

3.2.1.7.12 | APPLICATIONS PROGRAMS

Applications software shall be an integral part of the total information system's problem-solving, answer-producing capability. These programs will be a result of medical research and development as well as computer oriented system operational development within health institutes. They will be the product of the combination of the medical profession and the computer sciences. These programs will average 2000 words each, are to be stored on disc, executed from core, will be written in assembly (extended computation in FORTRAN), and will be under the control and integration of the supervisor. Partitioning will be employed for control. The programs will be constructed as a series of overlays which will be read in as needed reducing the amount of core. Time-shared background programs will be executed concurrently on a non-interference basis under the supervisor's control. These will include compilations, statistical analysis programs, report generators, and other nonreal-time programs. The applications program packages described in the following paragraphs are representative of the applications software library.

3.2.1.7.13 SCREENING

This application shall be designed to assist in patient screening primarily for admission to the hospital. The program will operate either from local or from remote locations. Inputs to the program will be in the form of manual entry or from the automated patient physiological data acquisition system. The outputs will be in the form of hard copy reports, graphs, and CRT display.

A patient file shall be generated on disc using an assigned unique patient number produced and bookkept by this program. The number will be produced and displayed on demand. A patient number duplication and previously established record sort edit shall be performed.

Manual keyboard entry shall be accepted consisting of the physiological and personal parameters of: systolic/diastolic pressure, temperature, respiration rate, urine and blood analysis results, and patient/physician identification.

On-line physiological measurements shall be processed as the measurements are forwarded from the patient interface on an external interrupt basis. Returns from spirometric data will yield total volume expired (Forced Vital Capacity), the volume expired after one second (one second expiration volume), maximum flow rate, respiratory resistance, and maximal mid expiratory flow rate. The program will execute algorithms to correct for temperature, barometric pressure, and spirometer calibration. This data will be formatted for communication (9 bits for display and 3 control bits), outputted under the supervisor's control, inserted into the fixed format of the display generator, and displayed on the CRT. The three control bits will determine writing of alpha numeric characters, using ASC II code, plot graphical information, set up control functions, or output 9 bits parallel to remote control devices. The data will be also temporarily stored on disc to be used in a hard copy print-out.

Three vector signals from the ECT will be sampled at 200 sps. A pattern recognition algorithm will be executed and will produce ECG classification including but not limited to normal sinus rhythm, sinus arrhythmia, atrial fibrillation, premature beat rate, regular rhythm, and abnormal rhythm. Also, morphological classifications of P wave (A-V block, intra-atrial block, abnormal atrial focus, atrial enlargement), QRS wave, and ST wave will be calculated. This data shall be handled as described before for on-line display and hard copy purposes.

The output from a 12-channel blood chemistry autoanalyzer shall be inputted on-line, on an external interrupt basis. This data will be accepted, formatted for storage, and forwarded to the patient's file on disc. It will also be processed for display upon request.

Aside from the CRT output a hard copy printed report of the screening data will be available with the results of the tests and a listing of all values which are outside of normal limits.

At the time of patient discharge the disc file stored patient data plus a discharge diagnosis will be transferred under the supervisor to magnetic tape inactive file.

3.2.1.7.14 CATHETERIZATION

This application will be designed to assist in monitoring and displaying measured and derived physiological patient parameters in the catheterization laboratory. The program will operate either from local or remote locations. Its inputs will be direct or pre-processed physiological on-line parameters. The outputs will be in the form of hard copy, CRT, or graphics.

Data entry/program execution will be initiated when a manual key entry is made identifying the patient as described in Screening.

On-line physiological direct and derived measurements will be calculated from catheters: heart rate, central volume, stroke volume, cardiac output, duration of systole ejection, peripheral resistance, systolic/diastolic pressure, mean venous pressure, mitral insufficiency index, appearance time, buildup time, mean circulation time, cardiac index, average arterial pressure, left atrial pressure, and respiratory rate. ECG processing will provide measurements of premature or widened beats. The dye-dilution densitometer calibration algorithm will be executed by the program. Blood oxygen concentration will be accepted from an oximeter. Various cardiovascular oxygen percent saturation derived parameters will be available through the program. Oxygen uptake shall be calculated. The respiratory quotient will be manually entered as measured. Blood oxygen concentration will be accepted from an oximeter.

These measurements, direct or derived, will be displayed in the catheterization lab's CRT after being formatted and transmitted. The data will also be stored on in the patients file on option. All measurements shall be available for hard copy printout.

3.2.1.7.15 X-RAY

X-Ray room support will be supplied by making available physiological measurements through local monitors obviating the need for the central computer program.

3.2.1.7.16 OPERATING ROOM

This application will be designed to assist in monitoring and displaying measured and derived physiological patient parameters in the operating room. The program will operate either from local or remote locations. Its inputs will be direct or pre-processed physiological on-line parameters, surgical/anesthesiology notes, and direct access manual inputs. The outputs will be in the form of hard copy, CRT, graphics, or event indicators.

The patient's file will be established or re-entered through manual insertion of the patient number as detailed in the section on Screening.

On-line physiological measurements will be processed as the signals are forwarded from the patient interface equipment on an external interrupt basis. The following parameters

will be measured and derived (at 200 S/S) from the ECG signal by subroutines for: heart rate, premature beat rate, premature ventricular contraction, and premature and widened beat. Catheter and pneumotachograph outputs will also be accepted by the program to produce cardiac output, systolic/diastolic pressures, mean venous pressures cardiac index, respiratory rate, respiratory minute volume and tidal volume.

From each heart beat the following will be calculated using the arterial catheter output:

- detection of onset and end of systole

- calculation of stroke volume

- heart rate

- cardiac output

- duration of systole

- mean pressure

- systolic pressure

- diastolic pressure

Using the central venous catheter output the following will be calculated on a beat to beat basis:

- mean central venous pressure

- respiratory rate

- respiratory amplitude (as average excursion in pressure with the respiratory cycle)

The central venous pressure signal shall be averaged over each heart cycle to eliminate cardiac-induced fluctuations leaving respiratory cycle interthoracic pressure wave form fluctuations.

The stroke volume will use central aortic pressure requiring one calibration against an independent cardiac output method such as Fick or dye-dilution procedures.

On-line central and/or extremity temperature measurement will be also processed by the program. Blood oxygen concentration and the output from the 12-channel chemistry auto-analyzer will also be processed. Also, PO_2 , PCO_2 , and pH will be calculated. A two-point blood gas electrode calibration shall also be performed. Urine output volume and rate/hour will be calculated as well as the event measurement of empty blood unit. Densitometer calibration shall also be performed by the program for the dyedilution procedure. An acid/base balance input shall be processed.

As an option, routines for administration of fluids and medicines shall be provided. The infusions could include: whole blood, urine production stimulants (such as Mannitol or Ethacrinic acid), ganglionic blocking agents (such as Arfonad), premature ventricular contraction preventatives (such as Xylocaine), myocardial stimulants (such as Isuprel), and body fluid maintenance (such as D5-W).

Manual entries of both anesthesiology and surgical notes will be accepted through key and/or through card entry. These will be formatted, stored with the patient's records, and/or be made available for CRT or hard copy recall.

The outputs of these calculations will be forwarded to the operating room's CRT for alpha-numeric and graphic display as well as being available for hard copy. This data will also be transferred to disc for patient's record storage. When the patient is discharged from the hospital this data will be transferred to magnetic tape and stored. Also, a discharge diagnosis will be produced.

3.2.1.7.17 INTENSIVE CARE

The intensive care unit will be supported by monitoring, measuring, and displaying direct and derived on-line physiological patient parameters and nurses notes. This information will be augmented by manually entered data. This support will be available from both local and remote terminals. The output shall be formulated and supplied in compatible form for hard copy, CRT, graphics, and event indicators.

The patient's file shall be re-entered on disc using an assigned unique patient number previously generated by the program. After an edit sort to eliminate an incorrectly inserted patient number the program will be initialized for on-line physiological patient monitoring and nurses notes.

The following parameters will be measured and derived from either catheters, ECG, or pneumotachograph:

- heart rate
- stroke volume
- cardiac output
- peripheral resistance
- systolic pressure
- diastolic pressure
- mean venous pressure
- central blood volume
- cardiac index
- pulse deficit
- premature beat rate
- premature ventricular contraction
- premature and widened beat
- left atrial pressure
- acid/base balance

respiratory rate
respiratory minute volume
tidal volume

Central temperature measurements will also be accepted as well as blood analysis, blood oxygen concentration, p^H , PCO_2 , and PO_2 .

Urine output volume, hourly rate, chest drainage volume, and hourly rate will be accepted, and processed for display. As an option, routines for administration of blood fluids, drugs, and gases shall be provided. The infusions could include whole blood, urine production stimulants (osmotic diuretics such as Mannitol), ganglionic blocking agents (such as Arfonad), premature ventricular contraction preventatives (such as Xylocaine), myocardial stimulants (such as Isuprel), body fluid maintenance (such as D5-W) and positive pressure breathing via endotracheal tube.

Nurses notes will be accepted by the program from a manual terminal. The message will be of both fixed format (question-answer type) and free style and open ended. On request, the program will present, on CRT and/or hard copy, a fixed format array of questions which will be answered as presented by the operator or a free style option. These options will accept, format, present for display/edit, and store in the patient's record on disc.

All physiological measurements will be filtered for disc storage to include only those measurements that fall outside preset limits. The infusion data will be stored on a time event, initial rate, and change of rate basis. The outputs will be presented as processed on CRT, on-demand on hard copy, and on-demand on hard copy in summary form.

3.2.1.7.18 CARDIAC CARE

The cardiac care unit will be supported by this system in monitoring selected physiological signals and processing nurses notes. This application will operate from local or remote locations. The outputs will be in the form of CRT, hard copy, graphic, and event indication.

The patient file will be re-entered via the patient number already specified in SCREENING.

The following physiological parameters will be measured and derived:

heart rate
systolic pressure
diastolic pressure
pulse deficit
premature beat rate
premature ventricular contraction
arrhythmia (premature or widened beat)
respiration amplitude
central temperature
blood p^H , PCO_2 , PO_2
urine output volume and rate
acid/base balance

The nurses notes support will be identical to that specified under Intensive Care.

The outputs will be presented on the basis of: periodic, display on exceeding of preset limits, on demand, or continuous. These outputs will be presented on CRT and can be called for on hard copy. The data will be also stored on disc while the patient is in the cardiac care unit or transferred to magnetic tape on his release. A summary patient record report will be available on demand.

3.2.1.7.19 EXERCISE AND REHABILITATION

The program to support both the exercise facility and the rehabilitation facility will be very similar. The inputs will be gained both locally and/or remotely and will be physiological on-line.

The measurements produced, either direct or derived, will include:

- heart rate
- systolic pressure
- diastolic pressure
- pulse deficit
- premature beat rate
- premature ventricular contraction
- S-T segment analysis
- arrhythmia (premature & widened beat)
- respiratory rate
- oxygen uptake
- pH, PO₂,

The output will be provided on CRT, hard copy print, or graphic.

3.2.1.7.20 OPTIONAL MEASUREMENTS

Aside from the infusion options listed under Operating Room and Intensive Care, the Patient Monitoring System will provide optional application measurements as follows:

Measurement	Screening	Cath. Lab.	X-Ray Lab.	Oper. Room	ICU	CCU	Exer. Lab.	Rehabili- tation
stroke volume				X		X	X	
cardiac output						X	X	X
duration of systole ejection					X			
peripheral resistance				X				
mean venous pressure						X		
vectorcardiogram	X	X	X			X	X	X
appearance time					X			
build up time					X			
mean circulation time					X			
central blood volume				X				
cardiac index						X	X	X
average arterial pressure					X			
pulse deficit				X				
arterial pressure first derivative		X			X	X		
left atrial pressure						X		
densitometer calibration						X	X	X
oxygen % saturation					X			

OPTIONAL MEASUREMENTS (Continued)

Measurement	Screening	Cath. Lab.	X-Ray Lab.	Oper. Room	ICU	CCU	Exer. Lab.	Rehabili- tation
max. instantaneous respiratory pressure					X			
respiratory minute volume						X	X	X
tidal volume						X	X	X
respiratory work, inspiration					X			
respiratory work, expiration					X			
lung compliance				X	X			
oxygen uptake					X	X		
extremity temperature					X			
arterial blood bicarbonate				X	X			
venous blood bicarbonate				X	X			
blood analysis								X
blood oxygen concentration						X	X	X
electroencephalograph					X			

3.2.1.8 Data Transmission - Subsystem

I. Narrow Band Services

1. Narrow band facilities will provide two services:
 1. Telegraph grade service consisting of DC pulses transmitted directly over private line facilities.
 2. Carrier service in which the DC pulses are transmitted by multiplexed carrier.
2. These facilities will be used for teletype channels between 45-150 baud except when the number of circuits required indicates that a multiplexed series 3000 service is required.
3. Teletype machines will use a 5 level (7.42 unit transmission) code at 45 baud rate for direct intercommunication. They will use the ASC II 8 level (11 unit transmission) code at between 110-330 bauds rate for computer applications. These standards will be considered by way of guidance, exceptions being normally required for special applications.
4. Carrier Service Modems covered by this specification will include:

MODEM TYPE	SERVICE	SPEED
108 109	Private Line	45-150 baud
103	Dial Up	110-300 baud ASC III Multiplexed

II. Voice Band Services

1. The channel bandwidth for voice transmission will be normally 3000 Hz.

The services provided will include:

Dedicated lines
Public switched lines
Multistation leased systems

2. The general standards for voice band services will be as follows:

Maximum signal power	0 dbm data 0 vu voice
Net Loss	16 ± 1 db @ 1000 Hz
Variation - Short term	± 3 db
Long term	± 4 db
Frequency 30-3000 Hz	- 3 to + 12 db minimum
500-2500 Hz	- 2 to + 8 db minimum
Frequency error	± 5 Hz
Message circuit noise	24 db SNR
Impulse count	15 counts in 15 minutes at - 6 db impulse SNR

Dialed data line loss may be nominally 20 db, however, this loss can be exceeded in specific circumstances.

3. The display and graphical digital information will be transmitted at no less than 2,000 bits per second (display characters - approximately 130 characters per second, plot graphs - approximately 130 points per second). The data set which could pass this data in a 201 Data Modem.
4. Data sets available for the transmission of ECG and EEG physiological data over voice band will include:

<u>TYPE</u>	<u>NO. OF CHANNELS</u>	<u>INPUT</u>	<u>OUTPUT</u>
603	1	DC - 100 Hz + 2v	1988 ± 262 Hz
604	3	DC - 105 Hz 0 - 2.5v	1075 ± 100 Hz 1935 ± 100 Hz 2065 ± 100 Hz

Additional channel capacity multiplex systems will use Inter-Range Instrumentation Group (IRIG) standard frequencies for proportional bandwidth and constant bandwidth subcarrier channels listed in Table 3.2.3, IRIG FM/FM Telemetry Standards.

5. Modems/Codecs for the transmission of display and command discrete and analog information will use IRIG standards when applicable.

IRIG FM/FM TELEMETRY STANDARDS

PROPORTIONAL-BANDWIDTH SUBCARRIER CHANNELS

Band	-7.5%	Center Freq.	+7.5%	Intelligence, Hz	
				MI = 1	MI = 5
1	370	400	430	30	6
2	518	560	602	42	8
3	675	730	785	55	11
4	888	960	1,032	72	14
5	1,202	1,300	1,398	98	20
6	1,572	1,700	1,828	128	25
7	2,127	2,300	2,473	173	35
8	2,775	3,000	3,225	225	45
9	3,607	3,900	4,193	293	59
10	4,995	5,400	5,805	405	81
11	6,799	7,350	7,901	551	110
12	9,712	10,500	11,288	788	160
13	13,412	14,500	15,588	1,088	220
14	20,350	22,000	23,650	1,650	330
15	27,750	30,000	32,250	2,250	450
16	37,000	40,000	43,000	3,000	600
17	48,562	52,500	56,438	3,938	790
18	64,750	70,000	75,250	5,250	1,050
19	86,025	93,000	99,975	6,975	1,395
20	114,700	124,000	133,300	9,300	1,860
21	152,625	165,000	177,375	12,375	2,475
Band	-15%	Center Freq.	+15%	Intelligence, Hz	
				MI = 1	MI = 5
A	18,700	22,000	25,300	3,300	660
B	25,500	30,000	34,500	4,500	900
C	34,000	40,000	46,000	6,000	1,200
D	44,625	52,500	60,375	7,875	1,575
E	59,500	70,000	80,500	10,500	2,100
F	79,050	93,000	106,950	13,950	2,790
G	105,400	124,000	142,600	18,600	3,720
H	140,250	165,000	189,750	24,750	4,950

USE OF OPTIONAL BANDS

Band A may be employed by omitting Band 15 and B.
 Band B may be employed by omitting Band 14, 16, A and C.
 Band C may be employed by omitting Band 15, 17, B and D.
 Band D may be employed by omitting Band 16, 18, C and F.
 Band E may be employed by omitting Band 17, 19, D and F.
 Band F may be employed by omitting Band 18, 20, E and G.
 Band G may be employed by omitting Band 19, 21, F and H.
 Band H may be employed by omitting Band 20 and G.

NOTE: Bands 20, 21, G and H are to be used on 1435-1535 and 2200-2300 megahertz systems only.

CONSTANT-BANDWIDTH SUBCARRIER CHANNELS

±2 KHZ DEVIATION		±4 KHZ DEVIATION		±8 KHZ DEVIATION	
Modulation Index	Data Frequencies	Modulation Index	Data Frequencies	Modulation Index	Data Frequencies
M = 1	2000	M = 1	4000	M = 1	8000
M = 2	1000	M = 2	2000	M = 2	4000
M = 4	500	M = 4	1000	M = 4	2000
Channel Number	Center Frequency (kHz)	Channel Number	Center Frequency (kHz)	Channel Number	Center Frequency (kHz)
1A	16				
2A	24				
3A	32	3B	32		
4A	40				
5A	48	5B	48		
6A	56				
7A	64	7B	64	7C	64
8A	72				
9A	80	9B	80		
10A	88				
11A	96	11B	96	11C	96
12A	104				
13A	112	13B	112		
14A	120				
15A	128	15B	128	15C	128
16A	136				
17A	144	17B	144		
18A	152				
19A	160	19B	160	19C	160
20A	168				
21A	176	21B	176		

TABLE 3.2.3

3.2.2 Facility Requirements

The facility in which the PMS will be installed may be considered to have six basic "monitoring system oriented" classes of locations or sites. These are:

- Central Computer (Processor) Area
- Central Maintenance/Repair Service Area
- Monitoring Locations - Primary (Bedside)
- Monitoring Locations - Secondary (Remote Display, Central Display)
- Analytical Equipment Areas (Blood Analysis Lab, etc.)
- Portable/Mobile Equipment "Ready Service" Areas

The detail system design shall specify the appropriate physical and functional requirements for these areas as related to the PMS. It is anticipated that additional requirements for these areas, as related to patient care, housekeeping, etc., will be provided by the customer for use by a contractor constructing or modifying the facility. The System Contractor shall integrate and coordinate all requirements, subject to final approval by the customer and will be responsible for verification and acceptance of the completed (PMS related portions of the) facility or modifications.

(NOTE: Facility construction/modification and the contracting thereof shall be the responsibility of the customer unless otherwise stated in the ordering data).

3.2.2.1 Space - Adequate space shall be provided at each location, considering:

- Installation and replacement of major units
- Operator and Maintenance personnel access to the equipment
- Area Traffic (including operations staff and distribution of hard copy data)
- Materials and Maintenance Equipment Storage
- Maintenance (work) space in Central Computer Area
- Wall space requirements (e.g. windows, doors, etc.)

3.2.2.2 Environmental Control - Environmental requirements shall be established for each area and shall consider:

- Medical requirements (e.g. of patients in a patient area or treatment procedures in a treatment area)
- Operator comfort (especially in a Central processor area)
- Equipment heat dissipation and humidity requirements

These requirements will govern lighting, temperature, humidity, ventilation, sound and vibration control.

3.2.2.3 Special Structures and Construction - Requirements will be defined for special structures or construction, such as reinforced floors, False floors (Computer installations), cable runs, vibration isolation structures, heavy equipment handling devices (hoists), etc.

3.2.2.4 Electrical Power System -

a) Primary Power

It is intended that primary power for fixed installations shall be obtained from existing power utility sources, nominally 108/122 volts, 60Hz. Power sources for portable/mobile equipment shall be as specified by the system designer, however, if maintenance of such sources in a "ready" condition requires facility power, such power will be obtained from the primary source referred to above.

b) Emergency Power

The system design will detail requirements for emergency power operation of the entire system and appropriately separable subsystems, enabling establishment of a set of priorities for power, to facilitate rapid adaptation to several degrees of primary power source or distribution failure, and to Emergency Power Source capacity. Capability of the Emergency Power source to provide "Readiness maintenance" of portable/mobile equipments will be clearly stated and the ability of such equipment to cope with this situation will be detailed.

c) Power Distribution

Facility power circuits involved with the PMS (including grounds and returns) shall be isolated insofar as possible from other power circuits (such as X-ray motor control, elevators, and other facility operation power circuits) within the facility. This isolation will serve several purposes, including:

- Facilitating analysis and design of ground return circuits;

- Providing a measure of control over electrical noise and ground return voltage drops as affecting computer operations and data transmission;
- Alleviating some of the design and operating problems associated with Emergency (Back-up) Electrical Power systems.

3.2.2.5 Communications - On Site - Voice communications circuits, separate from the normal facility circuits, will be provided, to permit rapid information exchange between all areas involved with the PMS. Communications to appropriate facility Utilities control areas will be provided by way of the Central Computer Area/Central Maintenance Area. Communications devices in Monitoring locations shall incorporate "hands-off" operating features.

3.2.2.6 Communications - Remote Locations - Voice and data communications with remote (off-site) monitoring locations shall be routed through and controlled by the central processing area.

3.2.3 Self Test Requirements

To maintain a high level of confidence in operating performance and to aid in preventive and corrective maintenance of the PMS, Self-test features are to be incorporated. A routine, periodic, automatically programmed operation (time shared with normal operations) will provide at short intervals a go/no-go and/or calibration status of the system as it affects each function of each monitoring location. No-go or excessive error indications will be accompanied by indications of fault location to the replaceable module level. This feature will include tests of portions of the system not in use in order to indicate readiness for use. Self-test fault indications will appear at each affected monitoring area, the central processing area and the central maintenance/repair area. Calibration status data (specifically the amount of "off-calibration" condition) will be retained for trend information, useful for preventive maintenance. Such trend data will be appropriately modified by entry of data indicating replacement or repair of the affected units.

3.3 PMS SAFETY

The PMS shall be designed to minimize the risk of electrical shock or physical injury to patients or hospital personnel and damage to equipment. In all cases, safety of patients and hospital personnel shall take precedence over equipment damage protection.

3.3.1 Hazard Condition Classification

Hazardous conditions shall be classified in accordance with the following criteria; (personnel, as used here, includes operators, intermediaries and patients).

Class I. SAFE - Condition(s) such that personnel error, deficiency/inadequacy of design, or equipment malfunction will not produce functional damage or personnel injury.

Class II. MARGINAL - Condition(s) such that personnel error, deficiency/inadequacy of design, or equipment malfunction will degrade performance but which can be counteracted or controlled without major damage or any injury to personnel.

Class III. CRITICAL - Condition(s) such that personnel error, deficiency/inadequacy of design, or equipment malfunction will degrade performance by personnel injury or substantial damage or will result in a hazard requiring immediate corrective action for personnel or equipment survival.

Class IV. CATASTROPHIC - Condition(s) such that personnel error, deficiency/inadequacy of design, or equipment malfunction will severely degrade performance and cause subsequent death or multiple injuries to personnel or equipment loss.

3.3.2 PMS Safety Sequences

Safety engineering actions shall be in the following order of preference as a guide.

3.3.2.1 Design for Minimum Hazard - Insure during design, the optimum degree of inherent safety through the selection of appropriate design features and proven components.

3.3.2.2 Safety Devices - Known hazards which cannot be eliminated by design selection shall be reduced to a minimum through use of appropriate safety devices as part of the system.

3.3.2.3 Warning Devices - Where it is impossible to preclude occurrence of a known hazardous condition, appropriate devices shall be employed for detection of the condition and generation of an adequate warning signal.

3.3.2.4 Special Emergency Procedures - Where design consideration or use of safety and warning devices fail to reduce the magnitude of a known or potential hazard to an acceptable level, the vendor shall develop special procedures. These procedures shall include identification of the hazardous period time span, actions required if such hazards occur, and where applicable, special operating procedures to reduce possibility for occurrence.

3.3.3 Grounding

3.3.3.1 Attachment Cord Plugs - All line operated instruments shall be supplied with plugs providing separate power and chassis ground connections. For instruments operated on 120 volt power, the plug shall be a three terminal plug (hot line, power ground and chassis ground). To be determined at time of system design (See para. 3.2.2.4c).

3.3.3.2 Cabinet and Chassis - All cabinets shall be connected to a facility common earth ground. The facility common earth ground shall be connected at only one point in the PMS. This connection shall be a large surface, positive connection of extremely high reliability. Coaxial or shielded signal cables from the patient location to a remote station shall be grounded only at the patient location.

3.3.3.3 Power Line Isolation - All line operated instruments shall be designed so that there is no direct electrical path from the AC line to the cabinets. All hot power lines shall be fused to interrupt current in the event of an incorrect connection or internal equipment malfunction which would connect the chassis or cabinet to either side of the line. All chassis grounds shall be connected to the utility ground through the green line in power plug. Chassis ground lines shall not be fused.

3.3.4 Patient Connections

3.3.4.1 Transducer Isolation - Isolation circuitry shall be installed between the patient connections and electrical equipment. To the maximum extent possible, transducers and sensors shall be isolated from the chassis and cabinet grounds (by isolation transformers for example). In no case shall the patient tolerance leakage current be permitted to exceed that listed under 3.3.6 LEAKAGE CURRENT.

3.3.4.2 Multiple Connections - The existence of multiple connections between a patient and line operated units of the PMS creates a potential hazard. Maximum protection in terms of isolation transformers, fuses, or other circuit interruptors shall be provided to protect the patient under any of the following circumstances present singly or in any combination. All equipment attached to a patient must be at the same potential.

- (a) Incorrect patient connections.
- (b) Patient grounding.
- (c) Equipment malfunction resulting in a "hot" chassis.
- (d) Incorrectly wired equipment.

3.3.5 CRT Implosion Protection

Positive protection devices shall be provided to prevent injury to personnel or patients in the event of implosion of a cathode ray tube.

3.3.6 Leakage Current

A maximum tolerance of leakage current shall be adhered to for all devices which are subject to physical contact by hospital personnel and/or can be used in direct electrical contact with patients.

3.3.6.1 Risk Current Limits* for Individual Non-Therapeutic Apparatus** - The risk currents of individual non-therapeutic electromedical apparatus shall not exceed the values specified in this section during continuous operation under the worst-case combination of the environmental operating conditions specified by the manufacturer. These values shall not be exceeded after the manufacturer's recommended sterilization or disinfection procedures are performed. Measurements of risk current shall be performed as described in Section 4.6.

3.3.6.2 Low Frequency (D.C. to 1KHz) Risk Current Limits - In the pass-band of D.C. to 1KHz the absolute maximum nontransient risk current shall not exceed 10. microamperes, R.M.S., for Type A** apparatus and shall not exceed 500 microamperes, R.M.S., for Type B** apparatus.

Type A: 10 microamperes, R.M.S.

Type B: 500 microamperes, R.M.S.

3.3.6.3 High Frequency (1KHz to 1MHz) Risk Current Limits - The low frequency Risk Current limits of Section 3.3.6.2 shall increase with frequency according to the relationships:

Type A: $I_{LIM} = 10\mu a$, when $0 \leq f \leq 1KHz$

$I_{LIM} = k_1 x f$, when $1KHz < f \leq 200KHz$

$I_{LIM} = 2ma$, when $200 KHz < f \leq 1MHz$

Type B: $I_{LIM} = 500 \mu a$, when $0 \leq f \leq 1KHz$

$I_{LIM} = k_2 x f$, when $1KHz < f \leq 20KHz$

$I_{LIM} = 10ma$, when $20KHz < f \leq 1MHz$

* Note: This specification only sets limits for the risk currents of individual electromedical apparatus. The risk of use of multiple apparatus on a patient must be evaluated by the system contractor for the specific system design involved.

**NOTE: See Para. 2.2.5

Where I_{LIM} is the Risk Current limit, f is the frequency of the current, $k_1 = 1 \times 10^{-8}$ and $k_2 = 5 \times 10^{-7}$. Regardless of frequency the Risk Current limits shall not exceed the following values:

Type A: 2.0 milliamperes, R.M.S.

Type B: 10.0 milliamperes, R.M.S.

The above requirements are described in the following Figure 3.3.1 and Figure 3.3.2.

3.3.6.4 Transient Risk Current Limits - Any pulse or transient risk current, measured as described in Section 4.6, shall not exceed a 45. microampere peak, decaying to 14.0 microamperes peak within 5. milliseconds.

3.3.7 Risk Current Limits for Individual Therapeutic Apparatus

For equipment rated as "Therapeutic" (Section 2.2.5), the absolute maximum risk current limits are as follows:

Passive State: The limits given in Section 3.3.6 apply at all times when therapeutic energy is not being intentionally delivered to the patient. The apparatus shall be tested in accordance with Section 4.6.

Active State: The provisions of Section 3.3.6 are not intended to limit therapeutic energy applied to the patient during normal operation of the equipment. However, the designer and manufacturer will minimize the risk currents flowing in unintentional paths while the equipment is delivering energy.

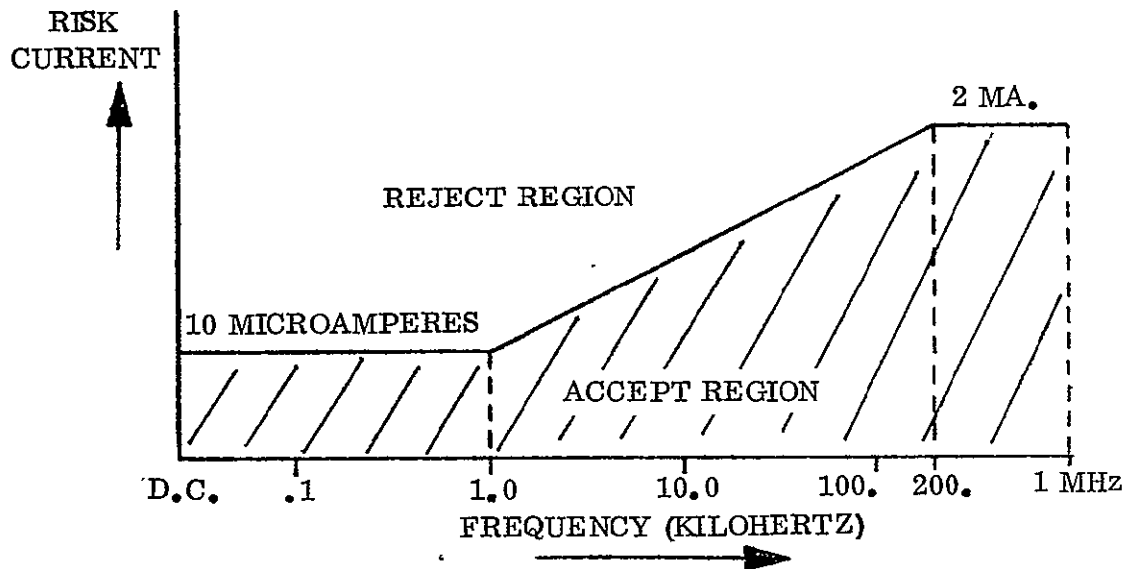


Figure 3.3.1. Type A Risk Current Limits

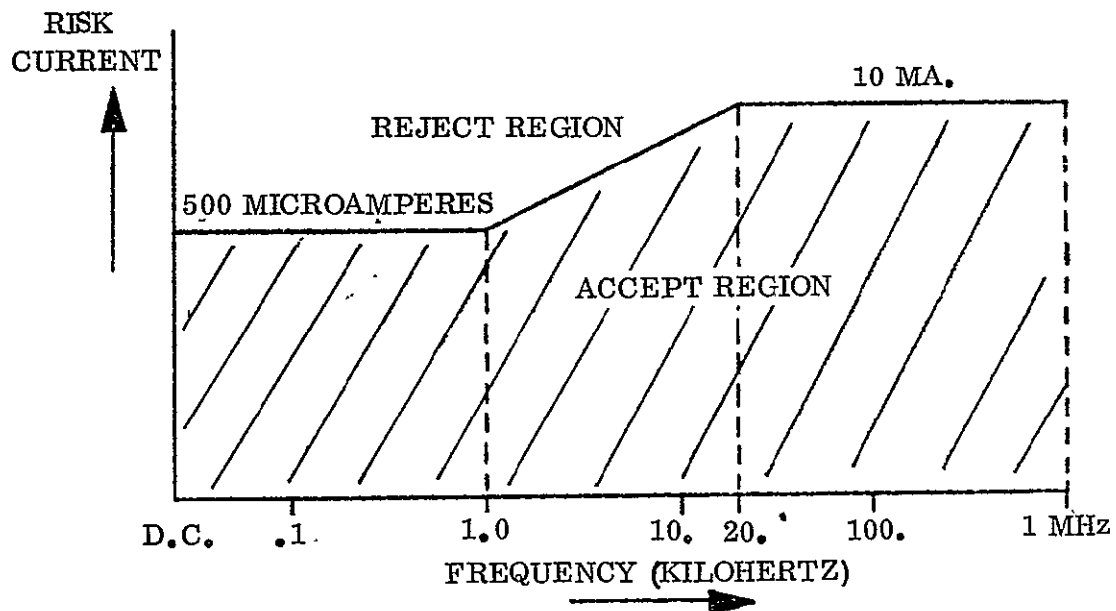


Figure 3.3.2. Type B Risk Current Limits

3.4 DESIGN REQUIREMENTS

The design and construction of electromedical apparatus shall be such that, when properly used for the purpose intended, there shall be minimal danger to the patient, intermediary, operator, or any person. Safety shall be retained after exposure to manufacturer's specified sterilization or disinfection and during and after mechanical, electrical, and environmental stress as is likely to be encountered in normal use.

All apparatus shall be safe for that anticipated application that presents the greatest hazard to the subject, and shall meet the following minimum safety requirements.

3.4.1 Human Engineering

State of the art human engineering practices shall be employed to insure that at least the following are considered.

- | | |
|------------------------------|---|
| Displays | <ul style="list-style-type: none">- Are silent (optional selection in the Patient area - may have Audio Alarms at remote monitor)- Present critical data in an attention getting manner- Do not require unusual ability or training (of medical personnel) to interpret- Do not display data to the patient unless specifically desired |
| Controls | <ul style="list-style-type: none">- Are appropriately positioned for the most frequent usage and prevention of inadvertent operation- Are not confusing in operation- Are interlocked, if possible to prevent harmful "out-of-sequence" operations- Do not require unusual ability or training (of medical personnel)- Visual appearance does not cause patient anxiety |
| Interconnections | <ul style="list-style-type: none">- Are easily identified as to location of mating piece or body (anatomical) position- Are keyed or otherwise coded to minimize incorrect mating- Are easily made/unmade |
| Consoles and Equipment Racks | <ul style="list-style-type: none">- Can be serviced without interferring (physically) with the operator or presenting an adverse image of reliability to the patient- Ease of disassembly or access for service- Adequate identification of all parts |

3.4.2 Data Interface

The PMS equipment shall satisfy the data interface requirements imposed in order to be completely compatible between the four (4) module configurations (Module I - Cardiac Surveillance, Module II - Cardiovascular, Module III - Pulmonary, and Module IV - Body Chemistry) either alone or in combinations. The data interface requirements are as follows:

3.4.2.1 Patient to System Interface - The patient to system interface shall consist of standard physiological measurement devices and their attaching techniques (catheters, electrodes, thermistors, transducers, strain gauges, etc.). The patient monitor system shall be capable of interfacing with any or all of these devices.

The system interface shall match the particular configuration of Module I, II, III, or IV with the appropriate number of devices required to convert and/or transmit the signals from the patient to the bedside equipment or remote equipment. The system interface will provide the functions of converting the patient signals to standard system voltage levels, data rates, bit/word numbers, and codes. These standard system data interface requirements shall be compatible to that equipment selected to be the main processor for Modules I, II, III, and IV.

3.4.2.2 Data Acquisition, Transmission, and Display Interface - The patient monitoring data acquisition, transmission and display interface shall provide system compatibility between the patient to system block of equipment, the computer system, and the subject interface which shall include but not be limited to the following:

bi-polar DAC's - 12 bits, 100KC

uni-polar DAC's - 1- bits, 100KC

A/D Converter - 10K sps

Digital transmission: digital format shall be 12 bits total minimum (16 bits to be system compatible); 3 bits sync, parity, and control; 9 bits, data

200 bps, serial, voice grade line, asynchronous, non-private line, auto call

2 KBS, voice grade line, non-private line, auto call, synchronous, serial

2.4 KBS, voice grade line, private line, non-auto call, synchronous, serial

40.8 KBS, non private\line, non-auto call, synchronous, serial

Analog transmission:

1 line, $\pm 2v$ input/output, DC-100 Hz bandwidth

3 or 6 lines, $\pm 2.5v$ input/output, DC-100 Hz bandwidth

Manual input - 12-16 bits parallel

Operational amplifiers - $\pm 10v$ (full-scale output)

3.4.2.3 Computers Interface - The patient monitoring computer interface shall provide system compatibility between the patient to system block of equipment; the data acquisition, transmission and display system; and the subject interface which shall include but not be limited to the following:

16 parallel bit word interface for logic, core, discs, communications

Magnetic tape - 9 track, 150 ips, 800 bps, parallel

Peripherals - standard 16 bit interface

core - 2 μ sec, access

disc - 37K words/sec. transfer rate

3.4.3 Decontamination and Sterilization

Equipments shall not be damaged nor shall they exceed the specified hazard current limits (3.3.6) due to repeated exposures to applicable chemicals, including but not necessarily limited to:

Gases: Oxygen and anesthetics, helium, carbon dioxide, nitrogen and ethylene oxide

Liquids: Saline solutions, hospital disinfectants, processing chemicals (as used in copying machines) or caustic chemicals (as used in analytical processes)

Spillage of any of the above liquids on controls, enclosure openings or electrical interface connectors shall not cause a hazard from entry of the spilled material into the equipment or connector enclosure.

Elements requiring sterilization* and the method of sterilization shall be described by the manufacturer.

3.4.4 Environments

The PMS equipment shall satisfy the performance requirements of 3.2 after transportation to the medical facility and exposure to the following storage environments; and while exposed to operating environments.

a. Temperature: $70 \pm 30^{\circ}\text{F}$

b. Pressure: 10.0 to 15.4 psig

c. Humidity (storage): 5 to 80% RH, (operating); 5 to 85% RH

* Generally items contacting patients or subject to handling by persons who have just handled patients.

- d. **Mechanical Stress:** Rotational drops pivoting on one edge of the base of units
Edge opposite pivot is raised 2" or till the base makes an angle of 45 degrees
with the horizontal, whichever is less, and then dropped
- e. **Chemical exposure:** All exposed surfaces of equipment, sensors and trans-
ducers, and harnesses and cabling shall not suffer degradation of performance
or appearance from repeated exposure to the following chemical agents:
 - (1) Gases: oxygen, helium and anesthesias, etc.,
 - (2) Liquids: saline solution and hospital disinfectants

3.4.5 Electrical Power, Electromagnetic Compatibility

3.4.5.1 Electrical Power - The nominal conditions for the power source for units intended for fixed or semi-fixed installation shall be 120/240 (+ 10% volts single phase, 3 wire-centered grounded, 60 Hz alternating current. Power sources for portable (including mobile use), and emergency or standby equipment shall be as described by the manufacturer.

3.4.5.2 Electromagnetic Compatibility - The PMS shall be designed to minimize mutual interference between functions and between the system and other electronic equipment. This shall include susceptibility to, and generation of, conducted and radiated interference. In medical instrumentation systems employing stimulus functions such as heart pacers or defibrillators, gating or blanking circuits in other equipment of the system may be required to prevent erroneous readings or equipment damage due to stimulus pulses.

3.4.6 Endurance

3.4.6.1 Service Life - The intended service life for the PMS is 10 years.

3.4.6.2 Duty Cycle - All equipment shall be designed for continuous operation.

3.4.6.3 Endurance - All equipment shall be designed to operate in accordance with the performance requirements and duty cycle specified herein for a period of not less than one year without maintenance beyond the manufacturer's recommended maintenance program in-place calibration adjustments and replacement of manufacturer's identified expendable items (see 9.4.2.4). The vendor shall identify in the maintenance program (3.5.3) the calibration frequency for each system function, the expendable items and their replacement frequency and any other operating adjustments required to satisfy these requirements. The system shall be so designed that routine maintenance can be performed on any unit of the system without disrupting performance of other system units except those with essential functional dependence on the unit being serviced.

3.4.6.4 Storage Life - The system shall satisfy the requirements of this specification after up to 3 months storage under the environmental conditions of 3.4.4 unless otherwise specified. Elements of the system, such as batteries, not capable of this storage life shall be identified by the vendor. The vendor and procuring agency shall establish storage life requirements for these items.

3.4.6.5 Repair - When a system malfunction occurs, the defective unit shall be identified and serviced using the prescribed fault isolation and repair instructions in the vendor supplied service manual (3.5). After repair and restoration of full system operation the original endurance capability of the system shall not be adversely effected.

3.4.7 Reliability

The reliability of the PMS is a measure of the degree of satisfaction of the performance and endurance requirements of the system under the specified service conditions. It is the probability that the performance requirements will be satisfied without failure throughout any specified interval of the service life. For the purposes of reliability, a failure is defined as any deviation of the PMS or elements thereof from the requirements of this specification when the system is serviced in accordance with the vendors prescribed maintenance program (3.5.3). No single failure or combination of failures of the PMS or elements thereof shall cause a Class IV hazard condition (see 3.3.1).

3.4.7.1 Fail Safe/Fail Soft - The system shall be designed to meet Fail Safe criteria which is interpreted here to mean that system failure shall not cause a situation of grave danger for the patient by virtue of producing erroneous data, incorrect control signals for therapeutic devices, or hazardous electrical currents. The system shall be farther designed to possess a Fail Soft characteristic such that failures of major subsystems such as the Central Processing Computer or the Local Processing Computer will not degrade fundamental Physiological monitoring displays such as ECG, Heart rate, certain Respiration parameters, etc. located at the bedside or in the local units central nursing station, assuming that this is a primary monitor display area.

3.4.8 Maintainability

To the maximum extent possible consistent with the functional requirements maintainability shall be a major design criterion. In maintenance of the equipment, the throw-away level shall be the lowest possible level of assembly consistent with state of the art circuit design and packaging techniques. The PMS shall be constructed of repairable assemblies and sub-assemblies. The largest assembly module shall be of such a size and weight that it can be safely handled by two men.

*To be determined

3.4.8.1 Design Guidelines -

- a. Reduce the complexity of maintenance by:
 - Providing adequate accessibility, work space and work clearance.
 - Providing for interchangeability of like components, materials and parts within a given system installation.
 - Utilizing standard parts readily available to the procuring agency.
 - Limiting the required number and variety of tools, accessories and support equipment.
- b. Reduce the need for, and frequency of, design-dictated maintenance activities by using:
 - Fail-safe features.
 - Components which require little or no preventive maintenance.
 - Tolerances which allow for use and wear throughout life.
 - Adequate corrosion prevention/control features.
- c. Reduce maintenance downtime by designing for:
 - Rapid and positive detection of malfunction or degradation.
 - Rapid and positive localization of malfunctions to the repair level for which skills, spaces and test equipment are planned.
 - Ease of fault correction.
 - Rapid and positive adjustment and calibration.
 - Rapid and positive verification of correction.
- d. Reduce the potential for maintenance error by designing to eliminate:
 - The possibility of incorrect connection, assembly, or installation.
 - Dirty, awkward and tedious job elements.
 - Ambiguity in maintenance labeling, coding and technical data.

3.4.9 Transportability/Mobility

3.4.9.1 Transportability refers to ability to be relocated, in a non-operating condition, after one or more degrees of disassembly using conventional "moving" equipment. Consideration will be given to means for securing internal moveable parts which could be damaged or misaligned by the normal transportation environment, (e.g. meter movements, sliding doors, drawers, etc.). Further consideration will be given to the probable facility construction of the equipment site (e.g. doorway sizes, etc.).

3.4.9.2 Mobility refers to the ability to be relocated rapidly, either operating or in ready for operation condition, independently or in association with a patient-carrying device. Consideration will be given to quick disconnect (maintenance) power cords, large wheels, ease of handling by a single attendant, rugged construction.

3.4.10 Workmanship

Workmanship shall be of the highest commercial quality. All equipment shall be constructed and finished in a thoroughly workmanlike manner. Particular attention shall be given to neatness, cleanliness, and thoroughness of soldering, welding, brazing, bonding, wiring, marking of parts, painting, protective coatings, riveting, machine screw assembly, freedom of parts from burrs and sharp edges and fit of interfacing equipment.

3.4.11 Materials Parts Processes Finishes and Coatings

3.4.11.1 Materials - The materials used in the PMS shall be of the highest quality and selected on the basis of physical or other essential properties and suitability to the service conditions of 3.4.3 and 3.4.4. Dissimilar metals shall not be used in intimate contact unless suitably protected against corrosion by plating, painting, or other surface treatment. All materials used in contact with, or penetrating the patient's body shall have histories of acceptable use in contact with the skin without causing irritation. In no case shall a material be employed which has a history of causing acute or extended irritation in any significant percentage of the population or has caused chronic ailments in otherwise healthy adults. Whenever possible, materials used in contact with the skin shall be materials which have been previously approved by the Food and Drug Administration for medical applications for skin contact.

3.4.11.2 Parts - Wherever possible, standard parts shall be used to ensure high quality and facilitate procurement for servicing. Where specialized parts unique to the satisfaction of the functional requirements of the PMS are required, they shall be so indicated on the equipment parts lists (3.5.4).

3.4.11.3 Finishes and Coatings - Surface finishes and protective coatings shall conform to good commercial practice and shall be compatible with the service conditions of 3.4.3 and 3.4.4.

3.4.12 Identification and Marking

Each separable unit in a monitoring system shall be permanently and legibly marked with the manufacturer's or supplier's name or registered trademark as well as a catalog or model number. Electrodes and interconnecting cables are excluded from this requirement.

3.4.12.1 Ratings - Each unit in a monitoring system which receives line power from a facility source shall be permanently and legibly marked with proper voltage, frequency and current requirements.

3.4.13 Storage

Storage environment covers long term warehousing with minimal environment control, movement within the medical facility and routine maintenance or preparations to put the PMS or units thereof in service. The environmental extremes in 3.4.4 are considered as being applied to unpackaged equipment.

A less severe form of storage is that of Emergency equipment or Low usage items in accessible but essentially unmonitored locations.

3.4.14 Interchangeability

3.4.14.1 Patient Cables - Patient-machine interface connectors must be polarized and keyed in such a way that they cannot be mated with any other operator accessible connectors in the system, or in any other commonly available equipment, which does not perform exactly the same function in the same manner.

3.4.14.2 Plugs or sockets of equipment shall not be interchangeable with other plugs or sockets operating on different voltages or containing different circuit functions. Where correct polarities are required, the plugs and sockets shall be so constructed as to prevent connection with incorrect polarity.

3.4.14.3 Interchangeability - Each part, component, assembly or instrument in the PMS shall be directly interchangeable with regard to form, fit and function with every other unit having the same drawing or model number. The use of matched parts or selective fits shall be avoided wherever possible. Where matched parts or selective fits are required, this shall be clearly indicated in the appropriate service manual (3.5).

3.4.15 Protective Measures

Where there is a possibility of "danger" due to equipment malfunction, a state-of-the-art protective device, circuit or system should be arranged to safeguard against such danger.

3.4.15.1 Double Protection - Where there is a possibility for a "grave danger" to the patient, intermediary or equipment operator due to equipment malfunction, a state-of-the-art double safety construction should be provided whereby a second safety device, circuit, or system will insure safety if the primary protective device fails.

3.4.15.2 Prevention of Contact with Live Parts - To prevent shock to the patient, intermediary, or operator when electro-medical apparatus is in normal use, all accessible metal parts shall be protected from contact with live parts.

Access covers protecting live parts shall be removable only with the aid of a tool, unless automatic disconnection from the supply shall be arranged when the cover is opened or removed. Lamp holders and fuse holders accessible without a tool shall be placed so as to avoid operator contact with live parts.

3.4.15.3 Grounding - All accessible non-live conductors and accessible metal parts shall be firmly connected, electrically and mechanically, to the grounding terminal.

Alternatively, a nonconductive housing may be used so that no conductive part is accessible to contact with the human body.

Exception: This section shall not apply to transducers or sensors such as patient electrodes.

3.4.15.4 Patient Circuit Physical Separation - Any wiring that is connected directly to the patient shall be physically separated from other circuits of the apparatus and routed through separate cables, wiring harnesses, or wiring troughs.

3.4.15.5 Patient Circuit Electrical Protection - Isolation transformers, current limiters, fuses, or other safety circuits or devices shall be inserted between patient circuitry and all other circuitry, so that the electromedical apparatus conforms to the requirements of this standard.

3.4.15.6 Power Supply Switches and Transformer - Each portable line-operated apparatus shall have an independent power cord. The length of this power cord shall be kept as short as practical. Plugs of these cords shall be replaceable and not molded in as part of the cord's flexible insulation, with separate power and chassis grounding lines (3 prong).

Power on-off switches shall switch both sides of the power line (DPST) and shall have clearly visible indication of the power status ("on" or "off"). Where the supply cord passes through the outer casing of the apparatus, a properly secured, tapered grommet or equivalent device shall be provided to relieve stress on the conductors and prevent sharp bends and abrasions of the flexible cord at the entry point. The surface of the stress relief device in contact with the flexible cord shall be insulating.

No apparatus shall be designed to operate directly from the electricity supply of the premises, without isolation. A power transformer or transformers shall be used to provide electricity supply isolation, containing at least one full primary-to-secondary shield, connected to power ground. Alternative supply isolation methods will be acceptable if they provide an equivalent degree of isolation from the electricity supply.

3.4.15.7 Over-Current Protection - All circuit wiring and components shall be protected against excessive current by means of a correctly rated fuse or an automatic type circuit breaker inserted into the positive (hot) supply conductor. Either fast or slow-blow fuses may be used, but the rating of the chosen fuse shall be the lowest available value capable of safely and continuously carrying the current required by the apparatus.

3.5 DOCUMENTATION

In addition to documentation supporting readiness of equipment for initial delivery the vendor shall prepare, for delivery to each customer, sufficient documentation to enable training and operation, along with appropriate levels of maintenance, and replenishment.

Operating and Service Manuals

Detailed operating and service manual(s) shall be prepared for each equipment item. Separate operating and service manual(s) shall be prepared for those equipment items functioning together as a system. System operating and service manual(s) will satisfy this requirement.

3.5.1 Training

A training program will be developed with the customer. Operating handbooks specially modified for training purposes will be provided. "Programmed" learning techniques will be considered. A Formal Training Plan will be delivered.

3.5.2 Operation

Formal operating instructions will be provided, highlighting Hazard areas, Special Precautions and contingency procedures. Routine operation, including Preparation for use, sterilization/decontamination, Post-use Procedures, calibration (operator level) and replenishment of consumables shall be included. Operating manual(s) shall contain step-by-step operating procedures for each operating mode fully illustrated by pictures, diagrams, charts, etc., and must cover all contingencies in operation.

3.5.3 Maintenance and Calibration

The vendor shall prepare a detailed maintenance and calibration program for both routine and periodic maintenance. The program shall include the maintenance schedule, detailed maintenance procedures, lists of maintenance tools and materials, spare parts lists and parts replacement schedules.

Provision shall be made, by means of a Maintenance reporting system, for feedback of maintenance data to the manufacturer for system upgrading studies. Service manual(s) or service portions of operating and service manuals must include all drawings in 3.5.4 unless included elsewhere in the manual and must fully describe all equipment servicing which is not limited to factory service. A complete set of diagnostic charts must be included which will indicate the observed fault, the possible causes and the corrective action for each possible cause. For each service condition complete and fully illustrated step-by-step disassembly, part replacement, reassembly and test procedures must be included. This requirement does not include those service conditions for which equipment must be returned to the factory.

3.5.4 Drawings

The vendor shall prepare and include in the operating and service manuals the following drawings:

- a. Block diagrams. Functional block diagrams down to the individual circuit level to demonstrate the functioning of the equipment from an engineering viewpoint.
- b. Schematic diagrams. Detailed circuit diagrams showing and identifying each part to the parts list nomenclature. These diagrams should also indicate normal electrical characteristics which can be expected at various key points.
- c. Wiring diagrams. Detailed diagrams showing the actual wiring of the equipment and each connection and interconnection.
- d. Parts lists. Detailed lists of all parts cross-referenced to the circuit diagram and including part number, part nomenclature and sources for procurement. Where vendor standards are employed, they must be available to the procuring agency.

3.5.5 Test Procedures

Detailed test procedures shall be prepared by the vendor for tests specified in 4.0.

3.5.6 Test Reports

Detailed test reports shall be prepared by the vendor for the procedures specified in 4.2.1 and 4.3.1.

3.5.7 Log Books

Each system shall have a (set of) log book(s) containing the Test Reports of 3.5.5, and space for estimated 10 years (Life) worth of maintenance (performed) entries.

Each replaceable module of sufficient complexity/cost to warrant serialization shall have an associated log book or similar record.

3.5.8 The systems contractor shall prepare a Program for system validation data acquisition, analysis, and reporting, for use in conjunction with initial installation (6.5).

3.6 SYSTEMS INTEGRATION AND DEVELOPMENT TESTING

A single contractor shall be the systems contractor and shall be responsible for integration of all subsystems and components into the total system. The systems contractor shall by careful analysis determine the detail interface requirements for all interfaces (system, subsystem and module). Functional interfaces will be thoroughly verified in development tests and completely documented. Physical (mechanical) interface will be accomplished by specification on the vendor (sub-contractor) or by use of (mechanical) mounting and panel face adapters.

QUALITY ASSURANCE PROVISIONS

4. QUALITY ASSURANCE PROVISIONS

4.1 CLASSIFICATION OF TESTS

The inspection and testing of the PMS shall be classified as follows.

4.1.1 Qualification

Unless otherwise specified in the ordering data, qualification tests shall be performed to establish the environmental capability of the equipment. (See 4.7)

4.1.2 Factory Acceptance

Unless otherwise specified in the ordering data, Factory Acceptance Tests shall consist of visual inspection, operability assurance tests and functional tests. (See 4.8)

4.1.3 Operational Demonstration

Upon completion of installation and checkout of the PMS by the system contractor at the procuring medical facility, a demonstration test shall be performed. (See 4.9)

4.1.4 Service

The service history of the PMS shall be regarded as a service test. (See 4.10.)

4.2 TEST CONSTRAINTS

4.2.1 Test Procedures

Detailed test procedures for all tests in 4.1 shall be prepared by the vendor subject to approval by the procuring agency.

4.2.2 Test Quantities

Factory acceptance (when specified in the ordering data), operational demonstration, and service tests shall be performed on all components, assemblies, etc. of the PMS.

4.2.3 Test Conditions

Unless otherwise specified, tests shall be conducted under the following ambient conditions.

- a. Temperature: $70 \pm 10^{\circ}\text{F}$
- b. Relative Humidity: 5 to 85
- c. Barometric Pressure: 14.3 to 15.3 psia

4.2.4 Measurements

All pertinent signal and environmental inputs to the unit under test and all pertinent performance parameters shall be measured and recorded as specified in the detailed test procedures (4.2.1) during tests. To the maximum extent possible, measurements shall be made in terms of standard units rather than arbitrary dial, indicator or control settings.

4.2.4.1 Tolerance Ratio - Whenever possible a ratio of not less than 10 to 1 shall be maintained between the tolerance of the measured parameter and the tolerance of the measurement. The tolerance of the measurement shall include basic instrument accuracy and instrument-use errors such as resolution, repeatability and parallax.

4.2.4.2 Calibration - All test instruments shall be under the control of a calibration plan. The plan shall specify the frequency of calibration, accuracy of the calibration standards and maintenance of calibration records. The calibration records shall be available for inspection at any time by the procuring agency. All standards shall be traceable to the U.S. Bureau of Standards.

4.3 TEST DOCUMENTATION

4.3.1 Performance Records

Records shall be made of all data necessary to determine compliance with this specification and the test procedures (4.2.1). This data shall provide criteria for checking satisfactory performance of the unit during testing. Test data shall be recorded before, during and after each test as specified in the test procedure.

4.3.2 Test Data Reports

Reports, for the tests in 4.1 providing the data required by paragraph 4.3.1 shall be submitted to the procuring agency within 30 days of test completion.

4.4 FAILURES

4.4.1 Definition

Any reading, indication or measurement which is not within the limits specified by this specification or the test procedure (4.2.1) when the inputs and environmental factors are within tolerances; any deterioration or corrosion which could in any way prevent the unit under test from meeting its operational requirements; any loose, bent, cracked or otherwise damaged or improperly adjusted parts; or any evidence of poor workmanship shall constitute a failure.

4.4.2 Procedure

Units under test, in which failures are detected during individual tests, shall be rejected. Testing of other units may continue. A failure log shall be maintained for each PMS, from the start of equipment installation. Each failure of any unit shall be recorded in the log book identifying the nonconforming unit, the test failed, the nature of the failure and the corrective action taken. The procuring agency reserves the right to refuse to accept any unit in the PMS which has to be reworked more than once to achieve specification compliance.

4.5 TEST MONITORING

The procuring agency reserves the right to witness all qualifications, factory acceptance and operational demonstration tests.

4.6 RISK CURRENT MEASUREMENTS

The risk currents of individual electromedical apparatus shall be measured by the methods described in this section. The risk current shall be first investigated using an oscilloscope. Essentially sinusoidal, complex sinusoidal, or rectangular repetitive currents may be measured using any commercial R.M.S. indicating meter. Transients and repetitive spikes shall be measured using an oscilloscope.

When multiple risk currents of various frequency and phase relationships are present, the total risk current shall be considered to be the instantaneous sum of the individual currents.

4.6.1 Test Conditions

The risk current of an apparatus shall be considered to be the greatest of the following:

- a) For Type A or B apparatus, the risk current flowing between any patient connection or chassis, and power ground, or between any combination of patient leads (Figure 4.6.1):
 - 1) When electrical supply polarity is normal or reversed;
 - 2) When electrical supply grounding contact (wall outlet) is intact or open;
 - 3) During the operation of all accessible controls, with all controls operated in any possible pattern or combination; and
 - 4) When any internal power supply or supplies fails in an open or shorted mode.
- b) On Type A apparatus only, the greatest current flowing in any nongrounded patient lead when a potential of 117. volts R.M.S. 60 Hz is applied through a series 120 Kilohm resistance to the patient lead with respect to power ground. If an apparatus is damaged by this test, it shall fail safe in all respects. This test shall be conducted with the apparatus both ON and OFF and properly connected to its electrical supply (Figure 4.6.2). (The 120 Kilohm resistance is intended to protect the test operator.)
- c) For Type A or Type B apparatus, the risk current flowing with the electricity supply ground contact open, and the chassis connected to the rated supply voltage (Figure 4.6.3). CAUTION: Chassis is HOT! Test to be performed with electrical supply polarity normal or reversed. Risk currents shall be measured between any ungrounded patient connection and power ground or between any combination of patient leads, with power switch ON and OFF. (The 120 Kilohm resistor is intended to protect the test operator.)

The measuring instrument used during the above tests shall have an input impedance of at least 100 Kilohms; a frequency response of D.C. to 10 MHz; and an accuracy of at least +5%.

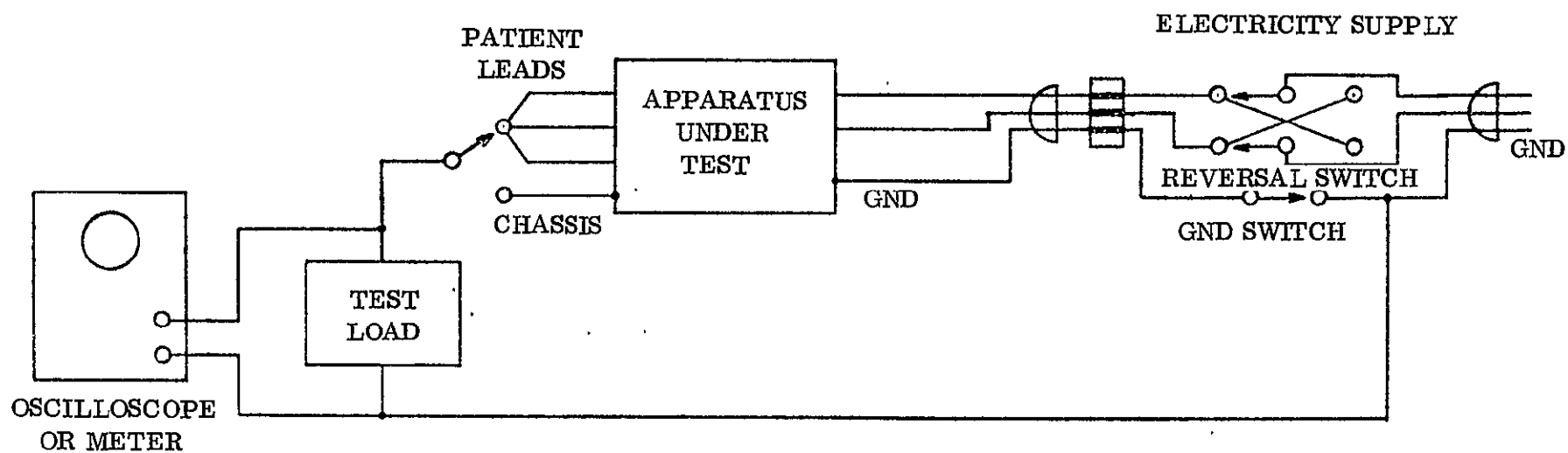


Figure 4.6.1. Test Circuit One (Paragraph 4.6.1 (a))

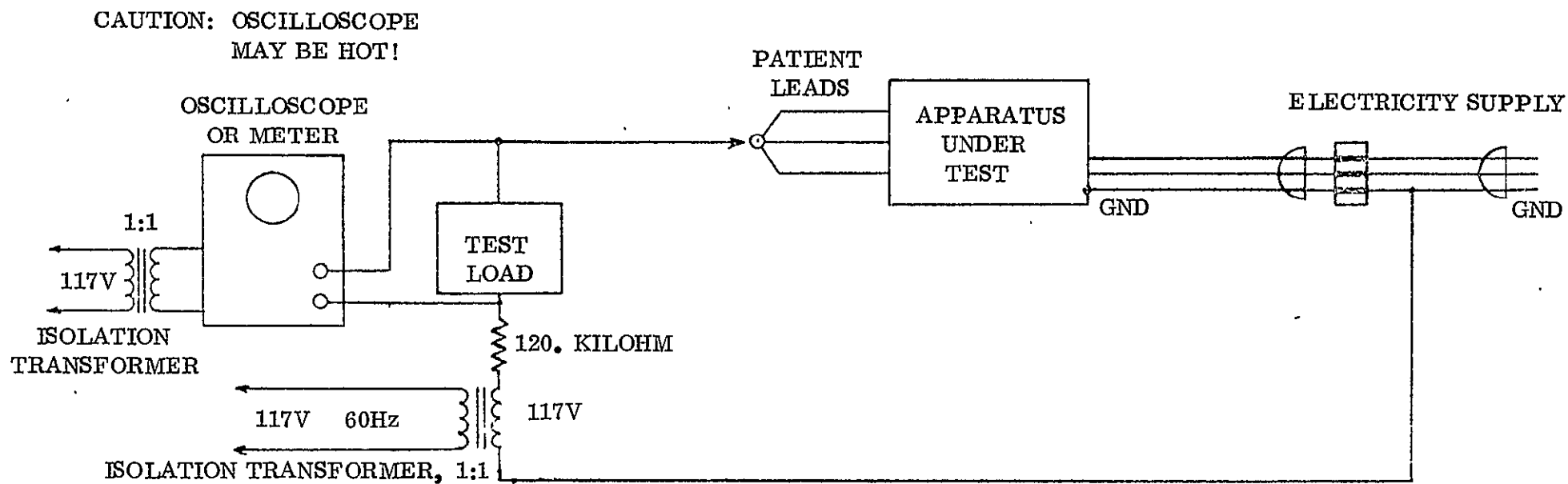


Figure 4.6.2. Test Circuit Two (Paragraph 4.6.1 (b))

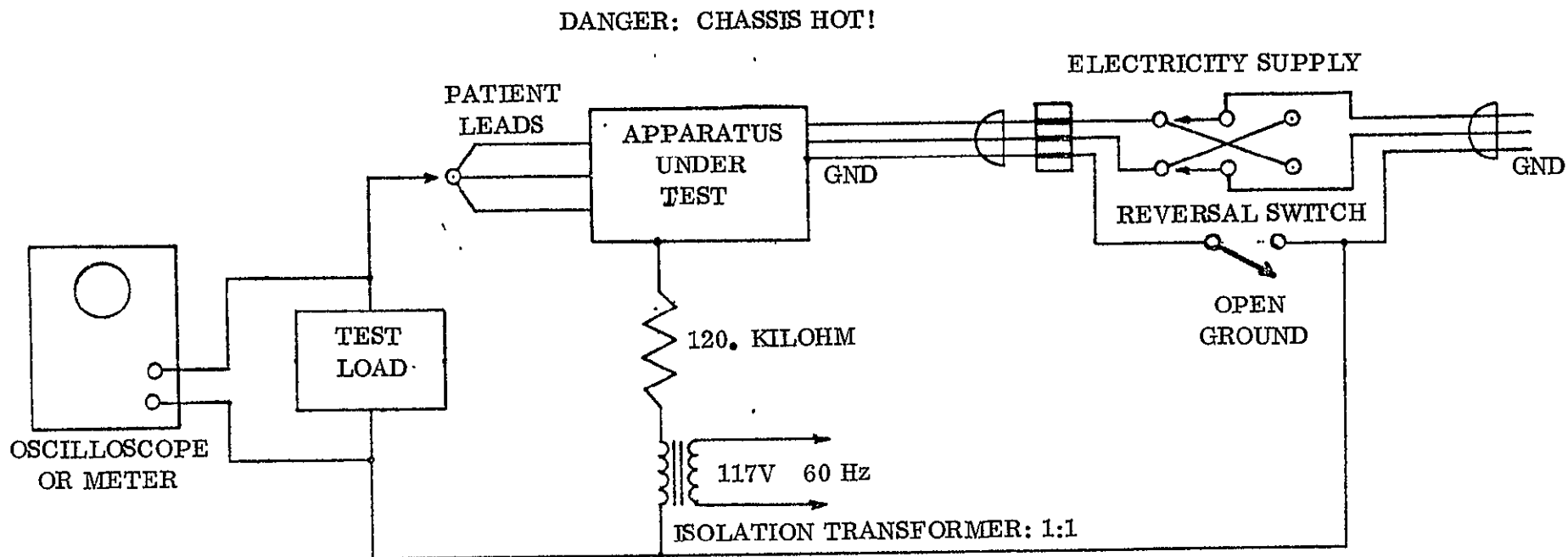


Figure 4.6.3. Test Circuit Three (Paragraph 4.6.1 (c))

4.6.2 Standard Test Loads

All tests of Sections 4.6 shall be performed using the Test Loads, Figures 4.6.4 and 4.6.5. These test loads shall be constructed using 1% tolerance or better metal film type resistors and a 5% tolerance or better mica or plastic dielectric capacitor.

The standard test loads have an impedance-frequency characteristic, shown in Figure 4.6, that is the approximate inverse of the allowed risk current versus frequency curves, Figures 3.3.1 and 3.3.2.

4.6.3 Standard Test Circuits and Procedure

The tests described in 4.6.1 (a), (b), and (c) shall be performed using the Type A Load for Type A apparatus and using the Type B Load for Type B apparatus.

The individual apparatus under test shall be connected as in Test Circuits One, Two and Three and subjected to the corresponding tests of 4.6.1 (a), (b) and (c). Where the apparatus is operated by a self-contained power supply, the current drain between the circuit which is directly connected to the patient and the ground terminal is measured as shown in Test circuit 4, Figure 4.6.7. The Test Load shall be first connected to an oscilloscope to test for the presence of D.C., transient, or A.C. risk currents. Where for functional reasons it is necessary to drain a current into the circuit that directly contacts the patient (output circuit), measurement shall be made with the output current at 0. The individual apparatus risk current is given in total frequency-weighted microamperes by:

$$I = \frac{E \text{ (Millivolts, R.M.S.)}}{Z \text{ (Load)}}$$

The values of I so determined shall be less than:

Type A: 10 microamperes, R.M.S.

Type B: 500 microamperes, R.M.S.

Except that, the stated limit for risk current from the apparatus chassis (4.6.1 (a) or 4.6.1 (c)) may be exceeded when the electricity supply grounding contact is open, provided that such risk current does not exceed 50. microamperes. Apparatus using this exclusion shall be marked to indicate the necessity for proper grounding, and shall meet all requirements of this standard when properly grounded.

4.6.4 Decontamination and Sterilization

Equipment shall not exceed the above risk current limits due to repeated exposures to the method of sterilization or disinfection described by the manufacturer.

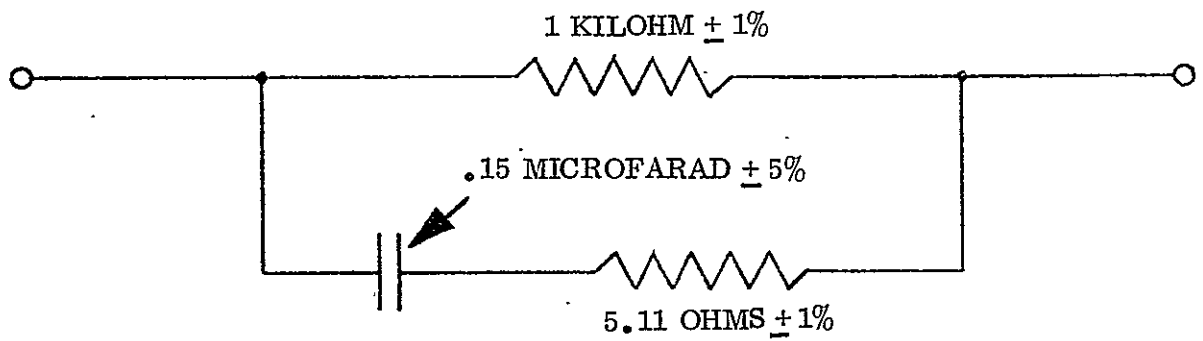


Figure 4.6.4. Test Load, Type A

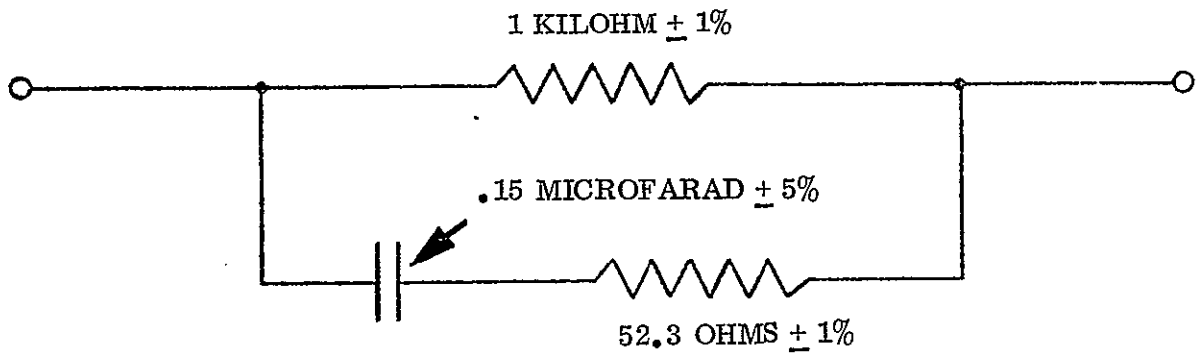


Figure 4.6.5. Test Load, Type B

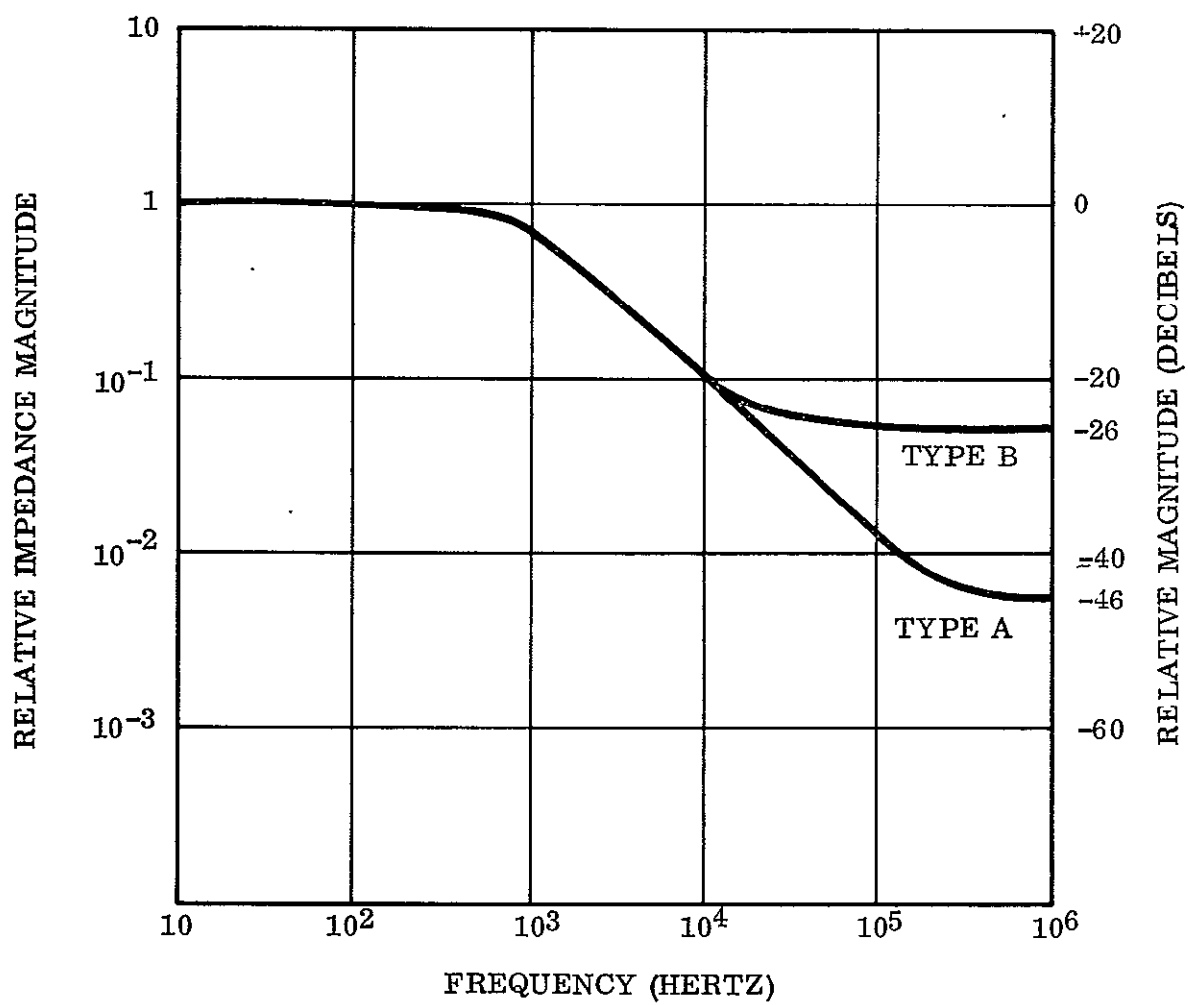


Figure 4.6.6. Impedance-Frequency Characteristics of Test Loads

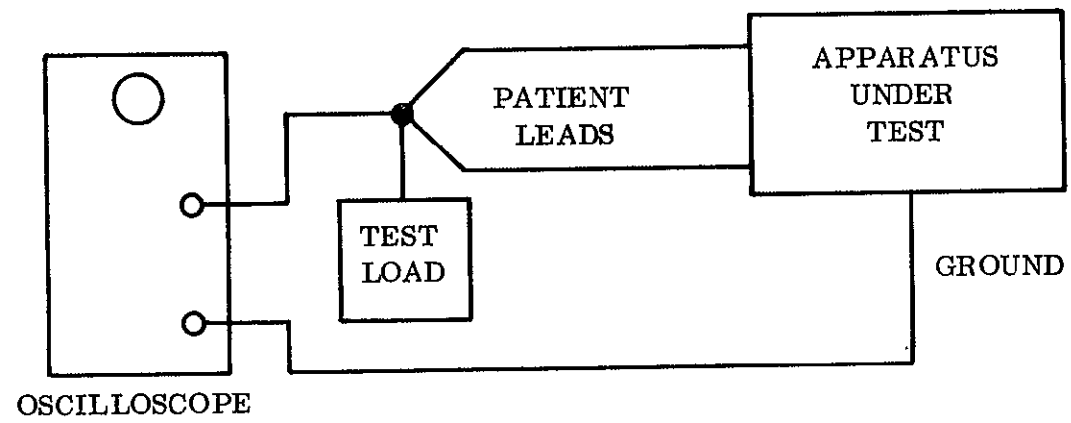


Figure 4.6.7. Test Circuit Four (Para. 4.6.1 d)

4.6.5 Sensor Amplifier Input Impedance

The differential input impedance shall be measured using Test Circuit five, Figure 4.6.8 with SW1 closed, record output V_o . With SW1 open, adjust R until output V_o equals one half previously recorded value. Value of R then equals input impedance. Note it may be necessary to insert a 60 Hz filter between the sensor amplifier and the AC voltmeter.

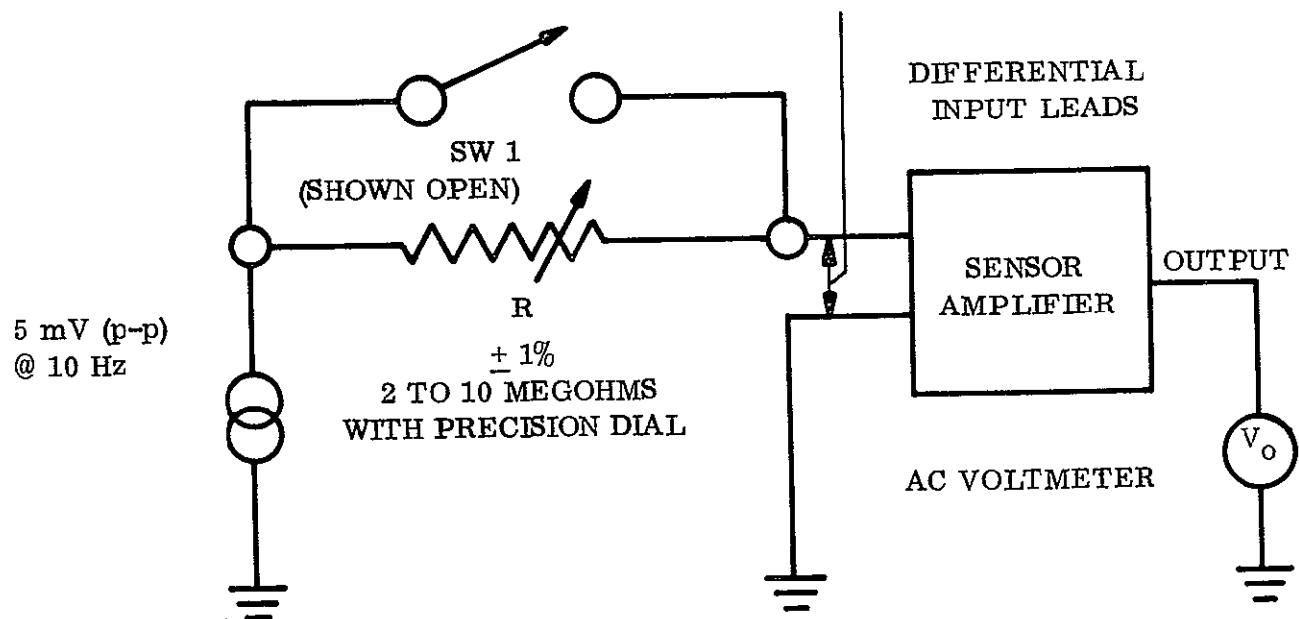


Figure 4.6.8. Test Circuit Five

4.7 QUALIFICATION

Unless otherwise specified in the ordering data, each type of unit employed in the PMS shall be qualified to establish the capability of the units to withstand the service conditions of 3.4.3 and 3.4.4 and satisfactory performance in accordance with 3.2.

4.7.1 Methods of Qualification

Qualification shall be predicated on data secured by one or a combination of the following methods:

- a. Specific qualification tests conducted on one sample of each type of unit employed in the PMS.
- b. Available test data on units identical to those being qualified.
- c. Available test data on units similar to those being qualified with all points of similarity and dissimilarity defined.

4.7.2 Qualification Status

Any unit shall be considered to be qualified when the qualification data is accepted by the procuring agency as having met the qualification requirements. Regualification will be required if (1) design or construction changes in units are made which are judged by the procuring agency to make these units significantly different from the qualification test samples; or (2) the transportation and service history of the units indicates that previously qualified units are not capable of withstanding the service conditions and rendering adequate performance and reliability.

4.7.3 Test Procedure

The detailed test procedures shall be prepared by the vendor (4.2.1) with test levels being predicated on the service conditions of 3.4.3 and 3.4.4. Procuring agency reserves the right to review and approve/disapprove procedures prior to application for subject procurement. The environmental tests shall be preceded by the factory acceptance tests of 4.7 and shall be followed by factory acceptance test with the exception of the operability assurance tests of 4.8.3. The environmental tests shall include the following:

4.7.3.1 Non-Operating Service Conditions -

- a. Temperature: High, low cycling
- b. Pressure: High low
- c. Vibration
- d. Shock
- e. Humidity: High
- f. Chemical environment
- g. Decontamination and Sterilization

4.7.3.2 Operating Service Conditions -

- a. Temperature: High, low cycling
- b. Pressure: High, low
- c. Humidity: High
- d. Chemical environment

4.8 FACTORY ACCEPTANCE

Unless otherwise specified in the ordering data factory acceptance tests shall be performed on a 100% basis on all items of the PMS at the functional black box or unit level (e.g. CRT display unit) or at the system level at the vendor's option. If the tests are performed at the unit level, the vendor shall supply to the procuring agency analyses which establish that vendor imposed specifications at the unit level will assure satisfaction of the system level functional requirements of this specification.

4.8.1 Visual Examination

Each unit shall be inspected for workmanship and compliance with this specification and vendor's drawing.

4.8.2 Performance Tests

The specific performance tests to be accomplished shall be specified in the test procedure (4.2.1). These tests shall exercise the full capabilities of the PMS as specified in Section 3.0 and demonstrate compliance with each requirement. Recalibration during testing shall not be permitted except as previously defined in the test procedure to demonstrate the capabilities of internal calibration functions of the system. In addition to functional tests, the performance tests shall include quality tests such as hi-pot and insulation resistance where applicable.

4.8.3 Operability Assurance Tests

Operability assurance tests are reduced stress environmental tests intended to provide a measure of craftsmanship quality and to activate incipient failure modes so as to minimize failure due to transportation and handling and to minimize infant mortality failures during operation. When otherwise specified by the ordering data, operability assurance tests may be performed at the unit or system level as appropriate. The units shall be subjected to visual inspection and performance tests before and after operability assurance tests.

4.8.3.1 Vibration (non-operating) - The unit under test shall be mounted in a test fixture and shall be subjected to the following sinusoidal vibration levels once in each of three mutually perpendicular axis.

<u>Method I</u>	<u>Frequency (hz)</u>	<u>Amplitude</u>
	2-27	0.6g rms
	27-52	±.0009 inches
	52-500	2.5g rms

The frequency shall be swept from 2 to 500 hz and back to 2 hz at a rate of 8 octaves per minute (2 minutes per axis).

Method II

Frequency (hz)

Amplitude

10-55

+0.002 inches

The frequency shall be swept from 10 to 55 hz and back to 10 hz in 1 minute (2 minutes per axis).

4.8.3.2 Shock (Non-Operating) - The unit under test shall be placed on a horizontal bench top and pivoted on one edge of the base until the base makes an angle of 45 degrees with bench top or until the base edge opposite the pivoted edge is 2 inches above the bench top, whichever is less. The unit shall then be released and allowed to fall back to the bench top. This test shall be repeated using each edge of the base as the pivoted edge twice.

4.8.3.3 High Temperature (Operating) - The unit under test shall be energized at room temperature. The temperature shall then be raised to 100°F at a rate of not more than 2 degrees per minute and operated at 100°F for 8 hours. One shot cyclic equipment shall be actuated once per hour while at the elevated temperature. At the end of the 8 hour period, the unit under test shall be returned to room temperature through free convection.

4.9 OPERATIONAL DEMONSTRATION

The installed system at the procuring medical facility shall be demonstrated by the system contractor prior to final acceptance. These tests are intended to demonstrate that the assembled PMS does, in fact comply with the subsystem requirements as specified herein. The general philosophy to be adopted for these tests should be performance of rigorous tests to verify accuracy for nominal settings followed by an exercise of the full range subsystem capabilities using simulated patient signals and loads. However, the tests shall be of the complete integrated system including all applicable patient leads and transducers. Included in the demonstration test is a burn-in test to remove early failures. This burn-in test shall consist of both a 6-hour, 30-minute on-off cycle and a 48-hour continuous operation test.

4.10 SERVICE

The service tests of the PMS shall consist of the routine operation of the system. During the warranty period (9.2) routine calibration and replacement of expendable items shall be performed in accordance with the vendor's maintenance program (3.5.3). All other maintenance shall be performed by the vendor or his designated service agency. (Following the warranty period, the procuring agency may assume full responsibility for maintenance). Meticulous service records shall be maintained on each unit of each PMS and these records shall be assessed against the requirements of 3.4.6 and 3.4.7.

PREPARATION FOR DELIVERY / RECEIPT

5. PREPARATION FOR DELIVERY

5.1 PACKAGING AND PACKING

Each item of equipment shall be packed in accordance with commercial practice to insure carrier acceptance and safe delivery in containers complying with rules and regulations applicable to the mode of transportation.

5.2 MARKING

Shipping containers shall be marked in accordance with Fed-STD-123.

INSTALLATION & VALIDATION

6.0 INSTALLATION AND VALIDATION

6.1 SITE (FACILITY) PREPARATION

The system contractor shall prepare detailed facility requirements specification in accordance with 3.2.2 and shall retain responsibility for verification that the completed facility does in fact meet those specifications.

6.2 ON-SITE EQUIPMENT RECEIPT

The system contractor shall supervise the on-site delivery of major (hard to move) system elements, verifying correct location and package contents.

6.3 ON-SITE SETUP

The systems contractor shall unpack, inspect (and report discrepancies) and perform required mechanical set up and electrical interconnection. Interconnection to the facility prime power shall be performed in conjunction with appropriate customer personnel having cognizance and responsibility for the facility power system.

6.4 DEMONSTRATION

The system contractor shall perform an operational demonstration as specified in 4.9.

6.5 VALIDATION

The systems contractor will participate in analysis of system performance for a minimum of * patients or * operating days, whichever is attained first and will prepare and submit a system performance validation report.

* To be specified in ordering data

OPERATION, TRAINING AND MAINTENANCE

7.0 OPERATIONS - TRAINING - MAINTENANCE

7.1 OPERATIONS

The PMS will be operated by several different categories of personnel, each with specific medical and/or engineering skills, experience and attitudes. Use of the PMS must help them treat their patients, by providing accurate, timely data without requiring that their attention be diverted from the patient at critical moments. The newly emerging Monitoring Technician is medically trained but is also well attuned to the "machine" operation and the patient/machine/operator interfaces. The Bio-medical engineers and customer (hospital) electrical maintenance staff are oriented towards keeping the hardware operating to its original specification level. There is no clearly defined line of demarcation to separate the tasks in the operation of the PMS into these three categories.

Regardless of module configuration, there are five fundamental modes of operation

- Set up and calibrate for use
- Normal monitoring
- Contingency (system problem)
- Emergency (medical)
- Maintenance

Operating procedures can be classified as

- Patient (data acquisition or treatment) oriented and
- Machine or system oriented

These cannot be mutually exclusive

For systems using a central processor, a sixth operating mode exists for "Computer Program Development, Modification and Debugging". Which must be time-shareable with the regular operations and maintenance functions.

7.2 TRAINING

Operations in connection with the Training Program (3.5.1) shall equip all operators with the necessary knowledge and skills to operate the PMS for the maximum benefit to the patient, and shall cover ALL (Set-up, Normal, Contingency, Emergency, and Maintenance) Modes for ALL operators (Medical-Engineering-Maintenance) so that each appreciates the others job requirements as well as knowing his (her) own, thus enabling the nurses or doctors to describe, adequately, trouble symptoms or performance difficulties, and the maintenance personnel to maintain, at the required level, the performance of the system.

7.3 MAINTENANCE

The basic corrective maintenance philosophy shall be that the system provide sufficient self diagnostic capability to permit isolation of difficulty, to a rapidly replaceable module, by the normal operator. Self-diagnostic features shall be incorporated which will exercise routinely all portions of the system. Normal usage may not require use of all portions all of the time and trouble symptoms based on use obviously would not indicate troubles in the unused portions. Replacement of modules may be made by the normal operator from in-position spares. Further analysis of the trouble and appropriate repair or replacement will be performed off-line by the engineering/maintenance group charged with that responsibility. To this end an adequate stock of (on-site) spares is required.

A detailed Maintenance Program, including training of maintenance personnel, identification and provisioning of spares, assignment of repair responsibilities, scheduled Preventive Maintenance, and System Contractor support, will be prepared by the System Contractor. This program will include capacity for system upgrading via modification kits or their equivalent.

Required Special Service Tools and/or Test Equipment to maintain and repair the system will be identified and shall be provided to the organization charged with maintenance/repair responsibilities. Maintenance and repair of the Special Tools/Test Equipment will be provided by the System Contractor. Design and manufacture of such items will reflect appropriate portions of this specification as stated in the ordering data.

NOTES

9.0 NOTES

9.1 INTENDED USE

This specification shall be for procurement of medical instrumentation systems and sub-systems for areas using patient monitoring devices.

9.2 WARRANTY

The vendor shall warrant in writing that the equipment furnished will satisfy the requirements of this specification for a period of not less than one year from the operational demonstration. During the warranty period, the vendor shall be responsible at no cost to the procuring agency for all required service and maintenance except for scheduled replacement of expendable items as prescribed in the vendor prepared maintenance program (3.5.3). The vendor shall warrant the availability of expendables (or substitutes) and all necessary repairs throughout the ten year service life of the PMS.

9.3 REQUESTS FOR BID

The request for bid shall call out this specification and provide additional definition to describe the subsystem requirements for a specific procurement. The additional information defines the patient capacity of the PMS and the requesting agency's choices where this specification permits options. The following information shall be made a part of the requests for bid.

9.4 EQUIPMENT COMPLEMENT, CONFIGURATION AND OPTIONS

9.4.1 Request for Bid

The request for bid shall contain the functional equipment complement requirements, equipment configuration, and display and other options of the agency for the procurement.

9.4.1.1 Substitutions, Waivers or Extensions to Baseline System - Any deviation from the requirements of this specification desired by the requesting agency in the form of substitutions, waivers or extensions to the baseline system shall be specifically identified in the request for bid. Requests for deviations must be approved by the customer. Requests for deviations shall include justification of each deviation. Detailed justification of clinical need shall be provided for any extensions to the baseline system.

9.4.1.2 Equipment Enclosure Requirements - The requesting agency shall specify their requirements for independence of equipment enclosures for the shared monitoring and stimulus functions. The housing of shared equipment affects the operational flexibility of the functions. For example, if respiration rate and temperature monitoring functions are housed in a single enclosure, this may preclude simultaneous use of these functions on two different patients. In addition, any requirements for a console for the remote station shall be specified.

9.4.1.3 Special Marking Requirements - Any special marking requirements of the requesting agency shall be specified.

9.4.1.4 Transducer Types and Quantities - The requesting agency shall designate the types and quantities of transducers, patient leads and electrodes required for the specific PMS. In establishing quantities, consideration should be given to normal operations by the agency including factors such as sterilization of transducers between use on two patients and damage due to improper handling by hospital personnel.

9.4.1.5 Facility Drawings - Drawings covering details such as floor plans and bedside equipment and junction box mounting locations shall be provided to permit vendors to evaluate the cabling requirements.

9.4.1.6 Other Requirements - Requirements such as vendor training of user personnel may be included in the request for bid.

9.4.2 Bid Responses and/or Contracts

The bids in response to a request for bids or contracts shall call out this specification and provide additional definition to describe the subsystem to be supplied. The following information shall be made a part of the bid or contract.

9.4.2.1 Warranty - The proposed terms of the vendor's warranty shall be specified (see 9.2).

9.4.2.2 Specific Equipment Complement - The specific vendor's equipment shall be indicated including transducers, cables, enclosures and accessories by model number and quantity to satisfy the requirements of this specification as modified by approved deviations (see 9.4.2.4). Specifications for all equipment to permit evaluation of the vendor's proposed PMS shall be included. The vendor shall assume sole responsibility for the satisfaction of the requirements, and inclusion of the specific equipment complement in the contract shall in no way waive this responsibility in whole or part.

9.4.2.3 Deviations - All deviations from this specification (additions, deletions, or substitutions) shall be specified in the bid and/or contract. These deviations shall have been agreed to by the vendor and procuring agency prior to execution of the contract. The procuring agency shall be responsible for obtaining approval for all requested deviations. Requests for deviations shall include justification of each deviation. Detailed justification of clinical need shall be provided for any extensions to the baseline system. Deviations approved for the request for bids need not be re-approved for the procurement contract.

9.4.2.4 Spares and Expendables - Identify the spares and expendables to be supplied for one year of operation of the PMS. Short shelf life items shall be identified separately along with quantities to be delivered with the PMS and price and delivery schedule for re-orders, within one year of acceptance of the PMS by the procuring agency.

9.4.2.5 Special Service Tools and Test Equipment - All special service tools and test equipment required to maintain or repair the PMS shall be identified and indication made whether each identified item is to be supplied as part of the procurement of the PMS.

9.4.2.6 Qualification Basis - The basis for qualification of each type of item to be supplied shall be identified. Where the vendor proposes qualification on a basis other than environmental testing during the contract period, the bid response shall contain supporting technical data and discussion to permit evaluation by the procuring agency of the proposed qualification basis. (The contract shall identify the basis of qualification and the supporting technical documentation.)

9.4.2.7 Special Marking Requirements - Any special marking requirements of the procuring agency shall be specified.

9.4.2.8 Other Requirements - Requirements such as vendor training of user personnel may be included in the procurement contract.

9.5 ACCURACY

9.5.1 Tolerance Band

Where accuracies are stated in terms of plus or minus some number of units (e.g., $\pm 0.1^{\circ}\text{F}$; or ± 3 , -0%) this error tolerance band shall be considered to include 100% of all cases. The tolerance band shall include errors due to all sources. Typical error sources to be considered shall include, but not be limited to drift, scale factor, linearity, hysteresis, repeatability, quantizing errors and display or dial reading errors.

9.5.2 Reading Accuracy

Error contribution due to reading of an analog display or dial scale shall be considered to be plus or minus one-quarter the smallest scale division nearest the reading point, or plus or minus one-half the width of the display or dial indicator (e.g. needle, reticle, chart pen trace or electron beam) whichever is greater. For scales in which the ratio of the smallest scale division to the distance from indicator to scale is less than 1.5, reading error shall be regarded as being double the above unless parallax correction is provided.

9.5.3 Error Accumulation

Individual error contributions from several sources shall be algebraically summed.

9.6 INSTRUMENTATION INDEPENDENCE

Satisfaction of the detailed functional requirements of Section 3.2 does not require the use of independent instrumentation for each function. For example, if the instrumentation for pulse rate monitoring produces a pulse wave signal, this signal may be used for pulse wave form monitoring. (This option shall in no way relax the performance specification for each function.)

9.7 ABBREVIATIONS AND GLOSSARY

AC	Alternating current
bpm	Breaths per minute
BPM	Beats per minute
cm	Centimeters
CRT	Cathode Ray Tube
DC	Direct Current
Hz	Hertz (cycles per second)
ICU	Intensive Care Unit
Khz	Kilohertz
ma	Milliamperes
ua	Microamperes
MM	Millimeters
MM Hg	Millimeters of mercury
ms	(or msec) Milliseconds
ppm	Pulses per minute
rms	Root mean square

Accessible Metal Parts

Metal parts which could come into contact with the human body without the aid of a tool. They include metal parts which can be touched before and after removal of interchangeable parts. They do not include name plates and other small parts provided that they are electrically insulated from other live parts.

Accuracy

A degree of conformity to some recognized standard value, or more specifically, the deviation of a result obtained by a particular method from the true value.

Ambient Temperature

The temperature of the medium, such as air, water, or earth into which the heat of the equipment is dissipated.

Apparatus Power Terminals

Apparatus terminals to which connection is made to bring electrical power from the electricity supply to the equipment.

Auxiliary Equipment

Auxiliary equipment includes all optional and accessory equipment intended to be connected to electromedical apparatus, but not for direct connection to the patient. Examples: strip-chart recorders, remote meters and displays, oscilloscopes and central monitoring consoles.

Common Mode Rejection

The ability of a differential amplifier to reject common signals. The common mode rejection ratio is defined as:

$$\text{CM rej} = \frac{\text{gain of amplifier to difference signal}}{\text{gain of amplifier to common signal}}$$

Deflection Sensitivity

Ratio of oscilloscope beam deflection to ECG amplifier input signal. Usually stated as millimeters of deflection per millivolt input.

Continuous Operation

Operation for a time sufficiently long for temperature equilibrium to be reached in constant ambient conditions.

Danger

"Danger" includes: electric shock, thermal or electrical burns, mechanical or chemical injury, combustion or explosion, or generation of toxic gas, injuring or affecting the patient, intermediary, or operator.

Electrical Power Source Terminals

Electricity supply terminals from which electrical power is derived for connection to the equipment.

Electrical Supply

Electrical energy supplied by the wiring system of the premises, by batteries, or by other primary source of electrical energy.

Electromedical Apparatus

Any instrument, equipment, system, or device that directly or indirectly uses electricity for any medical purpose. Also included are all parts that are connected to such equipment, and all additional apparatus which is to be connected to the equipment and which is requisite for the normal use of the equipment, including the associated leads or cables.

Exposed (as applied to live parts)

The live part can be touched or approached nearer than a safe distance by a person. Exposure resulting from removal of interchangeable parts is included.

External Electrical Terminals

Terminals for external electrical connection of the apparatus, e.g., input and output connections.

Frequency Response

A measure of uniformity of amplitude of the oscilloscope or direct writer indication as a constant amplitude sinusoidal signal of varying frequency is applied to the monitoring amplifier input terminals.

Gain

The ratio of the amplitude of the output to the input, usually expressed in millimeter/millivolt for a direct-writer, and volts/volt for an amplifier or preamplifier.

Grave Danger

"Grave Danger" exists when there is a high probability that the failure of a protective feature will result in serious injury or death.

Grounding Terminal

The point within the electromedical apparatus at which the grounding conductor from the Apparatus Power Terminals is electrically connected to the apparatus metallic chassis or case.

Impedance

The total opposition to flow of an alternating current in a circuit. Analogous to the actual electrical resistance to a direct current, the impedance is the ratio of the effective voltage to the effective current. In addition to actual resistance, impedance includes reactance which results from capacitative or inductive properties of the circuit.

Input

1) The energy (signal) put into an electronic device. 2) The terminal or terminals for the inputs signal of such a device, and 3) The part of the electronic circuit immediately adjacent to the input terminals.

Insulation, Double

Insulation comprising both functional insulation and supplementary insulation.

Insulation, Functional

Insulation necessary for the proper functioning of equipment and for basic protection against electric shock.

Insulation, Protective; (supplementary insulation)

Independent insulation provided in addition to the functional insulation in order to insure protection against electric shock in the case of failure of the functional insulation.

Insulation, Reinforced

Insulation, with electrical and mechanical qualities beyond the requirements of functional insulation, intended to reduce the probability of failure and hence the need for double insulation.

Intermediary

Any person present in the area of the patient, including: doctors, nurses, assistants, equipment operators and technicians.

Leakage Current

An undesired flow of electricity through or across insulators that are used to separate electrical conductors; including both real and reactive phased components.

Linearity

Linearity provides a measure of the nonlinear distortion in an instrument. Since nonlinear distortions may enter in a number of ways and result in peculiar effects, the linearity specification may be written in several ways. Commonly it deals with the departure from a sinusoidal wave shape for a sinusoidal input. The characteristics of the writing device pose a particular problem in establishing specifications for direct-writers. Linearity as used in this report refers to zero-based linearity. That is, a graphic plot of output voltage (or deflection in the case of direct-writers) versus input voltage will yield a series of points. If a straight line is drawn which passes through the origin, the deviation of any of the actual points from this straight line is a measure of the nonlinearity of the system.

Live Parts

Parts that are electrically connected to a source of potential difference, or electrically charged so as to have a potential difference from that of the earth. (Derived from NBS Handbook 81)

Measurement Current

Any current that is intentionally applied for any nontherapeutic purpose. Examples: currents applied to measure body electrical impedance during impedance pneumography, impedance plethysmography or rheoencephalography; or currents applied to determine the connection or contact resistance of patient electrodes. Such currents may include high frequency, sinusoidal carrier, square waves, or direct current.

Noise

Noise refers to unwanted "signal" introduced from other sources, including the sensor electronics circuit itself. An example is 60 cycle alternating current "interference".

Output

1) The power, energy, signal or information delivered from an electronic device after conversion of the input into another form or modification (such as amplification). 2) The terminals or terminal of an amplifier for delivery of the output, and 3) That part of the electronic circuit immediately adjacent to the output terminals.

Patient Circuit

An electrical circuit of the apparatus that is connected to the body of the patient.

Patient Electrode

An object in contact with the human body and used to conduct electrical energy or signals into or out of the body.

Rated Current

The maximum current drawn by the apparatus from the electricity supply in any normal mode of operation as specified by the manufacturer.

Rated Supply Frequency

The supply frequency specified by the manufacturer for the apparatus (usually 60 Hz within the United States).

Rated Supply Voltage

The supply voltage (for three-phase supply the line-to-line voltage) for which the manufacturer has designed the apparatus.

Risk Current Individual Apparatus

Individual apparatus risk current is any non-therapeutic current that may flow through the patient, medical staff, or bystander as a result of the use of an individual electromedical apparatus. Excluded are currents used for therapeutic purposes. Examples of risk currents include: leakage currents, surge currents, fault currents, or measurement currents.

Risk Current Composite

The composite risk current is the total current that may flow through the patient, medical staff, or bystander; that is, the sum of the individual apparatus risk currents of all the apparatus in use.

Signal-to-noise Ratio

The ratio of an appropriate parameter characterizing the amplitude of the wanted signal to a similar parameter of the unwanted noise.

Therapeutic Current

Therapeutic currents are those currents whose characteristics are known and under the control of medical personnel, which are intentionally applied for intended physiological benefit.

Trace Stability

Deviation in position of the oscilloscope trace from its preset value with time (or ambient temperature). Stated for a no-signal condition at the amplifier input and should be distinguished from "Trace Wander" due to very low frequency components when an input signal is present at the amplifier.

Ungrounded (floating)

Not electrically connected to earth or to any conducting body serving in place of earth.

SECTION VII
PROGRAM PLANS

CONTENTS

1.0	SCOPE
2.0	OBJECTIVES
3.0	APPROACH
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4.3	MANUFACTURING
4.4	QUALITY CONTROL
4.5	INTEGRATED TEST PLAN
4.6	OPERATIONS PLAN
4.7	MANAGEMENT PLAN
5.0	R&D TASKS
5.1	DESCRIPTIONS
6.0	SUMMARY

1.0 SCOPE

This Program Plan in conjunction with the supplement delineates all of the tasks, their sub tasks, and schedules required to implement the specified patient monitoring system to its full operational status.

Similarly detailed information is also provided for the performance of the recommended R&D tasks, as well as an overall schedule which integrates the appropriate R&D tasks into the system implementation schedule.

2.0 OBJECTIVES

1. Describe the approach to be taken in the overall implementation of the system, and the integration of appropriate R&D tasks.
2. Identify all program tasks required to fully implement the specified patient monitoring system.
3. Delineate the recommended R&D tasks.

3.0 APPROACH

The Program Plan has been categorized into two major areas - the first part is concerned with the System Implementation and its associated tasks, while the other delineates in detail the Recommended R&D tasks. Within the System Implementation section, the major tasks are identified for each function encountered in the systematic build up of the system.

SYSTEM IMPLEMENTATION

4.1 REQUIREMENTS

It will be necessary to determine the detailed requirements of the specific application for which the system will be implemented. The assessment of the specific requirements will be coupled with the preliminary systems specification and will result in the generation of a design specification which will be the basis for design and procurement efforts.

The requirements phase will consist of the following tasks:

- 1) Perform detailed systems requirements analysis of specific user's requirements**
 - a) immediate needs**
 - b) growth requirements**
 - c) use of existing equipment**
 - d) facility requirements**
 - e) special design requirements**
 - f) personnel requirements**
- 2) Perform trade-off evaluations relative to user's specific requirements.**
- 3) Generate design specification.**

4.2 DESIGN

Specifications

The formal source for the system engineering direction for the design effort will be the Design Specification.

Design Tasks

Systems Engineering shall maintain control of the technical integration of design efforts to assure the timely completion of design tasks and technical design compatibility. The basic tasks in the design area are as follows:

- 1) Perform system design
- 2) Design of subsystems
 - sensors
 - signal conditioning
 - data processing
 - display
 - control
 - software
 - data transmission
 - special support subsystems, e.g., urine collection
- 3) Generate subsystem and system interface specifications as required.
- 4) Conduct preliminary and final design reviews.
- 5) Generate drawings and procurement documentation.
- 6) Generate a Facility Specification.

4.3 MANUFACTURING

The manufacturing effort will consist of fabrication of the selected "make" items and subsequent assembly of the make and buy items. The major manufacturing tasks are outlined as follows:

- 1) Establish a Make or Buy list which will include potential vendors.
- 2) Generate a Build Plan which encompasses both procured and make items and will include a fabrication and assembly flow chart.
- 3) Generate the necessary material request forms (purchase orders).
- 4) Implement production and control plan.

4.4 QUALITY CONTROL

Quality Control will be responsible for quality assurance of both the make and buy items, and during the system assembly. Specific tasks include:

- 1) Implement Quality Assurance Provisions
 - vendors
 - incoming inspection
 - in-process inspection
 - final inspection
- 2) Establish and maintain configuration control.

4.5 INTEGRATED TEST PLAN

The Integrated Test Plan will be a composite delineation of all tests to be performed during the implementation of the patient monitoring system. It will provide a systematic approach to the various levels of testing required to verify the design and fabrication/assembly of the system and subsystems. This approach is intended to provide the minimum number of tests in the most effective manner to assure validation of design integrity with respect to functional performance, safety and reliability.

This plan also requires each major vendor, e. g., computer subsystem, to submit for approval a test plan for the subsystem being supplied. The Integrated Test Plan will include the following:

- 1) test schedules
- 2) test flow plans
- 3) development tests
- 4) component/subsystem tests
- 5) vendor tests
- 6) system test
- 7) installation verification test

4.6 OPERATIONS PLAN

The Operations Plan will contain detailed task descriptions and schedules concerning the following operational aspects:

- 1) installation
 - facility preparation
 - installation
 - verification
 - schedule
- 2) training of user personnel
 - implementation of training plan
 - schedule
- 3) maintenance plan
 - full contract
 - emergency contract
 - spares provisioning

4.7 MANAGEMENT PLAN

This Management Plan

- 1) Organization
- 2) Program Management
- 3) Manpower Requirements
- 4) Estimated Costs
- 5) Schedule

R&D TASKS

RECOMMENDED R&D TASK DESCRIPTIONS AND SCHEDULES

During the course of contract performance, certain areas became apparent that could be enhanced by the systematic application of scientific, engineering, and operational research and development skills.

The development of the recommendations for supplemental task effort occurred in three phases. The first phase involved the categorical listing and brief over-view of each of the Biosatellite products and technologies. Against each category was recorded a "shopping list" of possible applications of the Biosatellite products and technologies to the medical field-more specifically that of patient monitoring. In defining these potential applications, information discussions related to several potential task categories were held with members of the advisory committee. A formal presentation and discussion of the completely developed list was then conducted at the second progress report session in Philadelphia. The next phase involved the incorporation of the advisory committee's recommendations which resulted in a modified task list. Additional refinement of the list was made by eliminating those areas which did not have unique attributes and/or were not already commercially available. For each item of the modified list, a detailed explanation was developed along with estimates of schedule requirements (elapsed time). The R&D tasks were included for review by the advisory committee in the third progress report.

After the Third Progress report was published and reviewed the third phase of development of the R&D tasks was begun. The tasks received the changes as recommended by the advisory committee. Estimated schedules and costs were made that would be required in order to complete the tasks as defined. This data was then included in the Final Report.

Seventeen areas are identified for the application of bio-science, bio-satellite, and/or aerospace technologies to patient monitoring. These are summarized as follows:

Biosatellite and Other Aerospace Technology

Application

- | | |
|--|---|
| 1. Aerospace experience in computer sciences. | Combined Patient Monitoring System. |
| 2. Systems analysis and specification production in Biosatellite. | Evaluation of significant parameter of existing medical equipment. |
| 3. Telemetry of physiological parameters. | Patient monitoring using telemetry techniques. |
| 4. Aerospace experience in computer analysis of complex waveforms. | Computer analysis techniques for ECG wave shape analysis, arrhythmia detection and classification, etc. |
| 5. Aerospace experience in techniques for non-destructive testing. | Respiratory analysis that does not interfere with the patient. |

Biosatellite and Other Aerospace Technology

Application

- | | | |
|-----|--|---|
| 6. | Production of systems requirements in Biosatellite. | Standard amplifiers and signal conditioners requirements specification. |
| 7. | Systems engineering. High reliability parts, parts/materials standards, and extensive development and test techniques. | Narrow band video systems for remote patient monitoring, consultation and diagnosis. |
| 8. | Aerospace experience in techniques for non-destructive testing. | Non-invasive techniques for patient monitoring. |
| 9. | Systems engineering. High reliability parts, parts and materials standards. | Sensor connector standardization. |
| 10. | Primate heparin system technology in Biosatellite, pneumatic and fluid. | Positive control of IV fluid dispensing. |
| 11. | Production of systems requirements in Biosatellite. | Sensor materials and attachment requirements study. |
| 12. | Systems engineering in aerospace data handling and measurement systems. | Standard measurements lists; standard derivations, terminology, etc., for patient monitoring. |
| 13. | Biosatellite urine-analyzer. | Evaluation and development of automated urinalysis techniques. |
| 14. | Phenol analysis of Biosatellite water supply. | Analysis of phenolic compounds (natural products, drugs, drug metabolites) of clinical interest. |
| 15. | Day/night photographic surveillance of primates. | Day/night monitoring of patients requiring real-time or near-real-time unobstrusive surveillance. |
| 16. | Primate feces collection and storage unit with gas management assembly. | Odor controlled waste collection system for colostomy and ileostomy patients requiring surgical appliances. |
| 17. | Biosatellite urine transport system. | Urine collection and measurement. (Ref. CCN to Contract AASW 2073 - Proposal F32012) |

The following paragraphs explain in more detail what the recommendations involve. More business oriented information can be obtained on these R&D tasks in the Supplement to this report.

I. COMBINED PATIENT MONITORING SYSTEM

1. Introduction

During the survey of the hospitals participating in this study, three computer program systems, developed under grants, for handling patient monitoring applications were studied. It was found that each program system was advanced in the areas for which it was designed. The design areas in the majority of the instances were different. The differences were medically compatible with each other. That is, one contained pulmonary measurements, where another contained screening routines, and where still another contained infusion techniques, etc. Also, the programs were written on two different types of computers, the CDC 3200/3300 and the IBM 1800. Additionally, the programs were written in different languages; FORTRAN and assembly (for the CDC and the IBM). Thus, what is now in existence is three program systems for patient monitoring applications that are specialized for different but compatible areas of patient monitoring, are written in different programming languages, are written for different equipment configurations, and different types of machines.

2. Recommended Program

A uniquely powerful patient monitoring program system could be created from these existing programs. This could be done by combining the three programs into one. The specialized, highly developed functions unique to each program would be lifted out and integrated into one overall system. System optimization would be engineered. Redundant, overlapping functions would be eliminated. Routines that perform essentially the same function would be evaluated. The most efficient of these routines could be used. Also, routines which are of specialized use to research institutes but not of general application to clinics and hospitals would not be included.

II. EVALUATION OF SIGNIFICANT PARAMETERS OF EXISTING MEDICAL EQUIPMENT

1. Introduction

The evaluation of significant parameters relates to product effectiveness technology which provides an overall measure of the degree to which a product or system achieves a specified value criterion. This technology considers not only performance, but also reliability, safety, maintainability, and availability. The application of this technology permits an objective trade-off of these parameters versus relative cost. As applied in the health care environment, this technology would permit the hospital or other health care facility to predetermine the relative merits of competing products or systems. These evaluations then form the basis for health care management decisions relative to product and/or system procurement.

2. Product

It is recommended that two products be made as a result of this research and development task. The first end product would be a technology utilization manual which will enable health care system management to apply the effectiveness technology as a tool for making management decisions for the acquisition of products and/or systems. The second end product should be a separate appendix to the technology utilization manual which would compare the specific products and systems selected for the technology demonstration in the study. The latter manual can serve as an immediate guide to hospital management in the selection of currently available products and systems.

III. PATIENT MONITORING USING TELEMETRY TECHNIQUES

1. Explanation of the Technology

Small, light transducer-transmitter units are needed to monitor physiological parameters during unrestricted normal activity or during rehabilitating exercise. They free the patient from lead connections, ensure safety from dangerous electrical currents and enhance comfort for the ambulatory patient. The use of telemetry in monitoring physiological data has widespread application and opens up new and lifesaving possibilities in modern health and emergency services. Some of the applications are:

A. In Hospitals

1. For ambulatory patients
2. In surgery (NASA SP-5023, p. 11)
3. In intensive care units (NASA SP-5023, p. 5)
4. In the rehabilitation unit

B. For Out Patients

1. For patients during recovery from disease
2. For patients having diseases in a dormant state, but who need advanced warning of acute attacks (cardiac problems, epilepsy, diabetes)
3. For patients under diagnostic observation

C. Emergency Cases

1. At the place of the event
2. During transit in the ambulance

2. End Products

The end products of this application involves two separate aspects leading to broad applications in patient monitoring:

- A. Microminiature sensor-transmitter signal conditioning packages which can be implanted or strapped to patients. Here, the blood pressure sensor and improved EKG sensor are probably most important from the monitoring point of view.
- B. The design of automated data handling techniques which will sift the data gathered from important signs (abnormalities) and then send warnings, etc.

3. Recommended Program

An initial program is recommended to define candidate system which are to be developed for the monitoring of physiological parameters within and outside of the hospital environment by telemetry techniques.

IV. ANALYSIS TECHNIQUES FOR ECG, ARRHYTHMIA DETECTION AND CLASSIFICATION

1. Explanation of the Technology

Several computer programs are available which permit, to some degree, automated analysis of ECG and the detection and classification of arrhythmia. However, the various signal leads show considerable variation between different patients which makes the application of automatic methods extremely difficult. Variations in lead configuration, analytical programs and monitor hardware exist.

2. End Product

The end product will be a combined, universally applicable, ECG monitor and analysis program, definition of recommendations for an improved method to detect the depolarization and polarization phenomena throughout the heart wall, and analysis of optical analyzer to characterize the polarization signals through their Fourier spectrum.

3. Recommended Program

It is recommended that a survey and analysis be carried out of all existing schemes which are presently being used to analyze ECG/VCG or to detect and classify arrhythmias. Requirements will be defined from the analysis of information obtained from selected medical centers and institutes and from analysis of existing software techniques. Recommendations for meeting these requirements will be made by outlining one or several approaches using advanced technology. Subsequent activity will include development of an integrated software program and application of new hardware techniques.

V. RESPIRATORY ANALYSIS

1. Explanation of Technology

The pulmonary system is presently the subject of intense interest due, in part, to the exploration of hostile environments and the increasing incidence of primary pulmonary diseases.

New approaches are needed for the rapid screening of the population for evidence of pulmonary disease, the diagnosis of specific diseases, and the monitoring of pulmonary patients.

2. End Product

A report of the state-of-the-art of respiratory monitor equipment and techniques including evaluation of existing (in-use or developmental) approaches and recommendation for design and/or modification of an optimized system resulting from requirements defined during this effort.

VI. STANDARD AMPLIFIERS AND SIGNAL CONDITIONERS REQUIREMENTS SPECIFICATION

1. Introduction

Investigate availability and capabilities of standard amplifiers - signal conditioners, i.e., modules with selectable input/output impedance, gain change, etc., such that they are adaptable and interchangeable as well as cost effective. Generate requirements for desirable units.

2. Program Plan

The work tasks for completing this project involves the surveying of equipment in use as well as evaluating that equipment. The manufacturers of the equipment must be contacted and determine the equipment that is either in design or is already available. A preliminary specification will have to be generated to define the requirements. All this effort should then be reviewed and discussed at this point. The results from this review will be used to update the specification and would then be issued to industry for comment. Applicable comments from industry would then be screened and used to update the specification. An equipment listing and a vendor listing would be then generated. Periodic and a final report should also be issued.

VII. NARROW BAND VIDEO SYSTEMS FOR REMOTE PATIENT MONITORING, CONSULTATION, AND DIAGNOSIS

1. Introduction

It has been generally accepted that video systems can play a basic part in the health field for both remote observation of patients and for access to diagnostic measurements, tables and files of symptomology when consultation and personal presence is not available.

The systems should be adaptable so that consultants in one field can consult with specialists in allied fields, or in the same field to give support to their diagnoses.

Normal video signals require a costly and semipermanent installation wideband transmission media of the order of 4-8 MHz bandwidth, such as a coaxial cable or microwave circuit. There is thus an unmet design requirement that video be transmitted over inexpensive telephone circuits and to provide a close man-machine relationship.

2. Recommended Task

It is recommended that a technical and clinical evaluation be held to identify a practical cost-effective, narrow band video system for the health field within the current state-of-the-art, and to establish subjective signal-to-noise and resolution standards for such a system.

Selected equipment will enable a comparison to be made between the performance of:

- a. Slow scan camera versus storage tube transmission, and
- b. Storage tube versus magnetic disc scan conversion.

The evaluation should not however be limited to the compatibility above described; any other equipment identified as being applicable would be evaluated by mutual agreement.

VIII. NON-INVASIVE TECHNIQUES FOR PATIENT MONITORING

1. Explanation of the Technology

There is a great need for non-invasive techniques to measure many physiological parameters. Present techniques to obtain a direct measurement or indirect physiological parameters from which the parameter of interest can be derived frequently require catheterization, arterial cut down and other invasive procedures. These measurements, therefore, require high skills and produce discomfort to the patient who frequently must be immobilized. Additionally, problems of blood clotting, interface leakages and infection are encountered.

There are a number of physical methods which are basically non-invasive and do not require catheterization or the injection of contrast materials.

The use of these methods to measure some of the physiological parameters is complicated by the difficulty of controlling artifacts which are introduced by the remoteness of the measurement and the external environment. In addition, remoteness permits physiological noise to be collected which must be dealt with so that the wanted signal can be interpreted.

2. End Product

The product of this program will be an exhaustive analysis of non-invasive techniques presently under consideration or in use in hospitals or medical centers, with recommendation and suggestions on how to solve some of the inherent difficulties associated with these methods. Follow-on activity would include design, breadboard and test of candidate systems leading to demonstration prototypes for clinical evaluation.

3. Recommended Program

Institute medical center contact and survey. Establish requirements and determine the efficacy and problems associated with equipment in use.

Analyze the commonality of problems associated with existing equipment and other ideas presently under consideration.

Prepare suggestions and recommendations for their solution. Proceed with hardware development of selected devices and techniques as a follow-on phase to this task.

IX. SENSOR CONNECTOR STANDARDIZATION

1. Explanation of Technology

There are a multitude of physiologic sensor connectors marketed today of various types and configurations. The dissimilarity of connectors presents difficulties in application of various sensor leads and monitoring equipment. Physicians have preferences for sensors, cables and monitor equipment which often are incompatible from the connector aspect. Additionally, equipment available at the medical center (existing or newly purchased) often must be modified to enable interconnection in a desired configuration.

2. End Products

The end product of this effort would include a Medical Systems Connector Standard, optimized connector designs and a recommended source tabulation including connector description, application and qualified vendors.

3. Recommended Program

Initiate those tasks leading to the issue of a standards document to industry. As a result of this task, additional effort may be undertaken to evaluate industry response, test responsive products and issue approved source lists.

X. POSITIVE CONTROL OF IV FLUID DISPENSING

1. Introduction

Investigate and demonstrate non-gravity techniques of IV fluid dispensing - to avoid holding or hanging of bottles and bags, etc. and develop a better means for controlled infusion. One system recently developed by a southern university in response to needs of medical profession uses plastic bags compressed by negator springs. An interesting alternate is a double bag with a gas cartridge used to inflate the outer bag to squeeze the inner bag's contents out.

2. Program Plan

The work items involved in order to accomplish the program for investigating non-gravity techniques of IV fluid dispensing involves the evaluation of existing alternate means. This would be followed by evaluating the need vs approximate costs and the accompanying trade-off. The requirements would have to be defined followed by the fabrication and testing of a prototype. The system should be then reviewed and critiqued. A detailed specification would then be issued which contains the findings above, plus the building of a demonstration unit.

XI. SENSOR MATERIALS AND ATTACHMENT REQUIREMENTS STUDY

1. Introduction

Investigate, develop and document requirements for sensor materials and attachment (sensor - patient, interface) techniques with intent of promulgating standardization and providing producibility effort to expedite availability of "production" items.

Determine optimum size, shape and materials for various sensors (evaluate multitude now available and proposed items).

Define, develop and document attachment requirements and existing techniques for long term, short term, disabled, ambulatory and exercise type applications.

2. Recommended Program

The work tasks involved in executing the above will involve a number of tasks stated in the following: Surveys of utilization and manufacturer should be made. The definition of requirements should be developed with an accompanying task review and discussion. From this the requirements document would be updated. A test prototype system should then be fabricated. This system should then be tested and documented.

XII. STANDARD MEASUREMENTS LISTS, STANDARD DERIVATIONS, TERMINOLOGY, ETC., FOR PATIENT MONITORING

1. Introduction

Accomplish the documentation (and/or development) of Measurement Lists, Standard Derivations, Terminology, etc., including dimensions and "conditions" under which they are valid for application to patient monitoring data processing and handling.

For example there are about nine formulas for determining body volume from weight and height (body volume is used for example in determination of cardiac index) - the hardware and software designers need be told which formula is required to be used for each particular calculation.

2. Program Plan

The work tasks for executing the project are as follows:

- review data derivations (via tests, advisory committee, consulting doctors)
- expand patient monitoring system measurement list definition for derivations
- delineate measurement methodology - computational methodologies and variations
- detail evaluation of sample rates, accuracies, etc., for each patient monitoring system application
- update measurement list for specifications per (4)
- provide initial data processing and handling specification criteria
- periodic reports
- final report

XIII. A STUDY TASK LEADING TO EVALUATION OF THE PACE/RHO AUTOMATIC URINE ANALYSIS EQUIPMENT FOR CLINICAL APPLICATION

1. Introduction

The Pace/Rho system was designed to provide real time analysis of urinary calcium, creatine and creatinine during the 30 day Mission of the Biosatellite.

Based on the compactness of this unit and its flight proven reliability, it would be of interest to investigate application of the automated Pace/Rho urine analysis techniques to analyses of clinical interest. This would include those normally accomplished on a "routine" basis in clinical chemistry laboratories as well as specialized analyses required for research activities. Initial emphasis would be placed on urine analysis but may later be extended to include blood serum analysis.

2. Preliminary Studies and Goals

An initial program would include survey and evaluation of requirements for manual and automatic urine analysis. Emphasis would be placed on comparing specifications of available manual instrumentation and evaluation of adopting and integrating that methodology identifying specific tests and techniques which appear amendable to the automated Pace/Rho approach. Application of membrane technology, toxicity studies, electrode separation techniques and related methods will be evaluated in conjunction with the automated colorimetric techniques employed in the Biosatellite system for application to a urinalysis system for patient monitoring. Blood serum analysis capabilities will also be evaluated.

XIV. ANALYSIS OF PHENOLIC COMPOUNDS OF CLINICAL INTEREST

1. Introduction

NASA specifications for fuel cell derived potable water in the Biosatellite Program called for the total phenol concentration not to exceed one (1.0) part per billion (ppb). Methods of analysis available, lacked accuracy and precision, or were too time consuming to insure a completed analysis within 30 minutes of launch.

For this reason it was considered essential to develop methodology which would permit analysis with a high degree of confidence at the 1.0 ppb level within the allotted time limit.

The proposed study will consider application of the Biosatellite method of phenol analysis or modifications thereof, to the analysis of phenolic compounds found in body fluids as urine, feces, sputum, blood serum and gastric washings.

New or improved methods of analysis would be of interest to clinical biochemists, physiologists, pharmacologists, pathologists, toxicologists and others concerned with the fate and distribution of phenolic compounds in biological materials.

A report delineating phenolic compounds including those found in natural products, drugs and drug metabolites for which analytical methodology may be significantly improved, and recommendations of advanced techniques applicable to these analyses.

2. Recommended Program

An extensive evaluation is required to identify phenolic compounds of clinical interest for which suitable analytical methodology is not available. Based upon the results of this study recommendations will be made to develop analytical techniques for the analysis of specific naturally occurring phenols; phenolic pharmaceuticals and their metabolites.

XV. DAY-NIGHT PHOTOGRAPHY

1. Explanation of Technology

Behavioral studies of the Primate Mission required black and white still and cine mode photography of the animal subject during both day and night periods while maintaining the day to night lighting intensity ratio at greater than 30:1 in the visible spectrum to maintain circadian response.

Plus X Pan-film was employed which has a dynamic range of only 8:1 with incandescent lighting, and therefore required two different exposure settings to obtain both day and night photographs if unfiltered incandescent lighting were employed. Because variable exposure represented major modification to the camera and to the electronic control components, a means was sought to provide high resolution day and night photography without such changes.

The system developed took advantage of the difference in spectral response of the film and the primates' eye. Because about one third of the film's response is in the visible range and two-thirds in the near infrared, the night light was filtered with a Wratten 70 (deep red) filter while the day light remained unfiltered. The resulting night light had a visible energy content $1/30$ to $1/125$ of that of the day light while maintaining a film-responsive energy content $1/2$ to $1/8$ that of the day light, or within the latitude of the film. Consequently, a single camera setting could then accommodate both day and night lighting conditions.

The influence of the white/red day/night lighting system on circadian response was tested at the Ames Research Center, using both chickens and primates. Normal circadian rhythms were reported.

2. End Products

A report shall be supplied detailing the requirements and application of an automated camera-lighting system for medical use. Also, the report shall include conceptual designs on economical lighting and photography systems for automated time-lapse monitoring of intensive care patients, psychiatric patients, or significant subjects in operating rooms.

3. Recommended Program

Investigate the potential usefulness of day-night photographic techniques for monitoring different types of patients, e.g., psychiatric, pediatric etc., who require continuous or intermittent surveillance. This would include application of state of the art time-lapse or continuous cinema-photography with closed circuit (CCTV) options. Analyze and define camera control techniques based on physiologic parameter status.

An initial program would call for an applications evaluation to determine the requirements of the medical profession for such a system. Based upon the outcome of this study, recommendations would be made to design and fabricate a prototype system which would be evaluated clinically.

XVI. ODOR CONTROLLED WASTE COLLECTION SYSTEM FOR COLOSTOMY AND ILEOSTOMY PATIENTS REQUIRING SURGICAL APPLIANCES (FECES COLLECTION)

1. Introduction

A Primate Feces Collection and Storage Assembly was developed for the Biosatellite Program to provide retention of primate feces (liquid and solid) for periods in excess of 30 days.

Liquids and solids accumulating during this period were retained by a filter in the container wall while permitting gasses to flow through a bacterial filter into a Gas Management Assembly system equipped with a charcoal scrubber. Recirculation of air in this system provided continuous removal of primate flatus and odors emanating from waste materials.

It would be of considerable interest to apply this technology, or modifications thereof, to the development of an advanced odor controlled waste collection system suitable for colostomy and ileostomy patients.

2. Suggestions for Producing an End Product

- 1) Optimize design of gas scrubbing sub-systems and breadboard
- 2) Optimize materials for appliance fabrication
- 3) Optimize appliance and seal design
- 4) Breadboard system and test
- 5) Prototype fabrication
- 6) 6-9 month clinical trials

XVII. DEVELOPMENT OF AN AUTOMATED URINE COLLECTION AND MEASUREMENT SYSTEM

1. Technology Description

Urine collection and measurement was accomplished on the Biosatellite Primate Mission to provide urine for analysis (See XIV) and to maintain the cabin habitable for the primate. This task was accomplished with a 100 milliliter capacity collector, a peristaltic pump and a metering valve (10 cc), see Biosatellite Technology Summary. It is proposed that a prototype system, based on this technology, be developed and clinically demonstrated.

2. Recommended Program

Early verification of the applicability of the biosatellite type system may be demonstrated utilizing available biosatellite hardware. Conversion of the equipment design to satisfy clinical application and remove aerospace constraints would be followed by fabrication, installation and checkout of a prototype operational unit in a receptive hospital (approximately four months after contractual authority to proceed). Technical liaison, data evaluation and reporting in support of the hospital staff would be accomplished over a three month period.

Further technical, scheduling, and costing details are in the CCN to Contract AASW 2073-Proposal F32012.

Preliminary Design of PMS

The work performed on the study contract has resulted in the evolution of a conceptual design for a patient monitoring system. The concept utilizes a modular approach, and marries advanced state-of-the-art medical techniques to available low-cost high-performance minicomputers. The result is a system which will have wide applicability to a variety of hospitals by fulfilling a multiplicity of needs with the same basic equipment.

To realize the full benefit of the study effort, it is recommended that the conceptual design be further developed and refined to evolve a hardware and software oriented design which can then be implemented. This detailed design work is further delineated by the following tasks:

Task Descriptions -

1. Review conceptual design and iterate requirements. Factor in results of consultations with the study contract advisory board, and the reactions of hospitals who would be potential users of the system. Finalize measurement parameters and modular breakdowns.
2. Perform trade-offs in significant areas, including computation, data transmission, display techniques, sampling rates, blood and urine analysis techniques. Select approaches for system implementation, considering overall cost efficiency, patient usage, medical acceptance. A typical significant trade-off is a comparison of the use of minicomputers with associated software for local data processing, versus the more simplified approach of hardware logic to perform the same function. In this trade-off example, local processing functional requirements will be identified. Both the minicomputer and hardware logic techniques will be used to provide comparative solutions to the requirements. The solution will be compared on the basis of cost, flexibility, and performance.
3. Review available hardware and software to establish the state-of-the-art. Select hardware approaches and software programs and algorithms to implement the functions required. Factor in the results of current or planned R&D activities to ensure the current and future timeliness of the system, including growth potential.
4. Perform breadboard and computer simulation tests as necessary to validate the selection of hardware and software techniques. Iterate the design based on the results of these tests.
5. Generate system documentation, including equipment specifications, software specifications, interconnection diagrams, block diagrams, timing and logic diagrams where appropriate, manufacturing and test plans.
6. Generate an estimate of costs to build, install and test the system, based on quotations from vendors and estimates by the system contractor.

SECTION VIII
APPENDIX

A • TRIP REPORTS

B • BIBLIOGRAPHY

A - TRIP REPORTS

T R I P R E P O R T

OBJECT OF VISIT: CONDUCT A SURVEY OF PATIENT MONITORING SYSTEMS

REPORT BY: Blair C. Burgess ✓

DATE OF TRIP: 6/25/70

RECEIVED
AUG 5 1970
REPORT DATE 7/31/70
D. C. BIRKHEAD

VISIT TO: University of Alabama

Medical Center

Department of Surgery

Messrs. Lou Sheppard and Jack Acton

* REVISED 8/12/70

ACCOMPANIED BY: Dr. DuBois, NIH (HEW)

Dr. D. Fox, NASA

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Dr. N. Birkhead, GE/R&E

J. Gannon, GE/ST&O

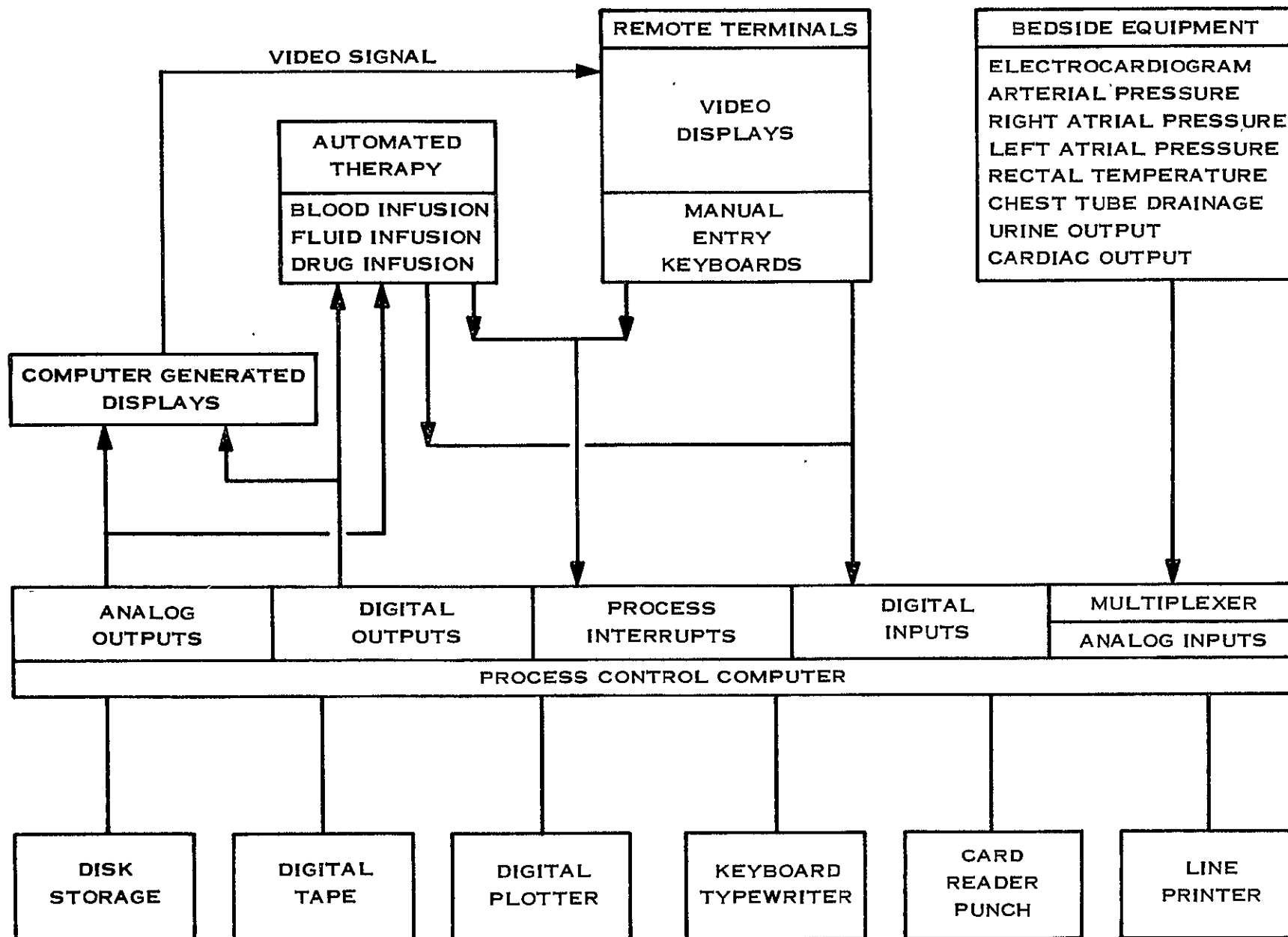
Dr. G. Haupt, GE Consultant from Lankenau

R. Welsch, Program Manager (GE)

This trip to the University of Alabama's Medical Center was made in response to a contract with NASA, funded by a grant from NIH under HEW, to survey four (4) patient monitoring systems. (The other three locations to be surveyed are Baylor, Houston, Texas; the Pacific Medical Institute, San Francisco, California; and the Latter Day Saints Hospital, Salt Lake City, Utah.) This survey was performed to collect technical and operational data on modern patient monitoring systems for trade-off analysis, a statement of good-bad-and areas of need R&D, and a preliminary optimal system design incorporating the technologies of aerospace where appropriate.

The team received the fullest of cooperation from both the health institute being visited and from the representatives of NIH and NASA. An overall understanding of their system operational philosophy, a broad understanding of the types and configuration of equipment used, and the beginning of an empathy for the hospital environment were gained. Additional data will be collected by phone and/or another visit to supplement the information collected on this trip.

The four-bed system used at the University of Alabama (see Figure 1) was used in the ICU (Intensive Care Unit) on patients just out of cardiovascular surgery. The measurements are derived by digital computer programming for two beds and by analog pre-processing in the monitoring hardware for the other two beds. The patients usually stayed no more than 24 to 48 hours on this system. This patient monitoring system was considered unique in that it not only monitored certain patient body functions but also had feedback control for the administration of fluids, blood, and drugs. (See Tables 1 and 2.) Table 3 shows functions remaining that have not been automated but require the constant attention of the nursing and surgical staff.



PATIENT MONITORING SYSTEM BLOCK DIAGRAM - UNIVERSITY OF ALABAMA

TABLE 1 - Body Functions Monitored by System

	<u>Function</u>	<u>Sensor</u>
(b/min.)	Heart Rate	electrocardiogram, lead II
(mm Hg)	Systolic pressure	intra-arterial catheter
(mm Hg)	Diastolic pressure	intra-arterial catheter
(mm Hg)	End expiratory pressure	left atrial catheter and right atrial catheter
(deg. C)	Rectal temperature	rectal thermistor, Yellow Springs
(volume)	Thoracic fluid drainage	chest tube (weight of container)
(volume)	Urine output, volume	urethral catheter (weight of container)
(volume)	Cardiac output	densitometer (dye dilution method)

TABLE 2 - System Infusions

<u>Infusions</u>	<u>Uses</u>
Whole blood	replacement
Mannitol	stimulate urine production (osmotic diuretic)
Arfonad	vasodilator (ganglionic blocking agent)
Xylocaine	prevents premature ventricular contractions
Isuprel	myocardial stimulant

TABLE 3 - Function not Automated That Require Constant Attention of Staff

Positive pressure breathing via endotracheal tube.

The infusion of whole blood is effected by the computer each two min. via a roller pump driven by a stepping motor which delivers 20 ml of blood in 40 sec. at the rate of 0.5 ml/s.

The IBM 1800 computer monitors the systolic arterial pressure and the left atrial pressure and will turn on the roller pump if neither of the pressures exceed pre-set upper limits previously manually entered by the attending physician. A 40 sec. delay timer terminates the pump motor at the end of each dose.

The sampling rate of the analog to digital converter of the arterial pressure is 100 S/S and is in mm Hg. The left atrial analog to digital converter sampling frequency is at 25 S/S and measures end expiratory with the cardiac cycle pressure variation filtered out in mm Hg. Computer control of automatic blood infusion is based upon the patient's cardiac index ($l/min/m^2$), arterial systolic pressure and left atrial pressure using a matrix of conditions.

Clinical measurement of cardiac output is based upon an indicator dilution technic. A 5 mg bolus of indocyanine dye is injected in the right atrium and the dye concentration in the pulmonary artery is detected by withdrawing the blood through a Waters XC250 Cuvette Densitometer with a Harvard Syringe Pump at 7.5 to 15 ml/min. The computer is used in calibrating the densitometer, acquiring the dilution curve, and calculating the cardiac output by the "Stewart-Hamilton Method". The result is converted to cardiac index by

INTRAVENOUS MAINTENANCE
FLUID & DRUG SYSTEM

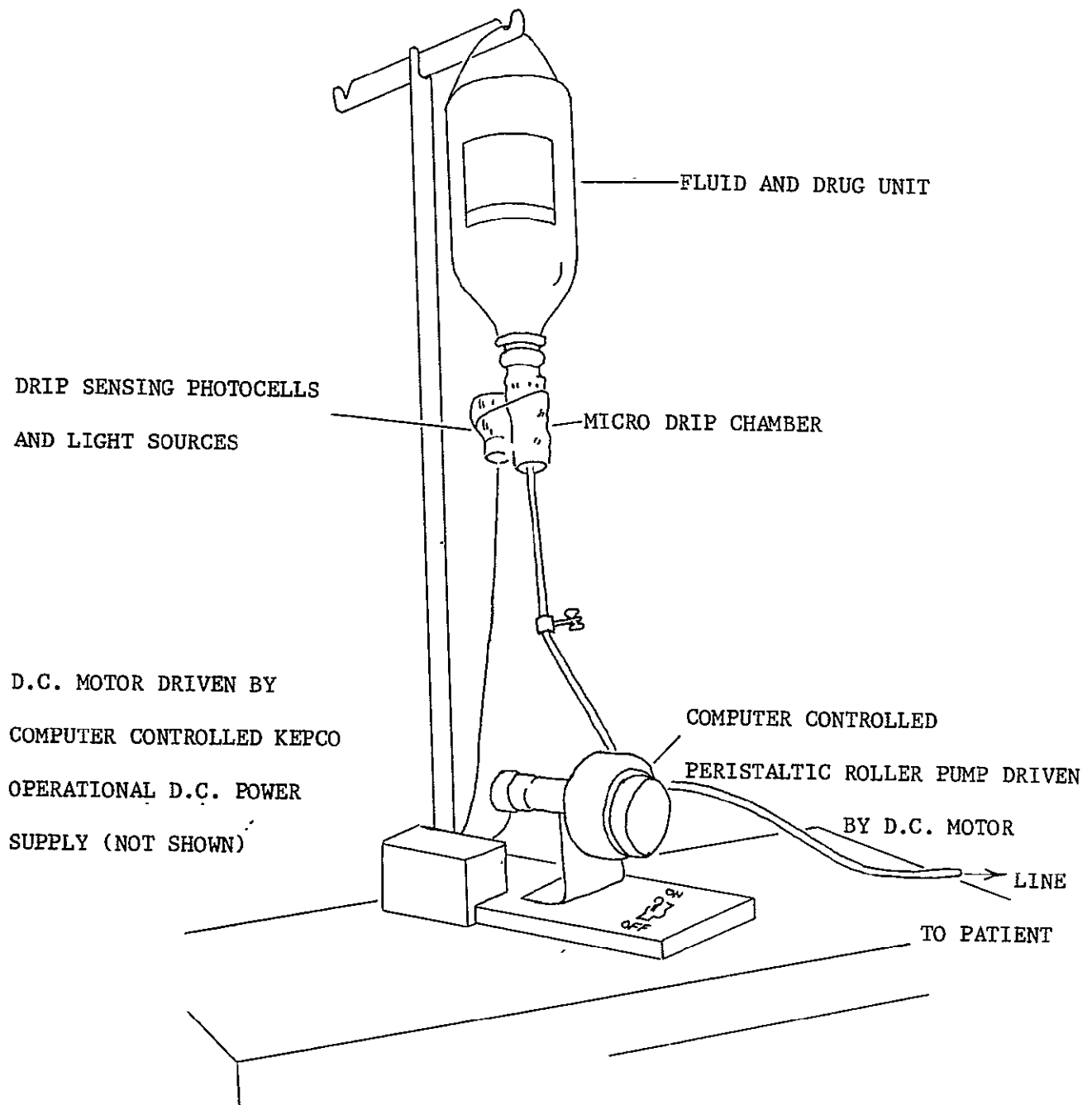


Figure 2. Infusion Pump System, University of Alabama

dividing by body surface area and used with the left atrial and arterial systolic pressures to set limits for automatic blood infusion and ascertain the need for pacing, or the infusion of vasoactive or inotropic (muscle contracting) agents.

To detect empty blood unit and empty fluid unit, a drip sensing assembly is used which contains multiple photocells and light sources attached outside a microdrip chamber. The signal conditioning circuit turns off the infusion pump when no drips occur and signals the computer that the unit of fluid is empty.

In the case of body fluid and drug administration, during normal infusion, the drip sensing circuit provides the computer with pulses to permit measurement of drip rate using the computer's pulse counter input feature. Intravenous maintenance fluid infusion is based upon calculation of body surface area (m^2) from height and weight, delivery of $500\text{ ml}/m^2$ by 0700 the morning following surgery, and the time at which infusion is begun. A d. c. motor connected to the peristaltic roller pump is driven by a Kepco operational D. C. power supply programmed by the computer using a digital to analog converter. A FORTRAN subroutine utilizing a proportional control algorithm adjusts the analog output to compensate for deviations between the desired $\mu\text{ drops}/\text{min.}$ (set point) and the measured $\mu\text{ drops}/\text{min.}$ See Figure 4.

The heart rate is determined by calculating the average R-R interval in a three-second sample using lead II of the electrocardiogram. See Figure 3. This three-second sample is obtained every two minutes or more frequently as required by the operators.

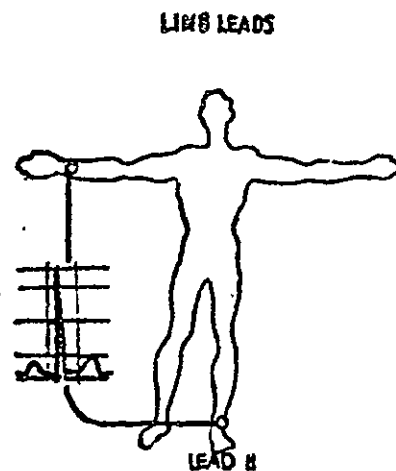


Figure 3. Lead II of EKG

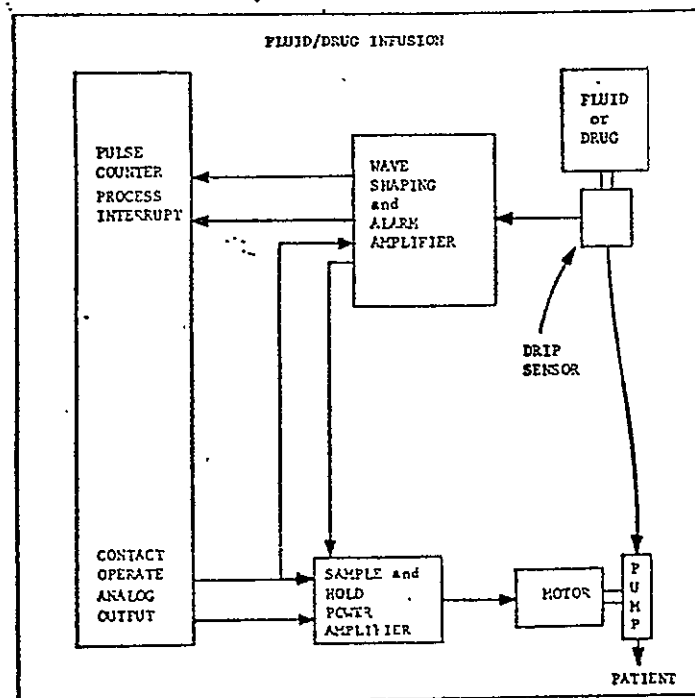
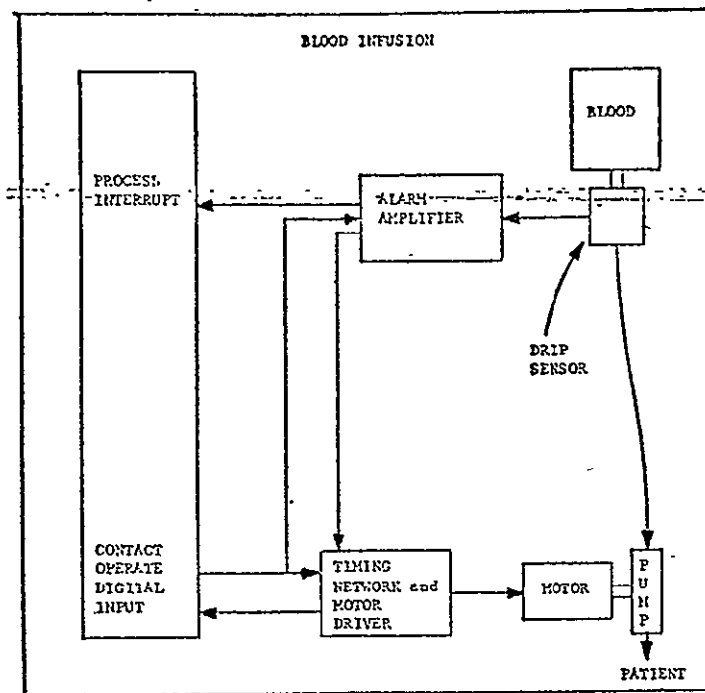


Figure 4. Blood & Fluid/Drug Infusion Systems, University of Alabama

The systemic arterial pressure is measured by a Statham P23 De transducer attached to a Teflon catheter which is inserted percutaneously into the left brachial artery and usually positioned in the descending aorta, or by radial needle.

Right and left atrial pressures are measured through fine intracardiac polyvinyl catheters placed while the chest is open and attached to Statham P23BB transducers and Hewlett Packard carrier pre-amplifiers.

The drainage from catheters left in the mediastinum or pleural cavities is collected by vacuum in a suction bottle.

The chest drainage suction bottle is supported by a platform attached to a Statham UC3 load cell. These devices and their associated electronics are enclosed in a protective frame equipped with restraining clamps to anchor the plastic tubing so that each tube contributes a constant deflection which is subtracted from the measurements. D.C. is used to excite the load cell bridges, and the D.C. amplifiers have been calibrated by a method which takes into account the specific gravities of chest draining (1.013 - 1.074)* and urine (1.00 - 1.03) so that the voltage outputs are equated with milliliters rather than grams.

Output of urine from a urethral catheter is similarly measured but using a plastic bag rather than a vacuum collection bottle. Rectal temperature is measured with a Yellow Springs rectal thermistor.

It should be noted at this point that the system being measured is the cardiovascular primarily and the urinary. No pulmonary measurements or monitoring exists with their system. Respiratory measurements are needed; the system can be modified to accept this data; and plans exist to start incorporating a pulmonary data system in the future.

Aside from the system monitoring, the already described body functions monitoring and the regulating of the whole blood infusion pump, the system also provides various displays. The Hewlett Packard storage oscilloscope (760-6A) displays the current values of the measurements and automatically updates every two minutes or more frequently as desired. Trends of measured variables are visualized on demand by directing the computer to display arterial pressure (systolic and diastolic), right atrial and left atrial pressures, and heart rate as a function of time, for the most recent two hour period. This scope system is at patient bedside and in the computer room. There is no central nurse station display. The system also outputs to printer every four hours (see Figure 5).

This type system obviates related nurse clerical duties except taking rate of respiration, making progress notes, and making keyboard entries into the computer.

*Pleural Fluid (transudate) - about 1.013
Plasma - 1.025 - 1.029
Blood - 1.056 - 1.060 - in infants to 1.074

MARY CRUGNALE			BODY SURFACE AREA			1.628 SQM	E22-78-39	HEIGHT 64.50 IN						
18.00 YR		WEIGHT	57.49 KG	3/30/70	SEX	FEMALE								
TIME	②SYST	DIAS	RATE	TEMP	②RAP	LAP	RESP	CHEST	ML/HR	URINE	ML/HR	INTAK	BLOOD	NO
1600	206	150	105	33.2	7	14	③	134	134	②920	920		20	
1700	196	131	103	35.7	8	11		223	89	1115	195		40	
1800	194	132	121	37.4	10	13		287	64	1277	162		220	
1900	189	125	122	36.8	9	13		344	57	1349	72		220	
2000	186	133	119	38.2	7	13		373	29	1418	69		220	
2100	180	125	123	37.3	6	12		398	25	1471	53		220	
2200	172	116	119	38.8	6	12		436	38	1515	44		220	
2300	171	109	120	38.6	9	14		450	14	1582	67		220	
0	133	72	119	38.8	6	11		487	37	1639	57		220	
100	120	57	121	38.7	7	12		539	52	1668	29		220	
200	123	59	118	38.5	7	12		547	8	1668	0		220	
300	120	58	117	38.3	6	11		568	21	1848	180		220	
400	131	67	111	38.2	8	13		574	6	1854	6		220	
500	141	85	109	38.1	18	22		593	19	1987	133		220	
600	137	84	120	38.1	0	0		594	1	2007	20		220	
700	0	0	99	38.1	0	0		609	15	2009	2		220	
800	0	0	101	38.5	0	0		624	15	2079	70		220	
TIME 811 DATE 3/31/70 SIGNATURE														

1 systolic

2 RIGHT Atrial pressure

3 cumulative measurement

Figure 5. Patient Medical Record

On asking why the various equipments were selected, the following was gathered:

- IBM 1800 - competitive computers (SDS & CDC) could have done the job, and the costs were not that far apart; the main reason was because the program monitor and the available program library (both utility and application) were most attractive.
- Hewlett Packard monitors were developed in the hospital with the engineers and physicians working hand-in-hand.
- Heavy reliance was laid upon the reputation of the manufacturers in selecting system's components.

The effectiveness of this system is reflected in the following:

- Average stay in ICU was 4 days in the old system. The time is now, usually less than 1 day (80% - 16 hours or less).
- Mortality is 6% with new system which is less by some tenths of percent than it was. (For example: Mitral valve operations elsewhere 12-24% mortality; aortic - no change; double and triple valve replacement - better % under new system.) The exact figures can't be quoted because more changes took place than just changing to the automated system. Dr. Kirklin felt that the heart patients he is working on now are sicker in general than the ones he dealt with at Mayo.
- The total system is approximately only 1/6 loaded with four beds.
- Cost is approximately \$450 patient day presently. With an eight patient expansion the cost would be around \$100/patient day.
- There is plenty of room in the system for R&D work to be carried on while still monitoring patients.
- The system has not caused the doctors to change medical practice nor the nurses to change their view of caring for patients.
- The bedside electronics contribute the main source of maintenance troubles. Computer lab maintenance has been mainly associated with the peripheral equipment.
- The system has given rise to a new type job - ICU technician.

UNIVERSITY OF ALABAMA

KEY:-

MANUALLY ENTERED |||||

SEMI-AUTOMATIC ///

FULLY AUTOMATIC ■

	PATIENT SCREENING	CATHETERIZATION LAB	OPERATING ROOM	INTENSIVE CARE UNIT	CARDIAC CARE UNIT	LAB ANALYSIS	X-RAY	EXERCISE CARDIOLOGY LAB	CARDIAC REHABILITATION	RESEARCH	BUSINESS SYSTEMS INTERFACE REMOTE	TRANSPORTABLE (HARDWIRE)
HEART RATE				■	■			■		■		
SYSTOLIC PRESSURE				■						■		
DIASTOLIC PRESSURE				■						■		
END EXPIRATORY PRESSURE				■						■		
DENSITOMETER CALIBRATION				///						///		
DILUTION CURVE				///						///		
CARDIAC OUTPUT				///						///		
CENTRAL TEMP.				■						■		
CHEST DRAINAGE (VOLUME)				■						■		
CHEST DRAINAGE (RATE/HR)				■						■		
URINE OUTPUT (VOLUME)				■						■		
URINE OUTPUT (RATE/HOUR)				■						■		
EMPTY BLOOD UNIT				■						■		
FLUID/DRUG INFUSION				///						///		

OPERATIONAL MATRIX

APPLICATION, LOCATION & DEGREE OF AUTOMATION

FUNCTIONAL MATRIX
PHYSIOLOGICAL AND EQUIPMENT MEASUREMENTS

UNIVERSITY OF ALABAMA

<u>Derived Measurements</u>	<u>Origin of Signal</u>	<u>Sampling (s/s)</u>	<u>Periodicity</u>	<u>Signal Level</u>	<u>Patient to System Interface</u>
1. Heart rate, (beats per min.)	Electrocardiogram, lead II.	200	Once per 2 min.	+2.5V	Skin Surface Electrode
2. Systolic Pressure, (mm. Hg.)	Intra-arterial pressure transducer	100	Once per 2 min.	$\pm 2.5V$	P23De Transducer
3. Diastolic Pressure, (mm. Hg.)	Intra-arterial	100	Once per 2 min.	$\pm 2.5V$	P23De Transducer
4. End expiratory pressure, with cardiac cycle pressure variation filtered out (mm. Hg.)	Left & right atrial pressure transducers	25	Once per 2 min.	$\pm 2.5V$	P23BB Transducer
5. Central temperature, (C, degrees)	Rectal thermistor	25	Once per 2 min.	$\pm 2.5V$	Yellow Springs Transducer
6. Chest drainage fluid, volume and volume per hour (ml.) & (ml./hr.)	Thoracic cannula	25	Once per 2 min.	$\pm 2.5V$	UC3 Load Cell

FUNCTIONAL MATRIX
PHYSIOLOGICAL AND EQUIPMENT MEASUREMENTS

UNIVERSITY OF ALABAMA (Continued)

<u>Derived Measurements</u>	<u>Origin of Signal</u>	<u>Sampling (s/s)</u>	<u>Periodicity</u>	<u>Signal Level</u>	<u>Patient to System Interface</u>
7. Urine output volume and volume per hour, (ml.) & (ml./hr.)	Urinary Catheter	25	Discrete event	$\pm 2.5V$	UC3 Load Cell
8. Empty blood unit (True/False)	Drip-sensing assembly		Discrete	+ 12V	Multiple Photo Cells and Light Sources
9. Densitometer calibration (parts per whole) dilution curve (parts per whole vs time) cardiac output (l/min.)	Pulmonary Artery Catheter and a Densitometer		Continuous	$\pm 2.5V$	Waters XC250 Cuvette Densitometer
<u>COMPUTED OUTPUTS</u>					
1. Blood Infusion	Computer		Discrete Event	$\pm 12V$	Roller pump driven by stepping motor
2. Mannitol Infusion D5W Infusion Isuprel Infusion Xylocane Infusion Arfonad Infusion	Computer		Continuous	0-10 V	Roller pump driven by variable speed motor.

EQUIPMENT LIST
UNIVERSITY OF ALABAMA

Computer

IBM 1802; processor; 16 bit word
IBM; core memory; 32 K words, 2 μ sec. access time
IBM 1810; disc; 2 discs slow speed, 1024 K words, 35 K words/sec. transfer rate
IBM 1816; typewriter & keyboard
IBM 1442; card reader/punch; 80 columns/sec. punch, 300 cards/min. reader
IBM 2401; magnetic tape unit; 22.5 K cps
IBM 1627; plotter; 100 steps/min.
IBM 1443; printer, 120 lines/min.

Data Acquisition & Transmission

A/D converter; 10K sps, program control resolution 8, 11, 14, bits and sign bit
Analog input; 48, \pm 5 volts, single ended (multiplexed)
Analog outputs; 0 to 5 volts, 0-10 V Driver Amplifiers
Digital inputs; 32
Electronic contact operate; 32
Pulse input; 16

Process interrupts; 32
Interrupt Levels; 12
Interval timers; 3; 8K Hz., 250 Hz., 64 ms

Data Display & Bed-side Equipment

Tektronix 4501, storage display device (2); Tektronix 611 in computer lab.
> Conrac; TV monitor, 2 dual monitors
Microswitch; manual entry keyboard, 16 keys, 3 sets
Sanborn; preamplifiers series 350, 4 preamps
Sanborn; strip chart recorder; 2 channel
Hewlett-Packard; 780-6A monitor oscilloscope; 4-trace; 5 monitors
University of Alabama; peristaltic pump 20 ml @ 0.5 ml/sec.; quantity of 4
d.c. Motor; 4

EQUIPMENT LIST (CONTINUED)

UNIVERSITY OF ALABAMA

Time delay relay; 40 sec; 4

Drip sensing assembly; 4

Kepco; operational d.c., power supplies

Waters; XC250 Cuvette densitometer

Hewlett-Packard; carrier preamplifiers; 350 series; 8 preamps

Statham; P23De Transducer, 4

Statham; P23BB transducers; 8

Statham; UC3 lead cell; 8

Hewlett-Packard 780; ECG; 2

Hewlett-Packard 350; ECG; 2

Yellow Springs; thermistor probe; 4

Urine output kit; 4

Chest Drainage vacuum bottle kit; 4

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DISTRIBUTION:

T. E. Shaw/Dr. N. Birkhead/R. Vanderpool/W. Buck/J. Gannon/S. Kritzstein
R. Welsch/J. Canfield

**MISSILE AND SPACE DIVISION
TRIP REPORT**

OPERATION			
REPORT OF VISIT BY S. Kritzstein		DATE OF REPORT July 27, 1970	NO. TR-23
OBJECT OF VISIT To review patient-monitoring systems			
VISIT TO	ACCOMPANIED BY	DATE	
Pacific Medical Center (Presbyterian Hospital), San Francisco, Cal. 7/14/70			
Dr. John Osborne Dr. Radke (IBM)	R. Welsch J. Barney W. Buck B. Burgess J. Gannon	R. BuBois R. Hall Dr. D. Fox	NASA
SUMMARY		Dr. Birkhead	
<p>This visit was the third of a series of four planned to survey in place patient-monitoring systems which represent the current state-of-the-art. GE-RESO has a contract with NASA to perform this survey, evaluate the data obtained, and perform a preliminary design of a system which approaches optimum capability, including the best features of the surveyed systems, and applying aerospace techniques whenever appropriate. For information about the previous two visits, refer to trip reports 9211-TR-20 and 9214-TR-22.</p> <p>The Institute of Medical Sciences which is the research group at the Pacific Medical Center, has a joint study program with IBM to develop a patient monitoring system. The Institute has a good relationship with IBM, and the IBM personnel working at the medical center have been directly involved with the development of the program since its initial phases. IBM has four engineers in residence at San Francisco, some of whom have been working on the Pacific Medical Center program for five years.</p>			
RECOMMENDATIONS			
<p>The concept of the patient monitoring system started as an alarm capability based on EKG's. It developed, however, that in watching early patients after open-heart surgery, if something went wrong, differences of opinion resulted due to the lack of available measurements. This indicated the need to extend the system concept to provide patient measurements during this critical period. Pacific Medical Center is particularly interested in respiratory performance, and machinery is needed to measure this and to perform computations.</p> <p>Patient Monitoring is divided into two areas:</p>			
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REVIEWED BY			

1. Research, where quantitative measurements are made of changes in sick people.
2. Clinical, where human engineering is very important, and great emphasis is placed on making the best use of measured data.

Pacific Medical Center has developed a system which has patient stations in place in four Intensive Care Unit beds, the heart catheterization lab, the exercise lab, and will be extended to include the pulmonary function lab. In addition, manual inputs to the system are provided in labs for dye dilution, A-V difference and blood gas analyses. Each patient station consists of a 3 lead EKG; in dwelling catheters to measure arterial, venous and left atrial pressures; three temperatures (finger, rectal, big toe); PO_2 and PCO_2 and flow sensors (Westinghouse 313 and Beckman LBI) operating from a pneumotachograph/respirator tap hookup; a keyboard; and a visual display. There is little monitoring in the operating room, because it is felt that in open-heart surgery, the surgeon can tell more by looking directly at the opened patient. When the patient has been closed up, however, monitoring is very important as evidenced by the ICU monitoring capability. In general surgery, where the heart and lungs may not be exposed, monitoring is also important and desirable. One measure of the effectiveness of the system is the apparent decrease in mortality rate for valve replacements from 18% to 6% since the system has been used, but during this time period better perfusion filtering techniques were incorporated as well as improvements in the ICU, which probably contributed significantly to the reduced mortality rates.

The patient monitoring processing system utilizes an IBM 1800 computer system (32 K plus 3 discs each with 500 K words) which samples each patient station once every ten minutes, as well as on a demand interrupt basis. It accumulates data for ten seconds each sample time. For the ten second sample, an average EKG is calculated and plotted, and an arrhythmia monitoring routine (IBM-Bonner) is performed to detect PVC's. Of all of the measurements involved, the O_2 is the most difficult because it is derived by essentially taking the difference of two large numbers, and because of built-in delays in the measurement technique requiring accurate log calculations. Pre-processing, in the form of analog circuitry to do PVC analysis on EKG's, is very desirable to unload the computer and should be a consideration in future designs.

All input signals to the computer are preamplified to ± 5 volts full scale at the patient station, and are transmitted over distances up to 1000 feet via twisted shielded pairs. There have been no significant interference problems (when asked about electric blankets, the response was that they are not used).

In terms of outputs, the system provides at each terminal graphical displays of computed waveforms and parameters with their limits. Hard copy is plotted on a Calcomp plotter, with 24 hours of data (taken every 10 minutes) for 20 parameters for 8 beds taking two hours to plot. This activity is automatically performed in the early morning hours thereby providing the physicians and nurses an updated report first thing every

morning. Typewriter outputs are available for hard copy at the terminals, but the nurses tend to disconnect them because of their noise. The system provides alarms for cardiac measurements, but these have been cut back somewhat, since they tended to go off falsely due to patient movement. More attention is now being given to predictive detection or identification of problems by developing and utilizing trend capabilities.

Nevertheless, before the nurses were familiar with the system and before the alarm screen was set up, one out of five patients had something fairly serious go wrong with the system which took some time to detect. A typical problem was a leak due to crack in the plastic tubing going from the inhalation machine to the patient.

Now the incidence of anomalies is at a tolerable level. It is intended to extend alarms to pulmonary measurements. The visual displays have a unique feature to conserve the life of the cathode ray tubes and minimize the blooming effect. This consists of timer built into the system which removes the trace from the display tubes after a preset time interval. Tektronix scopes have been a source of problems in the system. The system also includes a "nurse's notes" program for nurse's data entry into the computer, but nurses can manually write their comments into the record faster than using the program. The nurses like the computerized vital life signs entry and chemistry results display.

The patient monitoring system does not have any closed-loop capability, e.g., computer controlled drug administration, and there are no plans at present to include such capability. Pacific Medical Center is aware of the system at University of Alabama and would consider using it at some later time.

The plans for future development include a test of feasibility of tying in a remote location to the system. In the winter of this year, PMC will send a patient station to Peninsula Hospital, 30 miles away, and set up a link with the main system. They hope to be on the air in the Spring of 1971. They want to develop a small local processor to place at Peninsula to generate fixed displays on command from the main system to conserve bandwidth in the link. In addition to the link, they plan to automatically enter blood gas analysis results into the system instead of using a manual input. They hope to get costs down to \$20/day per patient (patients presently do not pay anything for the system service). This cost would be based on 5 or 6 patients fully on the system, another 5 or 6 using 50% of the system, and another 15 using EKG only. This would yield \$200 K per year, which is enough to run the system.

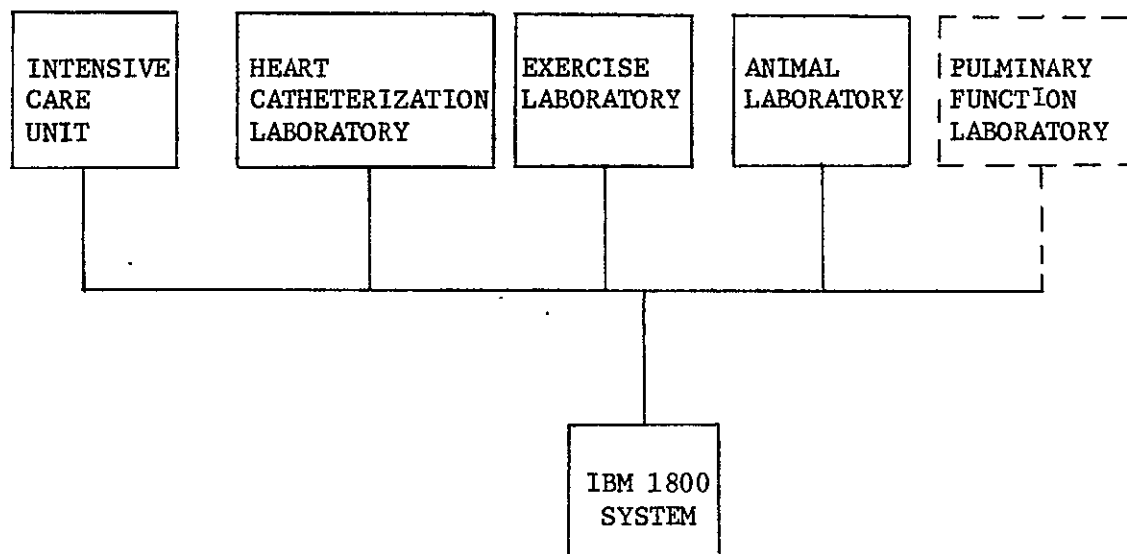
Problems were identified as:

1. Air flow measurements suffer from mucous blockage and slow response. A fast response non-blocking sensor is needed, one that can be located out of the nurses way.

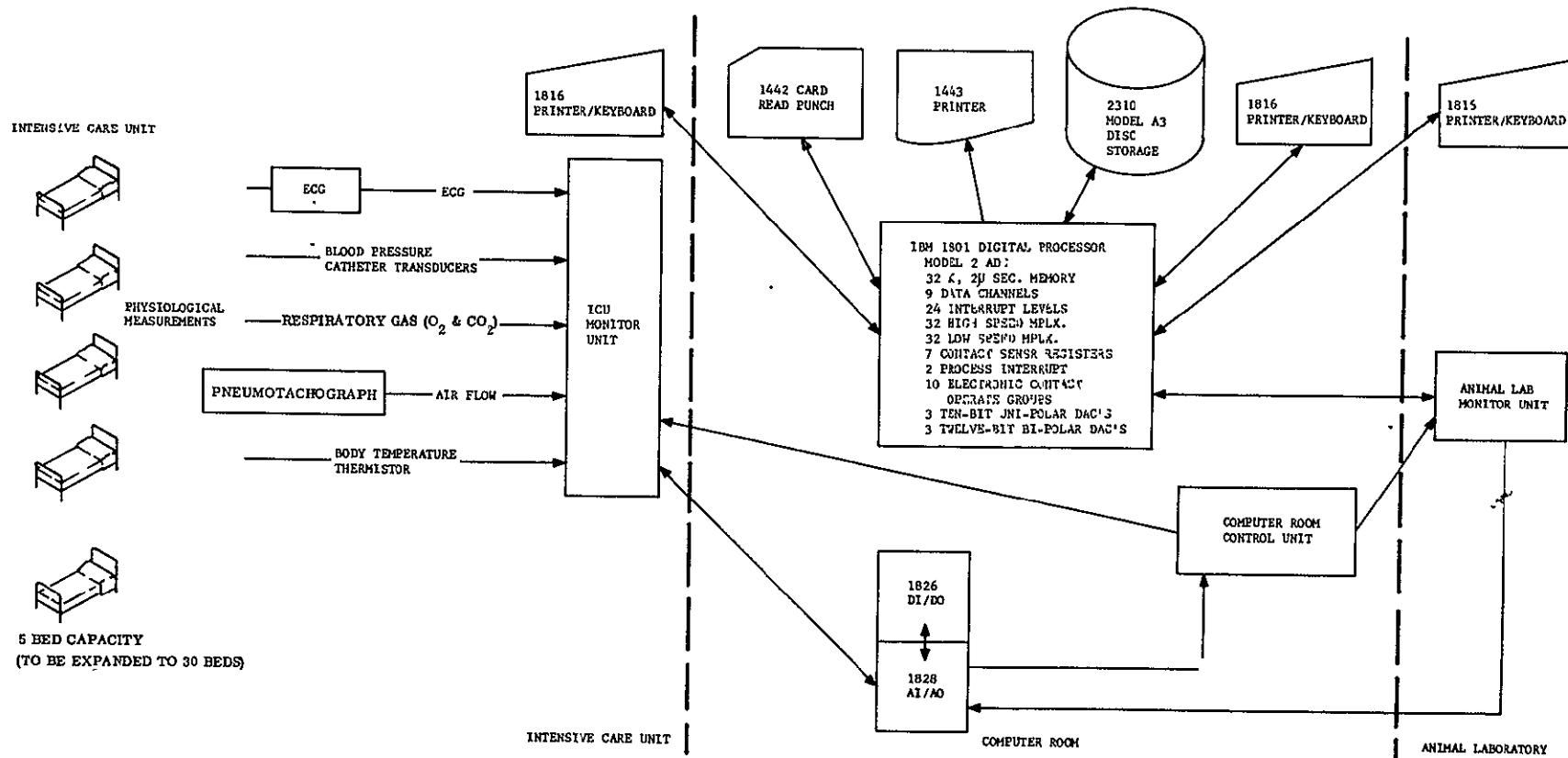
2. Patient sensing is most important, and patient mobility is desirable. Emphasis should be placed on sensing and not on computation, since the latter is relatively easy.
3. It is desired to know the hour by hour uring characteristics, including volume, electrolyte, specific gravity.

RECOMMENDATIONS

1. The link with Peninsula Hospital is important because it will demonstrate the feasibility of extending the service out of a central area. The techniques involved (communications, local processing, command and control) are all in GE/RESD's sphere of experience. It is recommended that GE/RESD participate in some way in this feasibility test, or at least stay in contact to benefit from the results.
2. The desirability of local processing to relieve bandwidth problems ties in with the concept of pre-processing certain functions. It is recommended that GE/RESD study the requirements for a "local processor" to pre-process certain EKG data, to format other data into the computer, and to generate local displays on command from the computer.
3. The BIOS Urine Transport System may be applicable to fulfilling requirements for urine analysis of this system. It is recommended that further work be done to determine the extent of its applicability.



PATIENT MONITORING
SYSTEM BLOCK DIAGRAM
PACIFIC MEDICAL CENTER



PATIENT MONITORING SYSTEM
PACIFIC MEDICAL CENTER

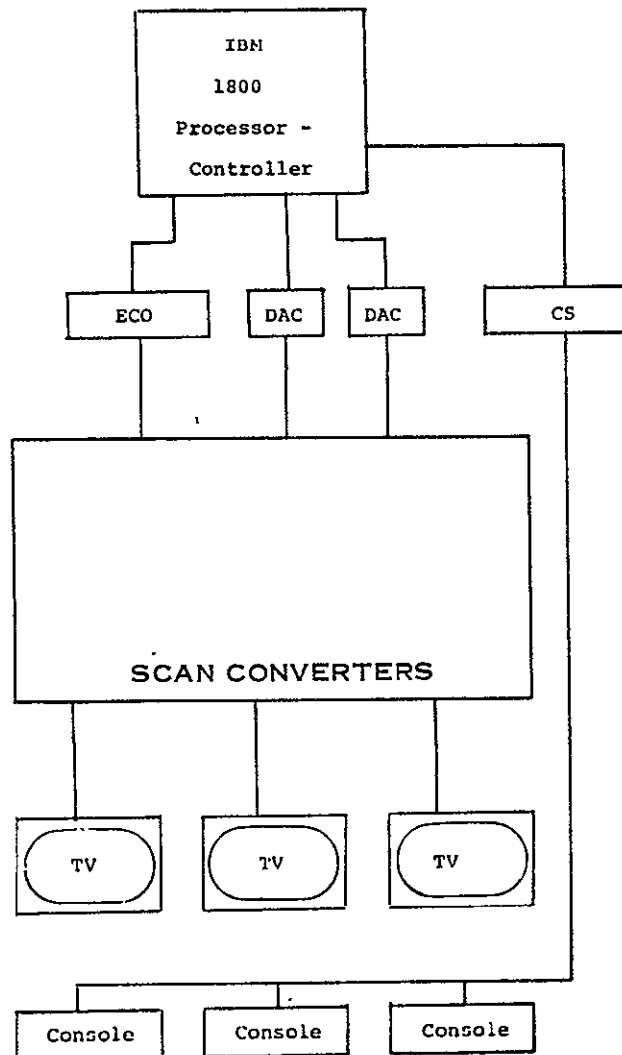


Diagram of Display System
Pacific Medical Center

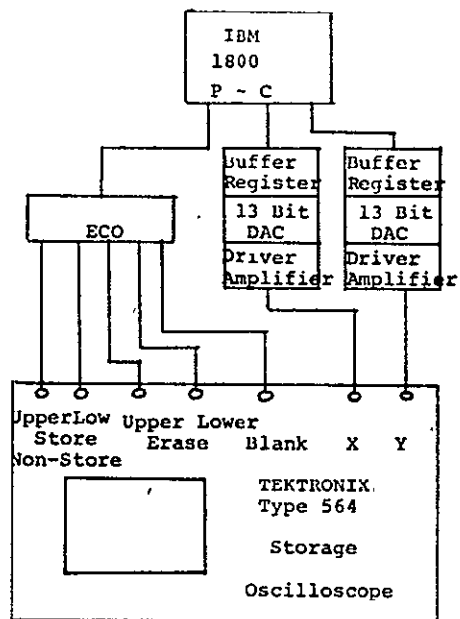
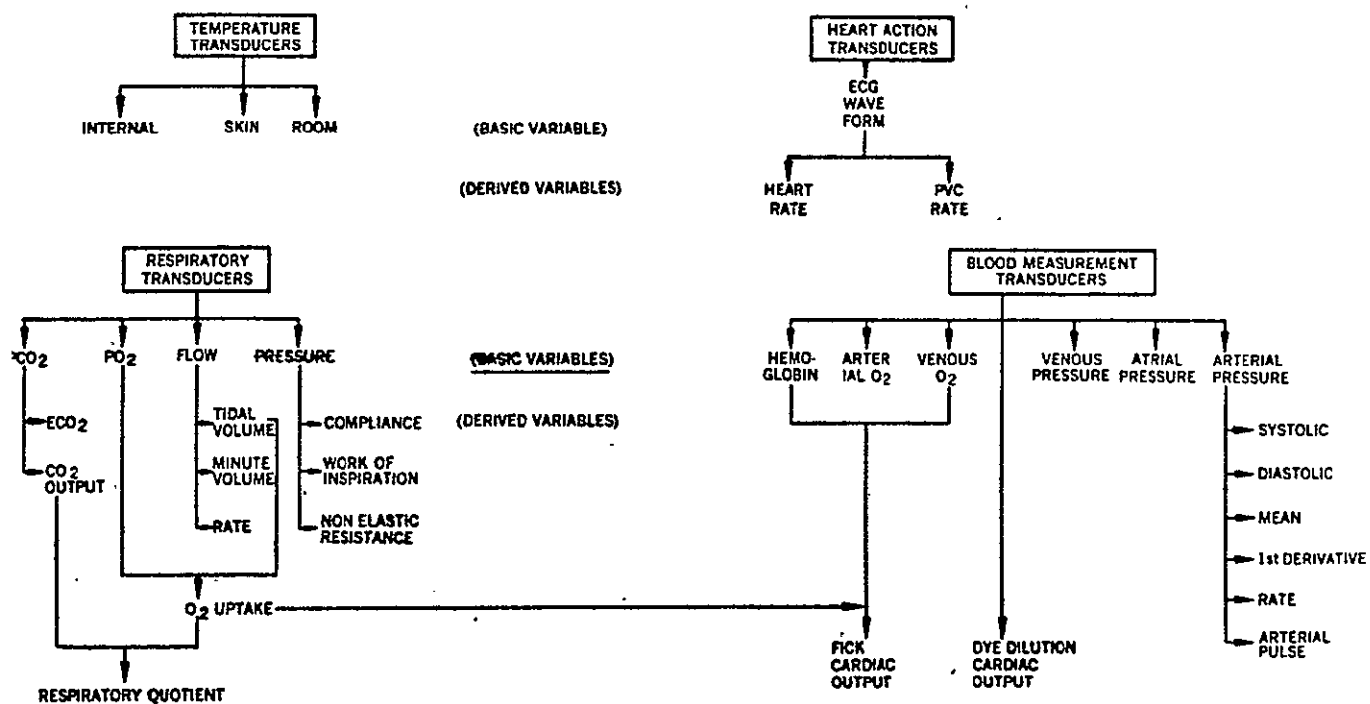


DIAGRAM OF DISPLAY GENERATOR

Patient Monitoring System
Pacific Medical Center



MEASURED AND DERIVED VARIABLES MONITORED ON-LINE

PACIFIC MEDICAL CENTER

OPERATIONAL MATRIX

APPLICATION X LOCATION X AUTOMATION DEGREE

PACIFIC MEDICAL CENTER

KEY:

MANUALLY ENTERED |||||

SEMI-AUTOMATIC \\\

FULLY AUTOMATIC ■

	PATIENT SCREENING	CATHETERIZATION LAB	OPERATING ROOM	INTENSIVE CARE UNIT	CARDIAC CARE UNIT	LAB ANALYSIS	X-RAY	EXERCISE CARDIOLOGY LAB	CARDIAC REHABILITATION	RESEARCH	BUSINESS SYSTEMS INTERFACE	REMOTE	TRANSPORTABLE (HARDWARE)
HEART RATE (AVERAGE)				■				■		■			
HEART RATE (INSTANTANEOUS)				■				■		■			
PULSE RATE (AVERAGE)				■						■			
PULSE RATE (INSTANTANEOUS)				■				■		■			
ARTERIAL PRESSURE (AVERAGE)				■						■			
ARTERIAL PRESSURE (MAXIMUM INSTANTANEOUS)				■						■			
RESPIRATORY PRESSURE (MAXIMUM INSTANTANEOUS)				■				■		■			
RESPIRATORY PRESSURE (INSTANTANEOUS DEVIATION)				■				■		■			
TEMPERATURE				■						■			
PULSE DEFICIT				■						■			
PREMATURE BEAT RATE				■						■			
VENOUS PRESSURE (AVERAGE)				■						■			
ARTERIAL PRESSURE (FIRST DERIVATIVE)				■						■			
RELATIVE STROKE VOLUME				■						■			
RESPIRATORY RATE				■				■		■			
RESPIRATORY MINUTE VOLUME				■				■		■			
RESPIRATORY WORK (INSPIRATION)				■						■			
RESPIRATORY WORK (EXPIRATION)				■						■			
LUNG COMPLIANCE				■						■			
OXYGEN UPTAKE				■				■		■			
RESPIRATORY QUOTIENT				■				■		■			
ARTERIAL BLOOD BI-CARBONATE													
VENOUS BLOOD BI-CARBONATE													
FICK CARDIAC OUTPUT				\\						\\			

FUNCTIONAL MATRIX
PHYSIOLOGICAL AND EQUIPMENT MEASUREMENTS

PACIFIC MEDICAL CENTER

<u>DERIVED MEASUREMENTS</u>	<u>ORIGIN OF SIGNAL</u>	<u>SAMPLING & PERIODICITY</u>	<u>EVENT OR CONTINUOUS</u>	<u>SIGNAL LEVEL</u>	<u>PATIENT TO SYSTEM INTERFACE</u>	<u>TYPE</u>	<u>DERIVATION</u>
1 Average Heart Rate, Cycles/min	ECG	Once per 10 min.	Continuous	± 10 mV	ECG Electrode	Alarm	Total number of ECG R waves
2 Instantaneous Heart Rate, Cycles/min	ECG	one per beat	Continuous	± 10 mV	ECG Electrode	Alarm	Instantaneous R to R interval of ECG
3 Average pulse rate, Cycles/min	Arterial blood pressure	Once per 1 min.	Continuous	0-300 mmHg	Statham P23 De physiological pressure transducer and indwelling arterial catheter	Alarm	Number of arterial pressure pulses in one minute
4 Instantaneous pulse rate, Cycles/min	Arterial blood pressure	once per pulse beat	Continuous	0-300 mmHg	(same as 3)	Alarm	Instantaneous interval between pulses
5 Average arterial pressure, mm of Hg	Arterial blood pressure	Once per 10 min.	Continuous	0-300 mmHg	(same as 3)	Alarm	Mean average of the arterial pressure
6 Maximum instantaneous, Hg arterial pressure	Arterial blood pressure	one per pulse beat	Continuous	0-300 mmHg	(same as 3)	Alarm	Maximum value of arterial pressure wave
7 Maximum Instantaneous respiratory pressure, mm of Hg	Respiratory pressure	once per respiratory cycle	Continuous	± 40 mmHg	Statham PM6TC strain gauge	Alarm	Maximum value of last peak in respiratory pressure
8 Instantaneous respiratory pressure deviation, mm of Hg	Respiratory pressure	once per respiratory cycle	Continuous	± 40 mmHg	Statham PM6TC strain gauge	Alarm	Value of maximum to minimum of last expected respiratory period
9 Temperature, Degrees C	Temperature	Once per 10 min.	Continuous	10-40	Yellow Springs thermistor probe	Analysis	Linear Scaling of input voltage to obtain temperature in degrees C
10 Pulse deficit, Cycles/min.	ECG and Arterial BP	once per 10 min for 1 min period	Continuous	± 10 mV	(Same as 1 & 5)	Analysis	This is Heart Rate (1) minus Pulse Rate (3)
11 Premature beat rate, Cycles/min	ECG	Once per 10 min.	Continuous	± 10 mV	ECG Electrode	Analysis	Total number of asynchronous R waves occurring in one minute

PACIFIC MEDICAL CENTER

FUNCTIONAL MATRIX
PHYSIOLOGICAL AND EQUIPMENT MEASUREMENTS (CONTINUED)

DERIVED MEASUREMENTS	ORIGIN OF SIGNAL	SAMPLING & PERIODICITY	EVENT OR CONTINUOUS	SIGNAL LEVEL	PATIENT TO SYSTEM INTERFACE	TYPE	DERIVATION
12 Average Venous pressure, mm of Hg	Venous pressure	Once per 10 min. for 10 sec. period	Continuous	+40 mmHg	Satham P23BB physiological pressure transducer with indwelling venous catheter	Analysis	Mean average of the venous pressure for one minute
13 Arterial pressure first derivative, mm of Hg per sec	Arterial pressure	once per 10 min	Continuous	0-300 mmHg	(same as 6)	Analysis	Maximum slope of the arterial pressure pulse
14 Relative stroke volume, cc per stroke	Arterial pressure	Average for 40 sec. period once per 10 min.	Continuous	0-300 mmHg	(same as 3)	Analysis	$SV = \int \frac{dp}{dt} + Kp$ the arterial pressure and K is a constant chosen to keep the integral constant during diastole
15 Respiratory rate, Cycles/min	Any of 4 respiratory inputs	Once per 10 min. for 40 sec. period	Continuous			Analysis	Total number of respiratory cycles in 1 min
16 Respiratory min volume, Liters/min	Respiratory flow	once per 10 min	Continuous	± 90	Fleisch pneumotachograph, connected to a Satham PM97 differential strain gauge	Analysis	Total volume of expired air in one minute
17 Tidal volume, cc per cycle	Respiratory flow	once per 10 min.	Continuous	± 90	(same as 16)	Analysis	Average expired air per respiratory cycle, obtained by dividing minute volume by Resp. Rate
18 Respiratory work (inspiration), Joules per min	Respiratory flow and pressure	Average for 40 sec period once per 10 min.	Continuous	± 90 & ± 40	Same as 16 & Satham PM6TC strain gauge	Analysis	Work of Inspiration required to overcome non-elastic resistance
19 Respiratory work (expiration), Joules per min	Respiratory flow and pressure	Average for 40 sec. period	Continuous	± 90 & ± 40	(same as 18)	Analysis	Work of Expiration required to overcome non-elastic resistance
20 Lung compliance, Liters per cm of H ₂ O	Respiratory flow and pressure		Continuous	± 90 & ± 40	(same as 18)	Analysis	Volume of expired air divided by the difference of pressure at beginning and end of expiration
21 Oxygen uptake, Liters/min	Respiratory flow and PO ₂	once per 10 min.	Continuous	8-60	Modified Westinghouse 2 Gauge, Model 203C	Analysis	This is the integral of flow times PO ₂ for 1 min period
22 Respiratory quotient, None	Respiratory flow, PO ₂ , and PCO ₂	once per 10 min			Manual measurement and input (Beckman/Spinco model LB-1 CO ₂ analyzer)	Analysis	Ratio of CO ₂ eliminated to oxygen uptake for 1 min period

PACIFIC MEDICAL CENTER

FUNCTIONAL MATRIX

PHYSIOLOGICAL AND EQUIPMENT MEASUREMENTS (CONTINUED)

	<u>DERIVED MEASUREMENTS</u>	<u>ORIGIN OF SIGNAL</u>	<u>SAMPLING & PERIODICITY</u>	<u>EVENT OR CONTINUOUS</u>	<u>SIGNAL LEVEL</u>	<u>PATIENT TO SYSTEM INTERFACE</u>	<u>TYPE</u>	<u>DERIVATION</u>
23	Arterial blood Bicarbonate	Arterial blood PCO2 and PH				Manual measurement and input	Analysis	
24	Venous blood bicarbonate	Venous blood PCO2 and PH				Manual measurement and input	Analysis	
25	Fick Cardiac output	Respiratory flow and P02, arterial P02, venous P02	Upon demand				Analysis	Obtained by dividing oxygen uptake by difference between arterial and venous oxygen volume percents

EQUIPMENT LIST

PACIFIC MEDICAL CENTER

COMPUTER

IBM 18 0 1 Model 2D processor; 16 bit word.
IBM core memory; 32K words; 2 μ sec., 9 data channels:
IBM 2310, model 1A3; disc; 3 discs; sped; 500 K words;
IBM 1816; typewriter.
IBM 1422; card reader/punch; 80 columns/sec., punch 300 cards/min. reader.
IBM 1433; printer.
IBM 1826; digital I/O.
IBM 1627; plotted analog I/O.
IBM ICU monitor unit.

DATA ACQUISITION AND TRANSMISSION

3 ten-bit uni-polar DAC's
3 twelve-bit bi-polar DAC's
6/ A/D converter lines,
24 interrupts
5 eco
32 high speed multiplex
32 low speed multiplex
7 contact sense registers (16 voltage and 112 contact sense)
32 process interrupt
192 digital output positions
10 electronic contact operate groups (160 lines)

DATA DISPLAY & BED SIDE EQUIPMENT

Tektronix, type 564; storage oscilloscope
Statham PM6TC; strain gauge
Yellow Springs; thermistor probe
Statham P23 De; pressure transducer; 10-15
Statham P23 BB; pressure transducer
Fleisch; pneumotachograph; 8
Statham PM 97; differential strain gauge; 7
Westinghouse model 203C; O₂ gauge; 6
Beckman/Spinco, model LB-1; CO₂ analyzer; 6
Calcomp; plotter; 2
EKG
IBM; 16 key keyboard
Space labs; CRT large scope
322; dual channel recorder; 3
IBM 4501; scan converter; 6
Tektronix 611; storage oscilloscope
Shibaden; CRT large scope
analog terminating amplifiers
crystal oscillator

**MISSILE AND SPACE DIVISION
TRIP REPORT**

OPERATION
ST&O

REPORT OF VISIT BY

Blair C. Burgess

DATE OF REPORT

7/30/70

NO.

OBJECT OF VISIT

Conduct a Survey of Patient Monitoring Systems

VISIT TO

Latter-Day Saints Hospital, Salt Lake City, Utah

ACCOMPANIED BY

Dr. R. DuBois - NIH (HEW)

Mr. R. Hall - NASA

Dr. J. Saunders - NASA

Mr. J. Barney - GE

Dr. N. Birkhead - GE

Mr. W. Buck - GE

Mr. J. Gannon - GE

Mr. R. Welsch - GE

DATE

7/24/70

SUMMARY

This trip, the fourth in a series of four, to the Latter-Day Saints Hospital in Salt Lake City, Utah, was made in compliance to a contract with NASA to survey four patient monitoring systems. (The other locations already surveyed were University of Alabama Medical Center, Birmingham, Alabama; Baylor, Houston, Texas; and the Pacific Medical Institute, San Francisco, California.) This survey was performed to: collect technical and operational data on modern patient monitoring systems for trade-off analysis, research the desirable/undesirable characteristics as well as to identify areas needing immediate research and development accomplishments, and a preliminary optimal system design incorporating the technologies of aerospace where appropriate with a bread board.

The team was on-site at the Latter-Day Saints Hospital for a day and a half. During this stay we met in conference with Dr. Homer Warner, Chief of the Hospital's Cardiovascular Laboratory and chairman of the University of Utah's Department of Biophysics and Bioengineering; Dr. Reed Gardner, Assistant Professor, University of Utah's Department of Biophysics and Bioengineering; Dr. Joyce Johnson, who among her other duties is assisting Dr. Warner in developing the patient monitoring system from a users point of view; and Mr. Al Pryor, software engineer. Fullest cooperation and interest was extended to the

RECOMMENDATIONS

survey team enabling a free exchange of discussion and ideas. Tours of the hospital's facilities included: patient screening, intensive care unit (ICU), cardiac care unit (CCU), cardiac catheterization laboratory, animal laboratory, and one of the remotely serviced facility at the Children's Hospital, which was within walking distance from Latter-Day Saints Hospital. Having been to three other health centers endeavoring the development of cardiovascular-pulmonary patient monitoring systems enabled the engineering members of the team to relate more easily to what they saw and discussed. The environmental/operating circumstances in a hospital and the types and configurations of equipment and programs were more easily appreciated by the engineering team because of our previous visits.

DISTRIBUTION

T. E. Shaw Dr. N. Birkhead
R. Vanderpool W. Buck
J. Gannon S. Kritzstein
R. Welsch J. Canfield

PREPARED BY

REVIEWED BY

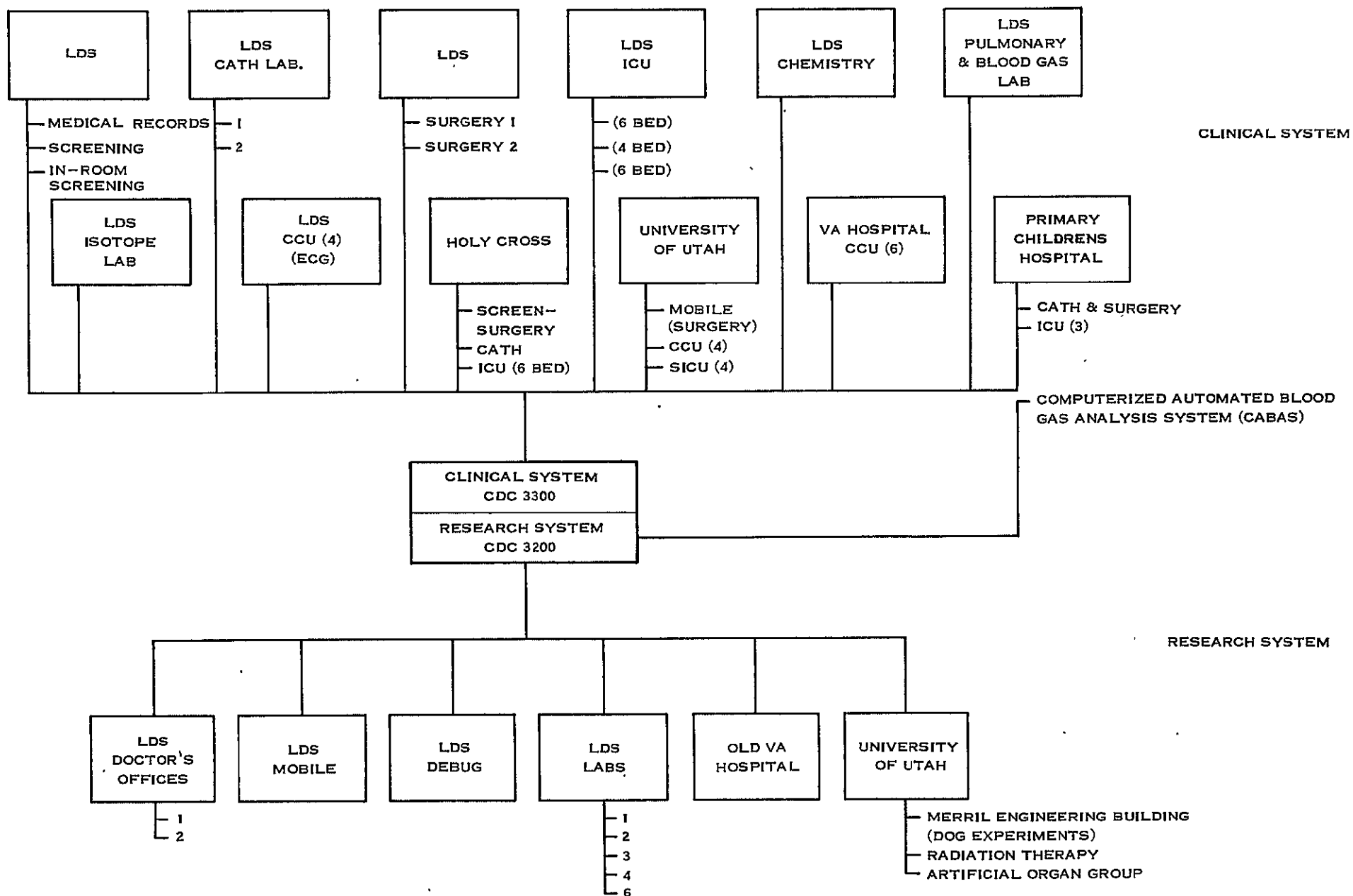
The equipment system philosophy at Latter-Day Saints Hospital was of a central general purpose digital computer servicing a data acquisition system receiving digital data that had been converted from analog signals and from originally digital inputs. The overall system employs redundant computer systems physically located in the Latter-Day Saints Hospital (CDC 3300 clinical on-line and CDC 3200 research back-up) to service the patient screening facility, the heart catheterization laboratory, the operating room, the intensive care unit, the cardiac care unit, research labs (dog lab, etc.), and remote health centers. (see Figure 1)

The configuration of the dual systems employs compatible hardware and programs that communicate through a common disc unit that is the CDC 854 having 6 discs. The CDC 3300 has paging memory and memory protect in addition to the identical capabilities of the CDC 3200. A Digital Equipment Corporation PDP-8/S computer is used with the system as a teletype buffer driver for remote hard copy. Redcor Corporation Read/Write interfaces adopt remote terminal and physiological data from patient and/or experiments. The read interfaces for both machines are identical. Analog signals are presented in parallel to the analog multiplexers of both interfaces. A program can be debugged, checked out and made operational on the CDC 3200 research system then transferred to the clinical system with no change of channels or program. If the analog-to-digital (A to D) converter or computer system for the clinical system fails, the clinical operating system can be transferred to the 3200 machine with a minimum of rewiring (approximately ten minutes required for change over after the problem is diagnosed) and the assurance that the analog signals will be correct.

The Write interfaces on both machines are identical and are connected such that they can be transferred from one machine to the other with a minimum of difficulty (approximately ten minutes).

The two machines are different in their hardware configurations since the 3200 is used for program compilation, printing and magnetic tape capability for program and data storage. The extra peripheral equipment on the research machine includes a 1,000 card per minute card reader, a 1,000 line per minute printer, three high-speed magnetic tapes and one special-purpose high-speed A-to-D converter. The 3300, or clinical machine, on the other hand, has a special output interface for the small PDP-8/s computer used to drive teletypes at the remote sites for hard copy reporting of clinical and experimental information. Both machines are capable of operating remote terminals both from sites within the hospital and remote sites at other hospitals, experimental laboratories and clinics.

A remote terminal consists of a Tektronix 601 memory display unit, control and timing circuits for operation of this display unit, a decimal keyboard and two 12-bit octal thumbwheel switches for coding information into the computer. Also, on the front panel are indicator lights which tell the operator the state of the computer, the state of his program and various other indications.



**PATIENT MONITORING
SYSTEM BLOCK DIAGRAM
LATTER - DAY SAINTS HOSPITAL**

Figure 1. Latter-Day Saints Hospital Patient Monitoring System

In a typical operation, the user calls a program by dialing a code into the octal switches, then presses the CALL button which interrupts the computer. The computer reads the octal switch and displays instructions back to the operator on the face of the memory display unit. The display unit is capable of displaying 400 characters in a 25 column by 16 row pattern or graphical information with a capability of 512 horizontal and 512 vertical dots. In addition to its capacity as a remote computer display terminal, the terminal can also be used as a conventional three-channel memory oscilloscope by pressing a pushbutton switch on the front panel. This feature allows the operator to quickly check signal level qualities to be presented to the computer and insure that they are within range of the A-to-D converter and of adequate quality for the desired computer analysis. The display will revert from a conventional oscilloscope to a computer display terminal upon receiving an erase pulse from the computer; thus assuring that no computer generated information is lost while the operator is viewing waveforms.

The processing of analog signals is carried out independent of the display terminal. As a standard package, each laboratory or clinical area is assigned three analog channels. These three channels are used for multiple purposes. The three channels could be carrying pressure information, electrocardiographic information, densitometry information, etc., depending on the requirement of the user. This three channel requirement was primarily determined by electrocardiographic analysis program where three simultaneous lead signals are necessary. A second reason for making three analog channels a standard configuration is that three channel data sets for telecommunication to distant sites are available for processing of data from remote hospitals.

The remote terminals with instrumentation can be constructed for a cost of about \$3000; a character generator added for operation of remote sites costs an additional \$1,200. With the capability of both alphanumeric and graphical functions, this terminal becomes an extremely flexible convenient module for use in both clinical and experimental applications.

Since most of the physiologic signals are analog, extensive front-end signal conditioning equipment was developed for the computer operation. An objective in the development of the front end equipment was to provide extremely stable, highly reliable instrumentation such that a person with a minimum of instruction and training could use it. There are no control knobs for adjusting gain or bias of the analog signals from the transducers and that there are a minimum number of control switches for operator use.

The analog front-end system is made up primarily of integrated circuit operational amplifiers with all signals being amplified from their low level condition to a high level (± 10 volt) condition of transmission to the computer, either over hardwire

connection or a telecommunication link. In each case, signals are conditioned for optimum use by the computer and by the telecommunication link by amplifying and adjusting the offset for full scale capability of computer and communication link.

Minimizing the number of controls and adjustments makes the system easier to use, both by experienced and nonexperienced operators, and also increases the confidence of the operating personnel. As a typical example of an instrumentation application where this type approach has been used, consider the pressure transducer amplifier which amplifies signals from a balanced Wheatstone bridge strain gage. The gage itself can be balanced, the amplifier or amplifiers could each have a separate off-set control, the gage excitation could be varied, the amplifier gain could be varied, and so on. To minimize the problems in setting up of a pressure transducer, only one control is provided and is made an integral part of the transducer system. The excitation voltage on the gage is fixed, the sensitivity of all the strain gages have been calibrated to a standard level and all pressure amplifiers are set up with the standard gains. A fixed off-set has been programmed into the amplifying system and the only adjustment that need be made by the user is gage balance which compensates for varying fluid levels of the patient. Therefore, a pressure system which is usually complicated and difficult to handle becomes a simple set up procedure which a nurse or inexperienced technician can adequately handle and get results that are technically adequate and, in fact, as good as an experienced operator can obtain.

The computer system has been designed with both a research investigator and a clinical investigator in mind with standard packages designed and constructed which aid both. The system is also easily adaptable to special purpose experimentation with signal levels that can be conditioned with a great amount of flexibility for the occasional user who has special requirements for signal levels, sampling rates and timing.

Operation of terminals from remote hospitals is made over voice grade direct distance dial compatible telephone communication link. As far as the user is concerned, operation from a remote hospital is essentially the same as operating a local terminal. Figure 3 shows a block diagram of the communication link where the data sets shown are Bell system designations. There are three types of data the computer system must handle for the remote terminal: (1) digital input and control information; (2) digital output used to drive a character generator and provide control outputs; and (3) analog input signals presently sent over a second telephone line.

Typical operation of the scheme follows. Initially 201 Data Modem sets which connect the central processor and the remote hospital are both in the receive mode. Upon pressing a button at the remote terminal, the 201 Data Modem set at the terminal end goes into the transmit mode, after a settling time required by the data set, it transmits a serial 14-bit code to the receiver at the computer site. The data set at the computer site receives the serial data which is converted to a parallel 12-bit code and read into the computer. For the usual operation a keyboard entry is followed by an alpha-numeric reply to the terminal. The data set at the computer end becomes a transmitter and transmits data back down the line to the remote console. Using a character generator scheme (developed jointly by the physicians and Beehive Electrotech of Salt Lake City) alphanumeric and graphical data are presented on the remote storage display unit. The present trans-

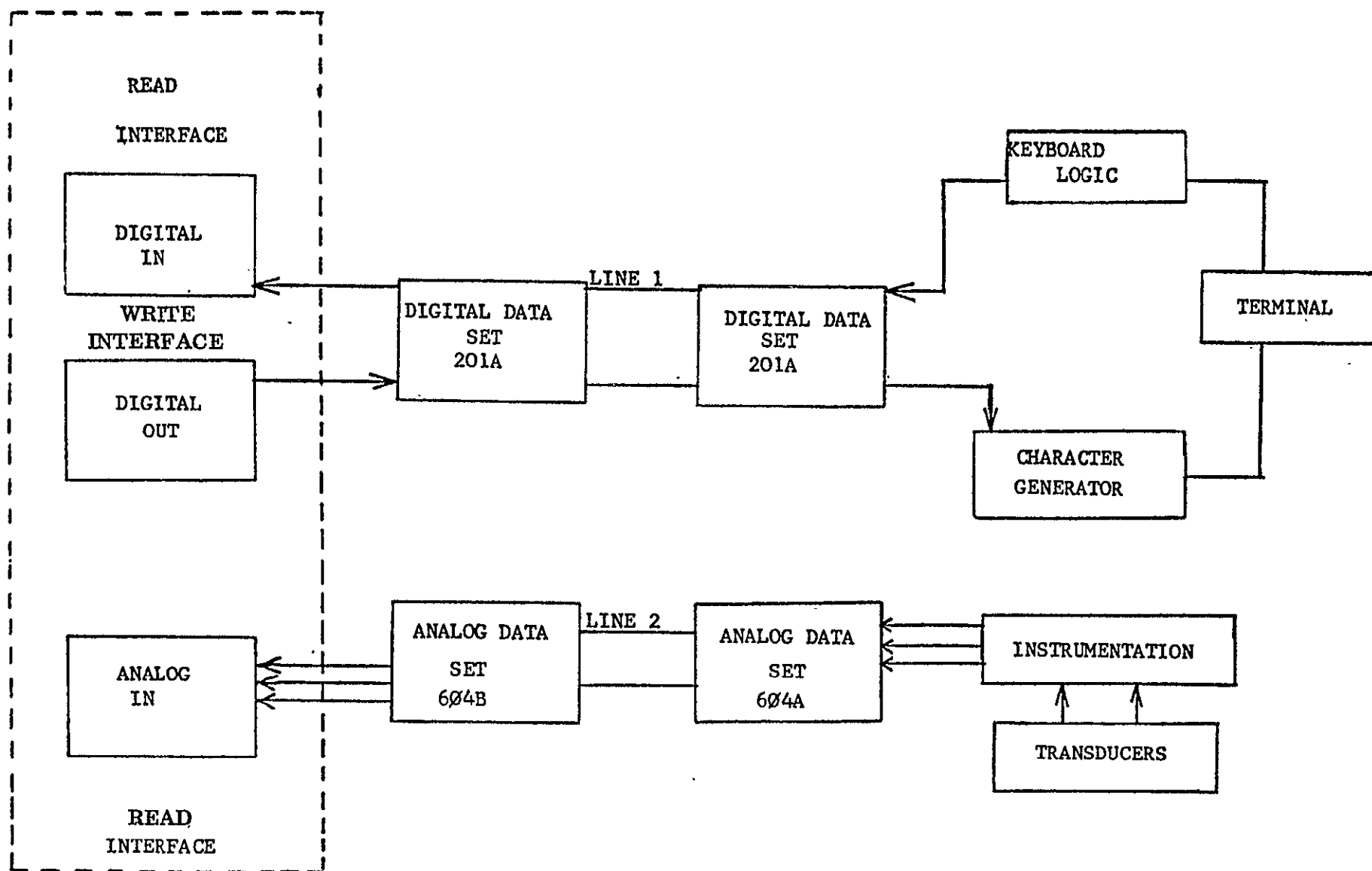


Figure 2. Latter-Day Saint Hospital Communication Link Used for Remote Hospital

mission scheme uses a 14-bit word consisting of one sync bit, a parity bit and 12 data bits. The 12 data bits are broken down into nine bits of display data with three control bits which determine whether the character generator writes alphanumeric characters, using the ASCII code, plots graphical information, sets up control functions or outputs nine bits in parallel to remote control devices.

With the rate of 2,000 bits per second available with the Bell system's 201 Data Modem set, the character generator scheme will output characters at approximately 130 characters per second and plot graphs at approximately 130 points per second, being limited by the data set bit rate. With faster data sets correspondingly faster write-out rates could be obtained. After a transmission is completed both data sets return to the receive mode and are free for the operator to again enter some type of digital information or a piece of control equipment outboard from the remote terminal to access the computer.

The basic remote terminal, which is not much larger than the 201 Data Modem set, is completely transportable and can be taken anywhere telephone communication facilities are available and used to communicate with the MEDLAB system. Applications for stand-alone communication terminals of this type are expected in tumor registry programs, radiation treatment planning and other areas where analog signals are not necessary. When analog signals are required, Bell system Data Modem sets 604A and 604B, which are analog transmitter, receiver respectively, are used to send three channels of analog information simultaneously. The analog data bandwidth of these FM multiplexed channels is DC to 100 cycles for each channel. Cross-talk and signal-to-noise characteristics and bandwidth of these channels is adequate for transmission of most clinical physiologic information. The requirements for three channels is dictated by the vectorcardiographic system which requires three simultaneous channels of ECG. With a slight modification of this system it is possible to use touch-tone telephone keyboard and a 604A=B, 401J Data Modem set configuration to transmit electrocardiograms and other physiological data from any patient room within a hospital by installing phone jacks in the rooms and using the internal television distribution system of the hospital to transmit instructions and results to the operator on the television receiver located in the patient room.

A "program-line" connection is operational between the neurophysiology research laboratory at a remote hospital. This type line is one which is commonly used by FM music stations and is conditioned to have "flat" frequency response from 50Hz to 8KHz making it ideally suited for transmission of action potentials.

Presently there are systems in four hospitals using the communications terminals and one additional hospital using a hardwire connection. Plans call for region-wide screening clinics to be conducted by State, local and private health organizations. With these terminals, health services can be provided to remote communities that heretofore were available only to patients at major hospitals.

PATIENT SCREENING

One of the newer projects using the system at this facility is a patient screening admission program. Every patient who is admitted to the L.D.S. Hospital, with the exception of maternity and emergency patients, are screened using this program. When the patient arrives at the hospital and registers in the Admitting Office he is given a hospital record number. This number is used by the computer system to generate a file of data for the patient. Once the patient has received his registration forms he is brought to an admitting laboratory where two samples of blood and a urine sample are taken for analysis in the Chemistry Laboratory. Upon leaving the admitting laboratory the patient is brought to the computer screening laboratory. A file is initiated on the patient by entering the patient's hospital number on the decimal keyboard at the terminal. A nurse measures the patient's blood pressure, temperature, respiration rate and enters these parameters along with age, height and weight in the patient's file.

Two on-line computer tests are then performed on the patient. (See Figures 3 & 4) The first is a breathing test where the patient is required to take a deep breath and blow into a spirometer which measures both the total volume expired by the patient (Forced Vital Capacity), the volume expired after one second and two flow rates during the maximum expiration. The analog signal generated by a potentiometer connected to the spirometer is sent directly to the computer. Corrections for temperature, barometric pressure and calibration factors are made by the computer and the results presented on the display unit within two seconds after the test. Once the patient has successfully performed this test, which usually requires blowing into the spirometer at least twice in order to obtain the best possible results, the patient is given a computerized electrocardiogram (ECG) with the computer sampling the output of the three vector signals from the ECG amplifier. This test requires a series of eight electrocardiographic leads to be connected to the patient. These leads are resolved by the amplifier into an orthogonal lead system used for the measuring of the electrical activity of the heart. The program performs a pattern recognition on the data collected and reports back to the screening technicians a classification of an ECG pattern. This information is also stored on the patient's file. Once the patient has completed his electrocardiogram he is taken to his room. Total time for these two tests is approximately five minutes with the computer being used for about one minute.

Other information entered into the patient's file includes the results of the urine analysis and the hematology analysis, and the blood chemistry tests run on a 12-channel auto-analyzer. The 12-channel autoanalyzer is operated as an on-line terminal which allows the computer to sample its output and store the results directly into the patient's file. The urinalysis, as well as the hematology results, are entered into the patient's file through the keyboard at a remote terminal.

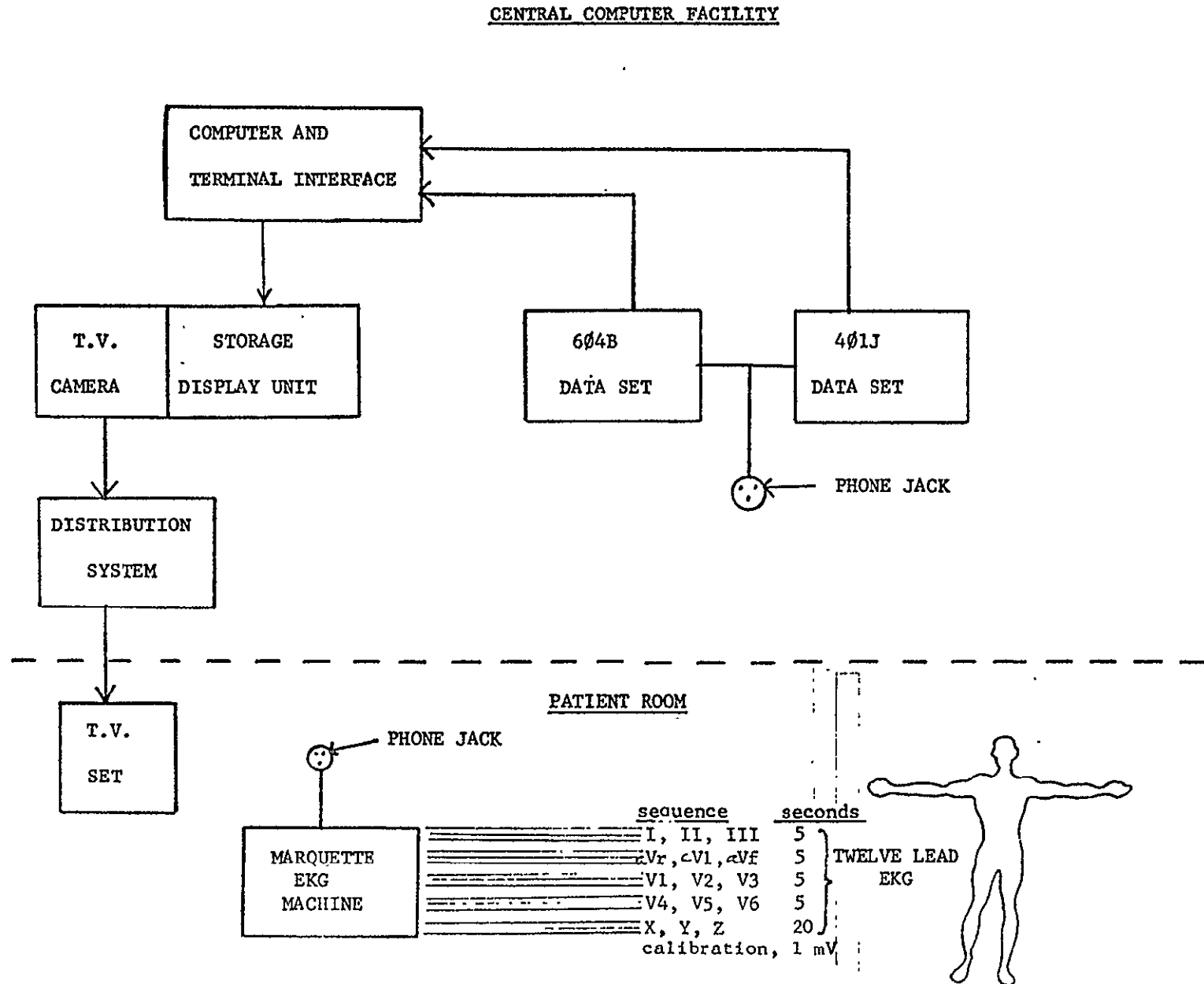


Figure 3. Latter-Day Saints Hospital Data Collection and Reporting System

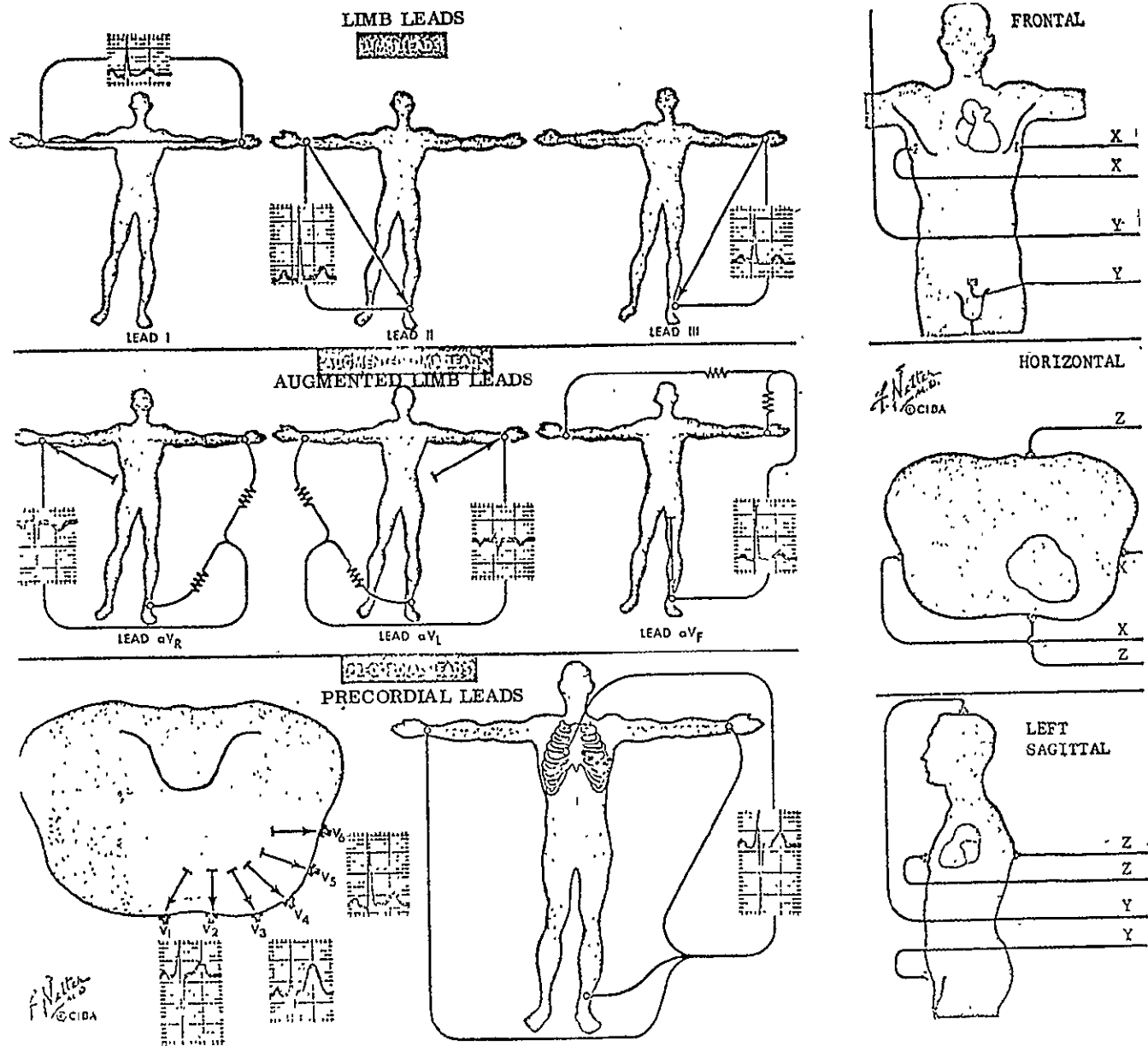


Figure 4. Twelve Lead Electrocardiograph Configuration

At the end of the day the technicians generate a report from the patient's data by punching a card with the patient's name and hospital number. The report generated for each patient contains all the data which had been entered, either automatically by the computer or keyed in from one of the remote terminals. The program prints out the test results as well as a problem list; that is, a listing of all values which are outside of normal limits. The reports are then distributed to the nurses' stations and placed on the patient's charts. Subsequent data gathered on the patient during his stay in the hospital are also recorded on the patient's file by the computer. At time of discharge the file is taken from the active file, which is stored on one of the magnetic discs, and transferred to magnetic tape in the inactive file. At this time, or shortly after, a discharge diagnosis is placed on the patient's record. When the patient is readmitted to the hospital his record is retrieved and pertinent information returned to the disc in the active file.

INTENSIVE CARE WARD

The intensive care ward for monitoring of patients who have had open-heart surgery has been in operation at the L.D.S. Hospital since March, 1966. The system is currently used routinely by the surgeons and nurses for monitoring cardiovascular function and entering nurses' notes for each patient on the intensive care ward. The central console used for control of all beds consists of a terminal similar to those discussed earlier as well as an input/output control box used to control switching of signals from each of the beds in the ward.

The column of four lights for each bed in the intensive care ward are colored red, yellow, green and white and are controlled by the computer. A green light is turned on when the computer is actively sampling data from the respective bed. The red light indicates a change in patient status while the yellow light is an indicator to the nurse that some procedure is required on the patient, such as scheduled drug injections. The bottom row of lights are used to display the analog inputs from each bed on the memory display unit. Pressing BED 1 on this row for example would cause the display unit to go into the sweep mode and simultaneously display arterial pressure waveform, electrocardiogram and venous pressure waveform. The display unit will erase at the end of each sweep and revert to a computer display anytime the computer begins to write out information.

Two modes of data collection are available. A "measure-once" option allows the nurse to call the program, indicate the bed number and initiate measurements on the patient. Sixteen heart beats are analyzed and the average value for each of ten variables (heart rate, stroke volume, cardiac output, peripheral vascular resistance, duration of contraction, maximum pressure, minimum pressure, mean venous pressure, respiratory rate and amplitude) is immediately displayed on the memory display unit and stored on magnetic disc by the computer, along with the time and date of the measurement. The

second mode involves setting up a schedule of measurements. When the nurse chooses this option, measurements are made on 50 successive heartbeats and for each variable the mean and standard error of the mean are determined. This statistical description of the state of the patient forms a base from which subsequent measurements are evaluated thus eliminating the need for the nurse or doctor to decide arbitrarily on upper or lower limits for each variable to initiate an alarm condition. Four minutes after the base line measurements are made on a patient, a scheduled measurement is initiated automatically and consists of determining a mean value for each of the ten variables over 16 heart beats. A red light is turned on if any one of the mean values exceeds its base value by more than three standard errors of the mean. To determine which variable has deviated from its base line, the nurse, at her earliest convenience, merely presses the red switch light which interrupts the computer and sends a code identifying the bed number and causes the computer to display a message indicating the variable furthest out of tolerance. The value of the last reading and the time of the reading are shown together with the mean, standard error of the mean and time of the base line measurement for comparison. At this point the nurse may choose one of several options; she may explain or verify the alarm indication, determine a new base line, or review the wave-forms. If the change in status detected by the computer represents the establishment of a new steady-state, the nurse establishes a new base line at this point. All subsequent measurements will then be referred to this new base.

The interval between scheduled measurements is under computer control and is dependent on the state of the patient. If the first measurement made four minutes after a base line is not statistically different from the base line, the next scheduled measurement will occur in eight minutes. If the red light is turned on, however, the next measurement is made in two minutes. Thus, the interval between measurements will vary between two minutes, when the patient is unstable, up to 16 minutes when successive readings coincide consistently with the base values.

Since the computer system is not continually sampling all beds but is merely scanning from one bed to another depending on patient status, there is a possibility that a patient could get into trouble, say within the 16 minute interval. Two of the more common problems encountered are related to frequency of contraction of the heart. These are ventricular fibrillation (speeding up of the heart) and cardiac arrest (heart stopped). Since this could happen at any time and detection from the arterial pressure wave-form would require continuous monitoring, the electrocardiogram or upper and lower heart rate limits are set for each patient. If the patient's heart goes beyond a limit, the red warning lamp for that bed begins to flash on and off and an interrupt and bed code are sent to the computer causing it to record the event and start making measurements of cardiovascular function. Remote hospitals also have similar intensive care wards with complex control features made possible by the communications system described above.

There are a variety of physiologic variables which would contribute additional useful information to patient care. Some of these, such as blood chemistry determinations, blood gas analysis, are measured periodically on those patients but at present the information is entered into the computer only semiautomatically from other laboratories in the hospital. The intensive care service is provided on a seven day a week, 24 hour a day basis and requires a maximum of computer reliability and up-time. To achieve the confidence of the doctors and nurses involved in patient's care, the system was designed with complete back-up of all the critical signal measuring and reduction systems. There is a possibility that a card reader or printer could fail, eliminating hard copy, but the information is still available to the user through his remote terminal. The hard copy could be printed later after repair time of the printer or card reader.

Results of the use of the computer system in the intensive care ward have been very encouraging. At present patients are automatically put on the monitoring system unless the attending physician requests that they not be monitored. The surgeons schedule their surgery around the computer system (i.e., if the computer system is not operational, surgery is rescheduled for a time when the computer service is available).

Cardiac Catheterization Lab

At LDS, catheters are inserted for surgery the day before the operation. For this and for exploratory caths, the system is used in the cath lab. All data required for the cath process is provided by the system, except for the angiocardio data (results of the cath process). The system is so accepted that the doctors will not do a cath unless the system is available.

To implement this method stroke volume calculation is used in the patient-monitoring situation, the following routine has been developed. On the afternoon before surgery, a floor-mounted portable armboard assembly, which permits hyperextension of the patient's wrist, is wheeled to the patient's bedside. A thin-walled 18-gauge needle is introduced percutaneously into the radial artery as the pressure is monitored on the oscilloscope of a remote computer station. Then, without sterile drapes or gloves, a Teflon catheter (1 mm o.d. X 100 cm) is advanced in through the needle up the artery to the subclavian without fluoroscopic control. The catheter is a disposable unit which is encased in a solid plastic cylindrical shield which allows the catheter to be advanced by withdrawing the shield. When the catheter tip reaches the point where the subclavian turns sharply down toward the aorta, the tip will occlude and the pressure wave disappear. At this point the catheter is withdrawn about 2 cm and left in place. In most patients, before introducing the arterial catheter, a second needle is introduced into an antecubital vein for injection of indocyanine-green dye for measurement of cardiac output. Blood is sampled continuously from the radial artery through a Waters oximeter whose photocell output is transmitted directly through an analog-to-digital converter to the digital computer. At the completion of sampling, cardiac output is calculated and displayed at the remote station for use in calibrating the pressure-pulse method.

When the arterial catheter is in place, the small pressure transducer is taped to the patient's forearm, and pressure calibration is performed. To measure cardiac output, the transducer signal is sampled 200 times/second, and the calculations required in real-time. With each heart cycle the program detects the onset and end of systole and calculates stroke volume, heart rate, cardiac output, duration of systole, mean pressure, and systolic and diastolic pressure. Any three of these may be displayed beat-by-beat as a function of time on the face of the display oscilloscope. Then, in response to a manual interrupt, sampling is stopped, and average values for each of the variables are calculated and presented in alpha-numeric form on the memory oscilloscope. To date, such procedures have been performed on over 200 patients with catheters left in place as long as ten days without complication. If care is taken to rid the cathetermanometer system of all air and the catheter is filled with heparin, the catheter may not require flushing for several days, although as a general practice the catheters are flushed every 12 to 20 hours.

A second set of derived data is obtained via a central venous catheter. This catheter may be advanced through a needle in the antecubital vein used for injection of dye before surgery or may be placed by the surgeon at the time of operation. Its tip is made to lie in the superior vena cava. By means of the same program which samples the central arterial pressure waveform, the central venous pressure signal is sampled and averaged over each heart cycle to eliminate the cardiac-induced fluctuations. The remaining fluctuations in this averaged pressure wave are due to changes in intrathoracic pressure which occur with the respiratory cycle. From this signal the computer program calculates the mean central venous pressure, respiratory rate, and a measure of the respiratory "amplitude" as the average excursion in pressure with the respiratory cycle. This latter serves as an index of changes in lung compliance or airway resistance.

The system now determines ten parameters from the two recorded pressure signals. This result has been achieved without significant discomfort to the patient or clutter in the nursing area.

Operating Room

The primary use of the system in the Operating Room is for open heart surgery (6 to 8 cases per week). Surgeons will not operate on a patient without knowing the pressures inside. They feel that the critical times of the operation are immediately before and after the work on the heart itself, and they want to know all data during those times.

Examples of patient hard copy reports are to be seen in Figures 5 through 8.

13 JUL 1970		16: 0	P A T I E N T C H A R T
R CAMPBELL CLINE		ROOM 203-	224676
AGE 32	HT 66 INCHES	WT 141 LBS	DR. MOMBERGER, GLEN
E.C.G. DATA			
NORMAL ECG MORPHOLOGY			
RHYTHM CLASSIFICATION			
HEART RATE= 60			
SINUS MECHANISM			
SPIROMETRIC DATA		VALUE	PERCENT OF PREDICTED
FORCED VITAL CAPACITY		3484	80
ONE SECOND VOLUME		3216	89
MAXIMUM FLOW RATE		6480	93
MID FLOW RATE		4162	168
HEMATOLOGY DATA		NORMAL RANGE	VALUE
HEMOGLOBIN		GE 13.	14.1
HEMATOCRIT		GE 38.	42
WHITE BLOOD COUNT		5-10,000	6900
URINE ANALYSIS			VALUE
URINE SUGAR			ABSENT
URINE PROTEIN			ABSENT
PROBLEM LIST			

Figure 5. Patient Chart

PATIENT MONITORING, LONG REPORT

BED 1, BRINKMAN CURTIS R

224364

DR. BROADBENT

TIME COMMENTS SV HR CO SDUR RST SP DP MP VP RA RR

DATE 7- 9

5.58 AUTO 71 99 7.1 84 11.0 116 77 98 0.0 0.0 0

6. 0 URINE OUTPUT 125 CC
AND ORAL INTAKE 150 CC

6.13 AUTO 72 99 7.2 87 10.6 115 75 96 0.0 0.0 0

6.28 AUTO 76 94 7.2 90 10.6 129 74 96 0.0 0.0 0

6.43 AUTO 76 88 6.7 92 11.6 127 77 98 0.0 0.0 0

6.58 AUTO 73 92 6.7 91 12.4 131 84 103 0.0 0.0 0

6.59 IV DISCONTINUED

VOL GIVEN THIS SHIFT 200

VOL GIVEN TOTAL 400

TIME ORIGINALLY STARTED 17 0
OF 5 PER. D/W

6.59 IV DISCONTINUED

VOL GIVEN THIS SHIFT 100

VOL GIVEN TOTAL 100

TIME ORIGINALLY STARTED 5 30
OF 5 PER. D/RI
AND POTASS. CHLORIDE 2 MEQ IV D

7. 0 SODIUM BICARB. 44 MEQ IV

7. 0 URINE OUTPUT 140 CC

7.13 AUTO 78 91 7.1 91 11.4 132 78 101 0.0 0.0 0

7.30 SV RED 56 88 4.9 98 19.9 127 100 109 0.0 0.0 0

Figure 6. Patient Monitoring, Long Report

BRINKMAN CURTIS R NUMBER 224364 DATE 7/15/70 BED 1

TIME 7--8--9--10--11--12--1--2--3

111 *

108

HEART RATE

103 *** *.* *

98***** * * ***** * ** *

93 * *****

TIME 7--8--9--10--11--12--1--2--3

70 *

68

CARDIAC OUTPUT (X100 ML)

64 * *

60** * *** ** ***** * **

56 * * ***** *

TIME 7--8--9--10--11--12--1--2--3

141*

134 *

RESISTANCE

125 **** * ** * ***** **

116 ** ** * ** *

107 * * ** *

TIME 7--8--9--10--11--12--1--2--3

143*

138 * ** **

SYSTOLIC PRESSURE (MMHG)

131 ** ** ** * *** * **

124 * * * *

117 *** * ** *

TIME 7--8--9--10--11--12--1--2--3

83*

80 *

DIASTOLIC PRESSURE (MMHG)

75 * ** *

70 * * ** ** * * ***** **

65 *** * ** *

TIME 7--8--9--10--11--12--1--2--3

RESPIRATORY RATE----- 16 18 18 18

AXILLARY TEMPERATURE *10----- 1020 1004 1010 1020

VENOUS PRESSURE (MMHG)----- 80 90 100 90

URINE OUTPUT----- 600 300 300 60

URINE SPECIFIC GRAVITY----- 1010 1008

TIME 7--8--9--10--11--12--1--2--3

Figure 7.

KEFLIN	2000 MGM	IV	1	1
SODIUM BICARB.	44 MEQ	IV	1	1
COLYMYCIN	50 MGM	IM		1
MORPHINE	15.0 MGM	IM		1
PHENERGAN	50 MGM	IM		1
POTASS. CHLORIDE	5 MEQ	IV D	*****	
5 PER. D/RL	100 CC		*****	
5 PER. D/ .45 NS	250 CC		*****	
INTAKE	CC	OUTPUT	CC	
BLOOD	0	URINE	1260	
OTHER IV	350	SWEAT	200	
ORAL FLUID	1280	OTHER	0	
TOTAL	1630	TOTAL	1460	
NET BALANCE FOR SHIFT 170 CC				
SIGNED----- RN			----- TECH	

Figure 7. (Continued)

EKENSTAM, TONY		7-15-70		PCH	LAB NO. 352		AGE 5	SEX M	DR. L. G. VEI

TIME	LOCATION	SATURATION	MMHG	HR	BREATHING	STATE	COMMENT		
16 28	SUP VC	70 %			AIR	REST			
16 28	MID R A	71 %			AIR	REST			
16 29	MID R A		5- 5 (2)	95	AIR	REST			
16 29	INF V C	71 %			AIR	REST			
16 31	PA TRNK	70 %			AIR	REST			
16 32	PA TRNK		17/ 8 (13)	103	AIR	REST			
16 33	DSTL PA		22/ 6 (13)	93	AIR	REST			
16 33	DSTL PA	70 %			AIR	REST			
16 34	HIGH R V	69 %			AIR	REST			
16 34	HIGH R V		27/ 0- 4	88	AIR	REST			
	RVPA(SY)	MEAN GRADIENT= 3							
	HRVPA-SY	PEAK GRADIENT= 10							
16 42	ASC AORT	95 %			AIR	REST			
16 43	ASC AORT		104/ 29 (83)	84	AIR	REST			
16 55	MID L V	90 %			AIR	REST			
16 55	MID L V		122/ 2- 9	127	AIR	REST			
16 56	MID L V		120/ 1- 9	122	AIR	REST			
16 57	ASC AORT		102/ 69 (89)	115	AIR	REST			
16 57	MID L V		122/ 1- 7	127	AIR	REST			
	LVAO(SY)	MEAN GRADIENT= 15							
	MLVAO-SY	PEAK GRADIENT= 20							
17 5	MID L V		119/ 4-12	122			AFTER RADIOPAQUE		
17 6	MID L V		124/ 2-12	117			AFTER RADIOPAQUE		

PRESSURE WAVEFORMS

1-RT VENOUS, 2-RT VENT, 3-PA, 4-LT VENT, 5-LT VENT, 6-LT ART

MAX = 0.2000000+003

MIN = -0.1000000+002

0.00	2	4		5		3													
0.02		41	3																
0.04		415	3																
0.06		412	3																
0.08		412	3																
0.10		42153																	
0.12		4	135																
0.14		4	123		5														
0.16		4	13	2			5	6											
0.18		41	2						6										
0.20		41	3	2						6									

Figure 8. Primary Childrens Hospital Catheterization Report

0.24	1	3	2	6	5
0.26	41	3	2	6	5
0.28	41	3	2	6	5
0.30	41	3	2	6	5
0.32	41	3	2	6	5
0.34	41	3	2	6	5
0.36	41	2		6	5
0.38	41	2		5	6
0.40	41	2	5	6	
0.42	41	2	5	6	
0.44	1	52	3	6	
0.46	4	1	23	6	
0.48	41	2	3	6	
0.50	41	2	3	6	
0.52	41	2	3	6	
0.54	41	5	3	6	
0.56	41	5	3	6	
0.58	41	5	3	6	
0.60	41	53		6	
0.62	41	3		6	
0.64	41	3	5	6	
0.66	41	5	3	6	
0.68	41	2	3	6	
L.V. ENTERED VIA AORTIC VALVE					

ABNORMAL FINDINGS

AORTIC STENOSIS, VALVULAR

Figure 8. Primary Childrens Hospital Catheterization Report (Continued)

EQUIPMENT LIST
LATTER-DAY SAINTS HOSPITAL

Computer
(Research)

CDC 3200; processor; 24 bit word
CDC 3206; core memory; 32 K words; 1.25 μ sec; 7 data channels (3 disc storage units
& 3 disc packs)
CDC 854; disc; 6 discs; 2 x 10^6 words; (shared with CDC 3300)
CDC 3234; disc controller
IBM 72; typewriter selectric
CDC 405; card reader/punch; 1000 cards/min. reader
CDC 3248; card control unit
CDC 3228; tape control unit
CDC 607; magnetic tape transport; 3; (high speed) 7 track, 150 ips, 800 bps
CDC 1612; line printer & control; 1000 liners per min.
ADCOM; A-D converter; special purpose (clinical)
CDC 3300; processor; 24 bit word; floating point (3310)
CDC 3306; core memory; 32K words: 1.25 μ sec; 4 data channels (3 disc storage units
& 3 disc packs)
CDC 854; disc 6 discs; 2 x 10^6 words; (shared with CDC 3200)
CDC 3334; disc control
IBM 72; typewriter selectric
CDC; interface for PDP-8/S
PDP-8/S; processor; 12 bit word (teletype buffer driver)

Data Acquisition and Transmission
(for CDC 3200 Research System)

REDCOR; write interface; 8 channel; 100 kc DAC; 12 bit digital output
REDCOR; read interface; 128 channel; 100 Kc ADC; 30-12-bit digital input.
Bell 604 + A & B; analog transmitter & receiver; DC to 100 Hz (2)
Bell 201A; digital modem 2000 bps; 130 characters/sec., (3)
Bell 401J; data set; 20 characters/sec., (1); 130 characters/sec., (3)
(for CDC 3200 clinical system)
REDCOR; write interface; 8 channel; 100 kc DAC; 12 bit digital output
REDCOR; read interface; 128 channel; 100 kc ADC; 30-12-bit digital input
Digital Equipment Co; digital output PTO 8C; teletype interface
103; teletype data sets
Beehive Electrotech; character generator driver; 14 bit word (12 data bits, a sync
bit, & parity bit); alphanumeric & graphical characters (ASC 11
code); (10)
Bell 201A; digital modem 2000 bps., 130 characters/sec. at the terminal; (10)

EQUIPMENT LIST
LATTER-DAY SAINTS HOSPITAL (CONTINUED)

Data Display & Bed-side Equipment

Tektronix 601; memory display unit; 400 characters; 25 x 16 grid & 512 x 512
Decimal keyboard unit
Octal thumbwheel switcher, two 12-bit
Operational amplifiers; $\pm 10V$
Statham; balanced Wheatstone bridge strain gauge; P2306 and P37; (30)
Marquette Electronics; ECG, 3 channel recorder; (5)
Technicon 12/60; 12-channel blood chemistry auto analyzer
ICM; I/O central console control box; switcher signals from each of six beds
Hewlett-Packard 780-7A, 7803; heart rate meter; (43)
Collins; spirometer; 13.5 liter; (4)
Collins; disposable mouthpiece & 1.5 in. tubing
Wood; oximeter
Beehive Electrotech; cath lab system; (11)

OPERATIONAL MATRIX
APPLICATION X LOCATION X AUTOMATION DEGREE

LATTER-DAY SAINTS

KEY:

MANUALLY ENTERED |||

SEMI-AUTOMATIC \\\

FULLY - AUTOMATIC ■

	PATIENT SCREENING	CATHETERIZATION LAB	OPERATING ROOM	INTENSIVE CARE UNIT	CARDIAC CARE UNIT	LAB ANALYSIS	X-RAY	EXERCISE CARDIOLOGY LAB	CARDIAC REHABILITATION	RESEARCH	BUSINESS SYSTEMS INTERFACE	REMOTE	TRANSPORTABLE (HARDWARE)
HEART RATE	■	■	■	■	■					■		■	■
SYSTOLIC PRESSURE		■	■	■	■					■		■	■
DIASTOLIC PRESSURE		■	■	■	■					■		■	■
CARDIAC OUTPUT		■	■	■	■					■		■	■
STROKE VOLUME		■	■	■	■					■		■	■
DURATION OF SYSTOLE		■	■	■	■					■		■	■
PERIPHERAL RESISTANCE		■	■	■	■					■		■	■
MEAN VENOUS PRESSURE		■	■	■	■					■		■	■
RESPIRATORY AMPLITUDE		■	■	■	■					■		■	■
RESPIRATORY RATE		■	■	■	■					■		■	■
FORCED VITAL CAPACITY	■												
MAX. EXPIRATORY FLOW RATE	■												
ONE SECOND EXPIRATORY VOLUME	■												
MAXIMAL MID EXPIRATORY FLOW RATE	■												
CENTRAL TEMPERATURE													
BLOOD OXYGEN CONCENTRATION		■			\\					\\		\\	\\
MITRIAL INSUFFICIENCY INDEX		■								■		■	■
APPEARANCE TIME		■								■		■	■
BUILD-UP TIME		■								■		■	■
MEAN CIRCULATION TIME		■								■		■	■
URINALYSIS													
HEMATOLOGY													
CENTRAL BLOOD VOLUME		■								■		■	■
CARDIAC INDEX										■		■	■
COMPUTER ASSISTED TEACHING										\\		\\	\\
NURSE COMMENTS													
ANESTHESIA COMMENTS													

FUNCTIONAL MATRIX
PHYSIOLOGICAL AND EQUIPMENT MEASUREMENTS

LATTER-DAY SAINTS HOSPITAL

<u>Derived Measurements</u>	<u>Origin of Signal</u>	<u>Sampling (S/S)</u>	<u>Periodicity</u>	<u>Accuracy</u>	<u>Events or Signal Continuous Level</u>	<u>Patient to System Interface</u>
1. Heart Rate (H. R.) (Beat/Min.)	Control Aortic Pressure via Teflon Catheter via Radial Artery to Sub- clavian	200	Real Time, 16, or 64 Heart Cycles only or from 2-16 min. intervals between samples	1 bpm	Continuous	Pressure Transducer, Mfg. , Statham Instru- ment No. SP37 or P23Db
2. Stroke Volume (S. V.) (CC Beat)	Central Aortic Pressure (Same as 1)	200	(Same as 1)		Continuous	(Same as 1) NOTE: Using H. Warner S SV-K (PS-PD)TS TC (TC-TS)
3. Cardiac Output (C. O.) (L. Min.)	Central Aortic Pressure (Same as 1)	200	(Same as 1)		Continuous	(Same as 1)
4. Duration of Systole Ejection (SDUR) (Tenth of Seconds)	Central Aortic Pressure (Same as 1)	200	(Same as 1)		Continuous	(Same as 1)
5. Peripheral Resistance (RST)	Central Aortic Pressure	200	(Same as 1)		Continuous	(Same as 1)
6. Systolic Pressure (SYP) (MM HG)	Central Aortic Pressure	200	(Same as 1)	1 mm Hg	Continuous	(Same as 1)
7. Diastolic Pressure (DI P) (mm Hg)	Central Aortic Pressure	200	(Same as 1)	1 mm Hg	Continuous	(Same as 1)
8. Mean Venous Pressure (V. P) (mm Hg)	Central Venous Pressure via Teflon Catheter via Antecubital Vein to Sub- Clavian	200	1 mm H ₂ O		Continuous	Pressure Transducer Mfg - Statham No. - P23V or P23BB
9. Respiration Amplitude (Excursion in Intrathoratic Pressure with Respiration) (R. A)	Central Venous Pressure (Same as 8)	200	(Same as 1)		Continuous	(Same as 1)
10. Respiratory Rate (R. A) (Breaths/minute)	Central Venous Pressure (Same as 8)	200	(Same as 1)		Continuous	(Same as 2)
11. Forced Vital Capacity (FVC)(CC)	Spirometer		Real Time	50 ml of gas	----- ON DEMAND -----	13.5 Liter Collins Spirometer
12. Max. Expiratory Flow Rate (MEF) (CC Sec.)	Spirometer		Real Time	(Same as 11)		(Same as 11)
13. One sec. Expiratory Volume (FEV1) (CC)	Spirometer		Real Time	(Same as 11)		(Same as 11)
14. Maximal Mid Expiratory Flow Rate (MMF) (CC Sec.)	Spirometer		Real Time	(Same as 11)		(Same as 11)

FUNCTIONAL MATRIX
PHYSIOLOGICAL AND EQUIPMENT MEASUREMENTS

LATTER-DAY SAINTS HOSPITAL (Continued)

<u>Derived Measurements</u>	<u>Origin of Signal</u>	<u>Sampling (S/S)</u>	<u>Periodicity</u>	<u>Accuracy</u>	<u>Events or Signal Continuous Level</u>	<u>Patient to System Interface</u>
15. Blood Oxygen Concentration (CC)	(Same as 1)	200	Real Time	(Same as 11)	ON DEMAND	Wood Oximeter
16. Cerebral Cortex Currents	Electrocardiogram Lead		Real Time			Skin Surface Electrode
17. Cerebral Cortex Currents	Vector Cardiogram Lead					Skin Surface Electrode
18. Mitral Insufficiency Index (M.I)	(Same as 1)		(Same as 1)			(Same as 1)
19. Appearance Time (A.T.)	(Same as 1)		(Same as 1)			(Same as 1)
20. Buildup Time (B.T.)	(Same as 1)		(Same as 1)			(Same as 1)
21. Mean Circulation Time (M.C.)	(Same as 1)		(Same as 1)			(Same as 1)
22. Central Blood Volume (C.V.)	(Same as 1)		(Same as 1)			(Same as 1)
23. Blood Analysis	12 Channel Auto Analyzer		On Demand			There is no patient system connection. A blood sample is manually taken, inserted into the Auto Analyzer and the results automatically forwarded to the CDC 3300
24. Cardiac Index	(Same as 1)		(Same as 1)			(Same as 1)
25. Pressure Readings of the Vascular System	Vascular System	100	(Same as 1)		Continuous	(Same as 1)

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**MISSILE AND SPACE DIVISION
TRIP REPORT**

OPERATION

REPORT OF VISIT BY

W. A. Buck

DATE OF REPORT

27 July 1970

NO.

OBJECT OF VISIT

To Survey Patient Monitoring System

VISIT TO

ACCOMPANIED BY

DATE

Methodist Hospital, Houston, Texas

R. Welsch,
S. Kritzstein
J. Gannon
Dr. N. Birkhead
Dr. DuBouis (HEW)
R. Hall (NASA)

SUMMARY

The Methodist Hospital, within the Texas Medical Center at Houston, was selected for the Patient Monitoring Study Survey on the basis of their extensive cardiac surgery and the resulting post-operative monitoring.

The visit started with a general discussion by Drs. C. Flynn, Director of the Monitoring Dept. and D. Glaeser, Director of the Computer Monitoring Facility of the patient monitoring facilities in both the cardiac operating rooms and post-operative intensive care unit. Mr. W. Fox, Chief Engineer under Dr. Flynn also participated in the discussions.

Patient monitoring systems were employed in two basic areas - the cardiac operating rooms of which there were eight and the post-operative cardiac intensive care unit which has a 50 bed capacity.

The importance of patient monitoring at the Methodist Hospital was evident in that a Monitoring Department had been established with a director, Dr. C. Flynn, reporting directly to the hospital administration. The 1971 budget for this department is \$850,000.

RECOMMENDATIONS

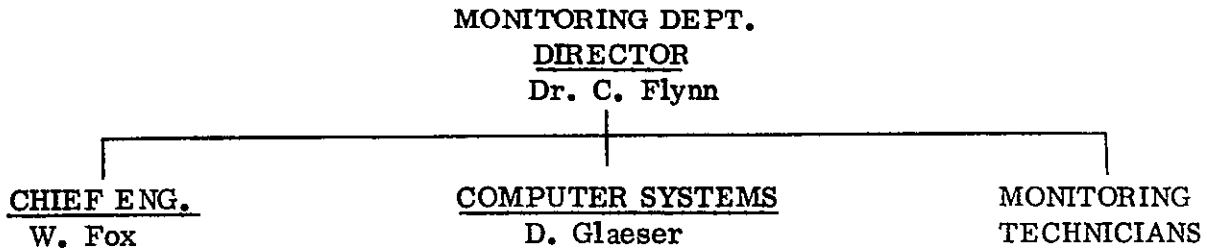
DISTRIBUTION

PREPARED BY

W. A. Buck

REVIEWED BY

The organization is as follows:



The responsibilities of the Monitoring Dept. are summarized as follows:

- 1) establish requirements for monitoring equipment,
- 2) make/buy decision,
- 3) design and fabricate special equipment and/or modify purchased items,
- 4) install, operate and maintain all monitoring equipment,
- 5) hire and train electric and monitoring technicians, and
- 6) develop and implement computer programs in support of monitoring requirements.

The monitoring of patients at Methodist Hospital covers the following areas:

8 operating rooms

50 bed ICU

cardiac catheter lab

x-ray

The monitoring in the ICU is strictly bedside with no central monitor station at present. This is not considered a disadvantage in that the ICU requires a high degree of personal bedside care by the nurse (essentially one nurse per patient). The parameters measured are ECG and blood pressure.

The cardiac care unit in the main hospital consisted of 8 beds and utilized Statham bedside monitors but without a central monitor station.

COMPUTER SYSTEM

The monitoring system software being developed at Methodist was influenced to a large extent by the system at the Barnes Hospital Coronary Care Unit and Dr. Jerome Cox, who is the Director of the Biomedical Computer Lab. at Washington University, St. Louis, Missouri. Algorithms from the programs at St. Louis, as well as other existing but not necessarily proven programs, are being used and further developed by Dr. Glaeser.

The computer capability in terms of hardware consists of five PDP 12 computers and associated peripherals. The computer system work is entirely development and off-line. Work had progressed to identification and analysis of the QRS waveform but had not yet worked out the P and T waveform detection and analysis. Current plans are for limited on-line computer support in monitoring ECG by September 1970. The long range plans, as expressed by D. Glaeser, indicate a PDP 12 with 16K or more memory utilized as a central processor with the other PDP 12's as dedicated pre-processors. The PDP 12 pre-processors would be located in a central monitoring room in the ICU, and each PDP 12 would initially serve two beds.

The present ECG computer program under development handles data losses due to noise by measuring the average length of time data cannot be measured and categorizes such losses in three classes:

1. quiet - normal analysis performed.
2. noisy - only basic R wave measured and heart rate determined.
3. very noisy - no analysis performed.

First priority in the ECG analysis for on-line application is the ability to determine production rate of pre-mature ventricular contractions which lead to proper rate of Xylocaine administration.

PERSONNEL (OPERATORS AND USERS)

The monitoring technicians are generally either college graduates or have equivalent experience and undergo a three month on-the-job training program. The monitoring technician is responsible for setting up the required instrumentation, calibrating the system, and maintaining its operation.

In the OR, the monitoring technician is an integral member of the OR team. The monitoring technician assures that all instrumentation is functioning correctly, flushes the catheters, maintains proper relative height of the catheter transducers to the catheters in the patient, adjusts the displays for adequate display of selected data for both the surgeon and anesthetist. The monitoring technician is also in contact via a communication network with the central instrumentation room which controls the routing of data to chart recorders and magnetic tape and distributes parameters to analog and digital displays in the OR.

In the ICU, the responsibilities are similar to those in the OR, namely, to set up the monitoring equipment and assure it functions correctly.

The monitoring technicians have a comprehensive knowledge of the monitoring equipment, represent a highly skilled group within the hospital technicians and are a stable group with low turnover.

FUTURE PLANS

1. Establish on-line monitoring diagnostic capability in the ICU using the PDP 12 as dedicated pre-processors.
2. Develop a distributed computer system, using PDP 12's as dedicated pre-processors feeding into a central processor, also, a PDP 12 but with larger memory and extensive peripheral capability.

MAINTENANCE

Methodist Hospital is unique among those medical centers we have visited in that they perform their own maintenance on all of their monitoring equipment. Their capability to successfully do this is based on a highly skilled electronic lab. technicians and a well-equipped circuit lab. This capability actually serves a dual purpose - development and fabrication of special monitoring equipment as well as performance of all maintenance. The electronic technicians receive training by manufacturers of the monitoring equipment such as Statham and Hewlett Packard. The advantages of this capability are:

- 1) on spot maintenance service - minimum down time,
- 2) thorough knowledge of the monitoring equipment, thereby facilitating emergency "work-around" plans and any required modifications.
- 3) experience applied to the selection and specification of new equipment.

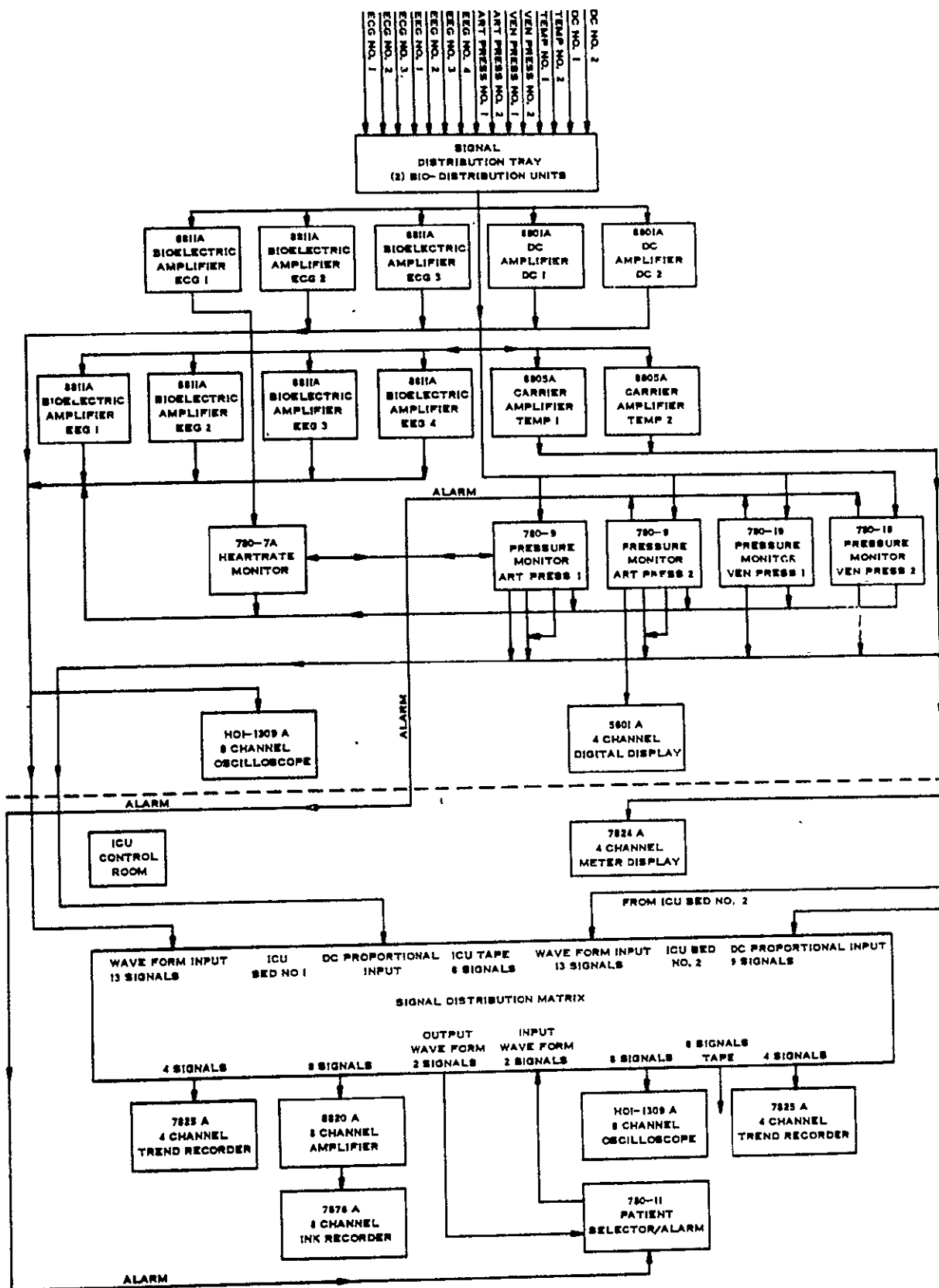
OPERATING ROOM

There are eight operating rooms for cardiac surgery, all of them arranged around a central patient monitoring instrumentation control room. The monitoring system (Figure 2) displays selected parameters to two displays in the OR. One display, the eight channel analog, is primarily for the surgeon and displays:

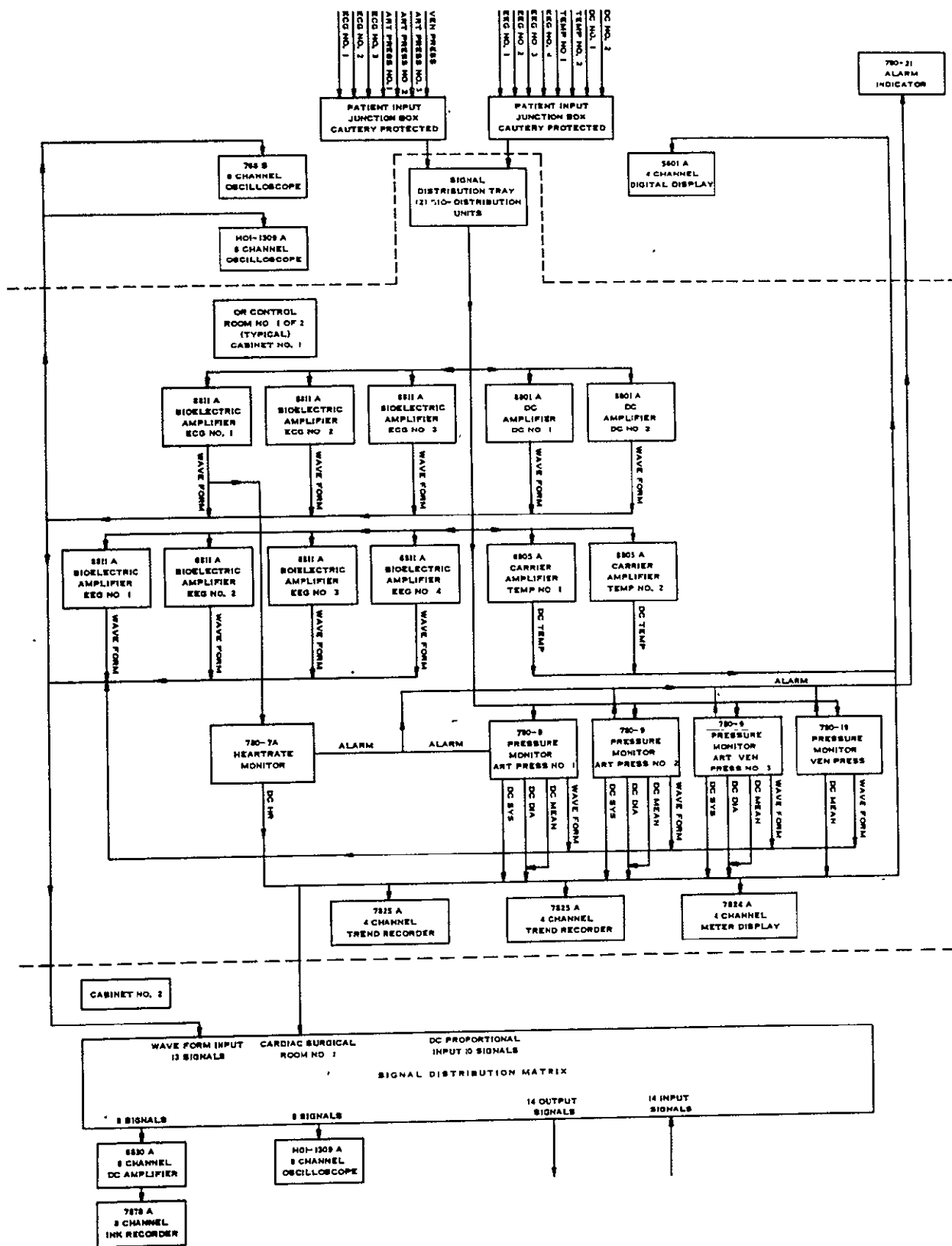
ECG #1

ECG #2

right atrial blood pressure
venous blood pressure



ICU Bedside No. 1 of 2



Cardiac Surgical Room No. 1 of 2 (Typical)

The anesthetist's display contained:

blood pressure
respiratory rate
EEG
Temperature
ECG
heart rate

as analog displays	EEG (2) ECG (2) Blood pressure (2)
as digital displays	Heart Rate Body Temperature Blood Pressure, Mean (2)

OBSERVATION OF OPEN HEART SURGERY

An open heart surgery performed by Dr. DeBakey involving a valve replacement was observed during our visit. The following items are noted as a result of observing the operation.

- 1) Cardiac-Pulmonary bypass machine was fabricated by the hospital and utilized the Travenol plastic bag. Two roller pumps were used to draw the blood into the machine, and a single roller pump supplied the output flow back into the patient.

Dr. Flynn stated that usually the bypass machine is used about 30 minutes per valve repair. During the bypass operation the blood is gradually damaged by the pump mechanism and coagulation due to general contact with "non-blood vessel" material of the bypass machine. There is also degradation of the blood vessels in the patient due to the roller pump pulses not being representative of the heart pulses in terms of the pressure surge (R wave). This apparently affects the contractility of the vessels, and also the lack of pressure peak surges tend to cause body sensory to falsely interpret a "shock" condition and stimulate the body into going into a shock response mode. Therefore, the limit presently used on bypass at Methodist Hospital is two and a half hours.

- 2) Blood samples were taken about every 15 minutes from the catheters and sent to a special lab. which supports all 8 operating rooms for blood analysis. Within 5 minutes a blood analysis including blood gas is hand-carried back to the OR in the form of a written report. A keyboard data entry capability in the lab. would be used to immediately display the blood analysis on a CRT display with the previous data in the OR. This would facilitate viewing the data.

- 3) Dr. Flynn indicated the desire for multiple channel overlay display technique using different colors to identify the channels.
- 4) The use of the cauterizing machine completely wiped out the ECG waveforms being recorded and displayed due to EMI. It should be noted that similar interference problems were observed in the ICU where electric blankets were used on the patients and injected noise into the displayed ECG's.
- 5) Dr. Flynn indicated that it is almost impossible to protect the patient from electrical signals in the order of 10 microamps. The lowest current that they can protect against is 2 ma.

GENERAL COMMENTS

1. Dr. Jerome Cox and Wesley Clark are consultants to Dr. C. Flynn and D. Glaeser.
2. It was considered that the Arteriosonde was not as reliable and accurate a means of measuring blood pressure as the use of catheters.
3. Data sample rates as quoted by D. Glaeser, which reflect inputs from Dr. Cox and Wes Clark, for basic medical parameters are as follows:

Venous	125 sps	Respiratory	62.5 sps
Arterial	250 sps	ECG	500 sps

4. There are a large number of Hewlett Packard medical monitor equipment at the hospital, model series 780 and 8800. Difficulties have been experienced by the users because the maintenance training of the hospitals' personnel has not progressed satisfactorily, and because of incompatibility of adaptor cables between the H-P ECG monitors and other cables.

The one H-P piece of equipment which was mentioned as being only fair to poor in performance was the H-P arrhythmia monitor which gave too many false indications of arrhythmia.

5. Space Labs (former Chrysler employees) recently gave a telemetry demonstration which neither Dr. Flynn or D. Glaeser considered as being at all impressive. The general feeling expressed by both Dr. Flynn and D. Glaeser was that they were completely satisfied with their present hardwire means of data transmission and could not foresee any applications for multiplexing data in the near future. They were concerned that the complexity of such a system would produce problems, i.e., crosstalk and interference.
6. Strong emphasis was placed on USER oriented displays - consideration as to what the user needs and wants in data displayed as well as format - avoid tabular data.

PARTIAL EQUIPMENT LISTING BY AREA

Operating Room

<u>Qty</u>	<u>ITEM</u>
(1)	Defibrillator
(1)	Cardioverter
(1)	Pacemaker
(2)	8 Channel H-P analog CRT display
(2)	4 Channel digital display

Central Instrumentation Room (off OR)

8 Channel scope
 Chart Recorder
 Ampex FR 1300 Tape Recorder
 Sangamo 14 Track Tape Recorder
 H-P 780
 H-P 7824A Analog Display
 H-P 7825A Trend Chart
 H-P 8800 Series Pre-Amplifiers

Intensive Care Unit

Tektronix 410 ECG Monitor (portable, 2 channel)
 Space Lab. ECG Monitor (3 channels)
 Sanborn ECG Monitor
 H-P ECG Monitor

Other Equipment Surveyed by the Monitoring Department

Greatback
American Optical
Travenol
Corbin Farnsworth
Honeywell
Electrodyne
Data Scope
Statham
General Electric

PROBLEMS, INDICATED NEEDS AND DESIRES

The following problems and needs were stated and/or implied by the Monitoring Department Staff during our visit:

1. Lack of standardization - even on simple items such as connections for ECG electrodes. Problem resolved by fabricating adaptor cable to allow use of preferred disposable ECG electrodes with any of the ECG monitors.
2. Urine analysis as an integral part of a patient monitoring system which should include:
 - Quantity
 - Rate (long term)
 - Sodium
 - Potassium
 - Specific Gravity
3. User Oriented Displays.
4. Cabinet/console design to facilitate maintenance and design top surface to discourage or prevent top from being used as a shelf or table.
5. Standardization of medical monitoring equipment, especially in terms of lead configuration on ECG electrodes.

OPERATIONAL MATRIX

APPLICATION X LOCATION X AUTOMATION DEGREE

METHODIST HOSPITAL

KEY:

MANUAL



SEMI-AUTOMATIC



FULLY AUTOMATIC



	PATIENT SCREENING	CATHETERIZATION LAB	OPERATING ROOM	INTENSIVE CARE UNIT	LAB ANALYSIS	X-RAY	EXERCISE PHYSIOLOGY LAB	CARDIAC REHABILITATION	RESEARCH	BUSINESS SYSTEMS	REMOTE	TRANSPORTABLE (HARDWARE)
RIGHT ATRIAL PRESSURE												
ARTERIAL PRESSURE												
RESPIRATORY RATE												
TEMPERATURE, CENTRAL												
HEART RATE												
ELECTROENCEPHALOGRAPH												
ELECTROCARDIOGRAPH												
PREMATURE VENTRICULAR CONTRACTIONS (RATE)												
ARRHYTHMIA												

FUNCTIONAL MATRIX
PHYSIOLOGICAL AND EQUIPMENT MEASUREMENTS

<u>Derived Measurements</u>	<u>Origin of Signal</u>	<u>Sampling Freq. (S/S)</u>	<u>Periodicity</u>	<u>Precision</u>	<u>Signal Level</u>	<u>Patient/System Interface</u>
Arterial Pressure	Catheter Transducer	250	Continuous	10 Bits	1.0 vfs	In-Dwelling Arterial Catheter
Venous Pressure	Catheter Transducer	125	Continuous	10 Bits	1.0 vfs	In-Dwelling Venous Catheter
Respiratory Rate, Cycles/Min.	Thermistor		Continuous	10 Bits	1.0 vfs	Rectal Thermistor Probe
Central Temperature, °C			Continuous	10 Bits	1.0 vfs	
Heart Rate, Beats/Min.	Derived from EKG or Arterial Pressure	N/A	Continuous	10 Bits	1.0 vfs	Arterial Catheter or EKG
Cerebral Cortex Currents	EEG Electrodes	N/A	Continuous	10 Bits	1.0 vfs	EEG Skin Surface Electrodes
ECG	ECG Electrodes	CH1 500 CH2 250	Continuous	10 Bits	1.0 vfs	ECG Skin Surface Electrodes
Rate of Premature Ventricular Contractions	ECG	N/A	Continuous	10 Bits	1.0 vfs	ECG Electrodes
Arrhythmia (Premature + Widened Beats)		N/A	Continuous	10 Bits	1.0 vfs	

EQUIPMENT LIST

METHODIST HOSPITAL
HOUSTON, TEXAS

COMPUTER - (The computer equipment listed will be included in the system in its expanded form.)

PDP-12	To be used for continuous monitoring of two patients
PDP-9	To be used in conjunction with a number of PDP-8 computers as a data bank and for common functions.

DATA DISPLAY, OPERATING ROOM, AND BEDSIDE EQUIPMENT

Hewlett-Packard; Signal Distribution Tray -	
Hewlett-Packard; 8811A Bioelectric Amplifier	
Hewlett-Packard; 8801A DC Amplifier	
Hewlett-Packard; 8805A Carrier Amplifier	
Hewlett-Packard 780-7A Heartrate Monitor	
Hewlett-Packard 780-9 Pressure Monitor (Arterial Pressure)	
Hewlett-Packard 780-19 Pressure Monitor (Venous Pressure)	
Hewlett-Packard; H01-1309A	8-Channel Oscilloscope
Hewlett-Packard; 5601A	4-Channel Digital Display
Hewlett-Packard; Patient Input Junction Box	
Hewlett-Packard 768 S	8-Channel Oscilloscope
Hewlett-Packard 780-21	Alarm Indicator

CONTROL ROOM EQUIPMENT

Hewlett-Packard; Signal Distribution Matrix	
Hewlett Packard; 7824A	4-Channel Meter Display
Hewlett-Packard; 7825A	4-Channel Trend Recorder
Hewlett-Packard; 8820A	8-Channel Amplifier
Hewlett-Packard; 7878A	8-Channel Ink Recorder
Hewlett-Packard; H01-1309A	8-Channel Oscilloscope
Hewlett-Packard; 780-11; Patient Selector/Alarm	

B – BIBLIOGRAPHY

APPENDIX B

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GENERAL  ELECTRIC